

Exercise 5

Discrete Mathematics

November 12, 2020

Exercise 41

Note that the left hand side of the equality is the total number of fixed points in all permutations of $\{1, 2, \dots, n\}$. To show that this number is equal to $n!$, note that there are $(n-1)!$ permutations of $\{1, 2, \dots, n\}$ fixing 1, $(n-1)!$ permutations fixing 2, and so on, and $(n-1)!$ permutations fixing n . It follows that the total number of fixed points in all permutations is equal to $n \cdot (n-1)! = n!$.

1 2 3	$p_3(0) = 2$	"Fixing" $\hat{=}$ vertical lines in table ↑ columns
1 3 2	$p_3(1) = 3$	
3 2 1	$p_3(2) = 0$	
2 1 3	$p_3(3) = 1$	
3 1 2		
2 3 1		
$0 \cdot 2 + 1 \cdot 3 + 2 \cdot 0 + 3 \cdot 1 = 6 = 3!$		

Exercise 42

Consider the binomial theorem $(1+x)^n = \sum_{k=0}^n \binom{n}{k} x^k$. Substitute $x = -1$. As long as $n > 0$, we get 0 on the left side, so we obtain $0 = \sum_{k=0}^n \binom{n}{k} (-1)^k$. Move the negative terms to the left side to get

$$\sum_{\substack{0 \leq k \leq n \\ k \text{ odd}}} \binom{n}{k} = \sum_{\substack{0 \leq k \leq n \\ k \text{ even}}} \binom{n}{k}.$$

The sum on the left side is the number of odd-sized subsets and the sum on the right is the number of even-sized subsets.

See Exam 3 in <http://math.colorado.edu/jonathan.wise/teaching/math2001-fall-2014/>

Exercise 43

1. We can place the first rook anywhere on the board, i.e. $n \cdot n$ choices. The second rook cannot be placed in the row or column of the first one, i.e. $(n - 1) \cdot (n - 1)$ choices. This way, the last rook has exactly $1 \cdot 1$ choice. This gives us $\prod_{i=1}^n i^2 = (n!)^2$. However, we still have to take into account, that the rooks are not labeled. Therefore the final result is

$$\frac{(n!)^2}{n!} = n!$$

2. Each rook has to be on a different row. There is only one such way to assign rooks to rows (rooks are indistinguishable). Then for the first rook, there is a choice of n columns, for the second of $n - 1$ columns, for the third of $n - 2$ columns and so on so in total there are $n!$ possibilities.

Exercise 44

<https://math.stackexchange.com/q/3013488>

We use double counting.

Let $A = B = \{a_1, a_2, \dots, a_n\}$, $R \subseteq A \times B$.

$$R_{i,0} = \{b \in B : (a_i, b) \in R\}, \quad R_{0,j} = \{a \in A : (a, b_j) \in R\}$$

and define R as

$$(a_i, a_k) \in R : \iff a_i < a_k, i > k \quad 1 \leq k \leq n$$

the result is proven in the image

Handwritten double counting proof for the size of relation R .

Table R (rows are a_i , columns are a_k):

R	a_1	a_2	a_3	a_4	a_5
a_1	0	0	0	0	0
a_2	1	0	0	0	1
a_3	1	0	0	0	1
a_4	1	1	1	0	3
a_5	1	1	1	0	3

Row counts ($|R_{a_i,0}|$):

- $|R_{a_1,0}| = 0$
- $|R_{a_2,0}| = 1$
- $|R_{a_3,0}| = 1$
- $|R_{a_4,0}| = 3$
- $|R_{a_5,0}| = 3$

Column counts ($|R_{0,a_k}|$):

- $|R_{0,a_1}| = 4$
- $|R_{0,a_2}| = 2$
- $|R_{0,a_3}| = 2$
- $|R_{0,a_4}| = 0$
- $|R_{0,a_5}| = 3$

Double counting formula:

$$|R| = 8 = \sum_{i=1}^n |R_{a_i,0}| = \sum_{k=1}^n |R_{0,a_k}|$$

Conclusion: $|R| = 8$.

This example is relevant for the double counting explanation

a_1, a_2, a_3, a_4, a_5 $5, 3, 4, 1, 2$						
k	A_k^1	A_k^2	$A_k^1 \cap A_k^2$	B_k^1	B_k^2	$B_k^1 \cap B_k^2$
1	$a_2 a_3 a_4 a_5$	$a_2 a_3 a_4 a_5$	$a_2 a_3 a_4 a_5$			
2	$a_1 a_5$	$a_3 a_4 a_5$	$a_4 a_5$	$a_1 a_3$	a_1	a_1
3	$a_2 a_4 a_5$	$a_4 a_5$	$a_4 a_5$	a_1	$a_1 a_2$	a_1
4		a_5		$a_1 a_2 a_3 a_5$	$a_1 a_2 a_5$	$a_1 a_2 a_5$
5	a_4			$a_1 a_2 a_3$	$a_1 a_2 a_3 a_4$	$a_1 a_2 a_3$
$\sum_k A_k^1 = 10$ $\sum_k A_k^2 = 10$ $\sum_k A_k^1 \cap A_k^2 = 8$ $\sum_k B_k^1 = 10$ $\sum_k B_k^2 = 10$ $\sum_k B_k^1 \cap B_k^2 = 8$						

This is just another one

a_1, a_2, a_3, a_4, a_5 $5, 4, 3, 1, 2$						
k	A_k^1	A_k^2	$A_k^1 \cap A_k^2$	B_k^1	B_k^2	$B_k^1 \cap B_k^2$
1	$a_1 a_2 a_3 a_5$	$a_1 a_3 a_4 a_5$	$a_1 a_3 a_5$			
2	$a_2 a_4 a_5$	$a_3 a_4 a_5$	$a_3 a_4 a_5$	a_1	a_1	a_1
3	$a_1 a_5$	$a_4 a_5$	$a_4 a_5$	$a_1 a_2$	$a_2 a_4$	$a_1 a_2$
4		a_5		$a_1 a_2 a_3 a_5$	$a_1 a_2 a_3$	$a_1 a_2 a_3$
5	a_4			$a_1 a_2 a_3$	$a_1 a_2 a_3 a_4$	$a_1 a_2 a_3$
$\sum_k A_k^1 = 10$ $\sum_k A_k^2 = 10$ $\sum_k A_k^1 \cap A_k^2 = 9$ $\sum_k B_k^1 = 10$ $\sum_k B_k^2 = 10$ $\sum_k B_k^1 \cap B_k^2 = 9$						

Mind the accidental switch in columns B_k^1, B_k^2

a_1, a_2, a_3, a_4, a_5 $5, 4, 1, 2, 3$						
k	A_k^1	A_k^2	$A_k^1 \cap A_k^2$	B_k^1	B_k^2	$B_k^1 \cap B_k^2$
1	$a_1 a_3 a_4 a_5$	$a_1 a_3 a_4 a_5$	$a_1 a_3 a_4 a_5$			
2	$a_3 a_4 a_5$	$a_3 a_4 a_5$	$a_3 a_4 a_5$	a_1	a_1	a_1
3		$a_4 a_5$		$a_1 a_2$	$a_1 a_2 a_4 a_5$	$a_1 a_2$
4	a_3	a_5		$a_1 a_2 a_3$	$a_1 a_2 a_5$	$a_1 a_2$
5	$a_3 a_4$			$a_1 a_2 a_3 a_4$	$a_1 a_2$	$a_1 a_2$
$\sum_k A_k^1 = 10$ $\sum_k A_k^2 = 10$ $\sum_k A_k^1 \cap A_k^2 = 7$ $\sum_k B_k^1 = 10$ $\sum_k B_k^2 = 10$ $\sum_k B_k^1 \cap B_k^2 = 7$						

Exercise 45

Define 10 sets

$$\begin{aligned} &\{x \in A \mid x \equiv 1 \pmod{20} \vee x \equiv 19 \pmod{20}\} \\ &\{x \in A \mid x \equiv 2 \pmod{20} \vee x \equiv 18 \pmod{20}\} \\ &\vdots \\ &\{x \in A \mid x \equiv 9 \pmod{20} \vee x \equiv 11 \pmod{20}\} \\ &\{x \in A \mid x \equiv 10 \pmod{20}\} \end{aligned}$$

As there are 11 integers $x \in A$ and 10 sets, by the pigeonhole principle there are two integers $a, b \in A$ (let wlog $b \leq a$) that are element of the same set.

Case 1 $a \equiv b \pmod{20}$. Then $20 \mid (a - b)$.

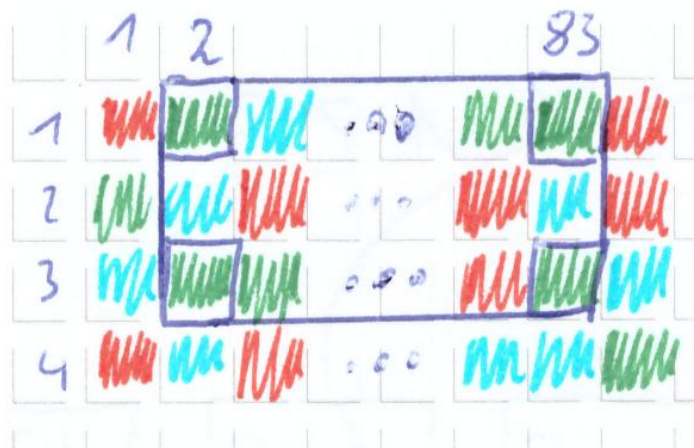
Case 2 $a \not\equiv b \pmod{20}$. Then $20 \mid (a + b)$.

Examples:

$$\begin{aligned} 401 \equiv 1 \pmod{20}, 19 \equiv 19 \pmod{20} &\implies \{401, 19\} \text{ and } 20 \mid 420 = 401 + 19 \\ 401 \equiv 1 \pmod{20}, 21 \equiv 1 \pmod{20} &\implies \{401, 21\} \text{ and } 20 \mid 380 = 401 - 21 \\ 418 \equiv 18 \pmod{20}, 118 \equiv 18 \pmod{20} &\implies \{418, 118\} \text{ and } 20 \mid 300 = 418 - 118 \end{aligned}$$

Exercise 46

For each column, there are 4 points and 3 possible colors per point, for a total of $3^4 = 81$ possible colorings. As there are 4 points per column and 3 possible colors, by the Pigeonhole Principle some color appears twice in a single column. With $81 + 1$ columns, by the Pigeonhole Principle, there are two columns with the same coloring. From each of the two columns, take some corresponding two points of a color that appears twice. These form a rectangle all of whose vertices are the same color.



Exercise 47

<https://math.stackexchange.com/questions/1519803/proving-identities-using-combinatorial-interpretation-of-binomial-coefficients>

1. Let X be an n -element set. Then $\binom{n}{k}$ is the number of k -element subsets of X . If $x \in X$ is a fixed element of X , then we can divide the k -element subsets of X into two classes: those which contain x and those that do not. The k -element subsets not containing x are precisely the k -element subsets of $X \setminus \{x\}$, and there are $\binom{n-1}{k}$ such sets. Then k -element subsets of X which do contain x are all of the form $\{x\} \cup Y$, where Y is a $(k-1)$ -element subset of $X \setminus \{x\}$; there are $\binom{n-1}{k-1}$ such sets. Thus, in total, there are $\binom{n-1}{k} + \binom{n-1}{k-1}$ total k -element subsets of X , proving that

$$\binom{n}{k} = \binom{n-1}{k} + \binom{n-1}{k-1}.$$

2. Let $X = X_1 \cup X_2$ with $|X_1| = |X_2| = n$. Then $\binom{2n}{n}$ is the number of n -element subsets of X . Let Y be one such subset. It chooses k elements of X_1 , with $0 \leq k \leq n$. There are $\binom{n}{k}$ such subsets. Then Y has to choose $n-k$ elements from X_2 . There are $\binom{n}{n-k}$ such subsets. Consequently, there are $\binom{n}{k} \cdot \binom{n}{n-k} = \binom{n}{k}^2$ possible choices for Y . Summing up all possible k gives the identity

$$\sum_{k=0}^n \binom{n}{k}^2 = \binom{2n}{n}$$

Exercise 48

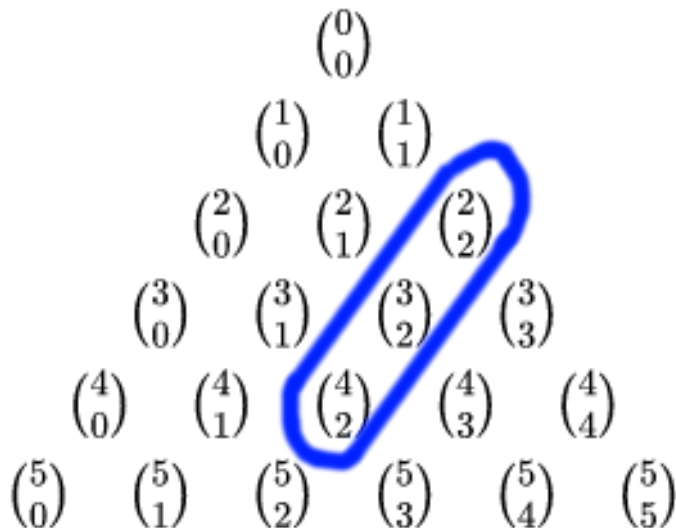
Combinatorial proof using double counting. Note that the left side counts bit strings of $n + 1$ length with $r + 1$ ones. We show that the right side counts the same objects. The final one must occur at position $r + 1$ or $r + 2$ or \dots or $n + 1$. Assume that it occurs at the m^{th} bit, where $r + 1 \leq m \leq n + 1$.

1. Thus, there must be r ones in the first $m - 1$ positions
2. Thus, there are $\binom{m-1}{r}$ such strings of length $m - 1$

As m can be any value from $r + 1$ to $n + 1$, the total number of possibilities is

$$\sum_{m=r+1}^{n+1} \binom{m-1}{r} = \sum_{m=r}^n \binom{m}{r}$$

which is illustrated for $r = 2, n = 4$



Exercise 49

<https://math.stackexchange.com/a/1523108/844881>

The second equation from the task description and a new notation ¹ shows

$$\binom{x}{k} = \frac{x^{\underline{k}}}{k!} = \frac{x(x-1)(x-2)\dots(x-(k-1))}{k(k-1)(k-2)\dots 1} = \prod_{i=1}^k \frac{x+1-i}{i}$$

With $j = n - 1 - i$ in the second line (in one product the factors are increasing and in the other they are decreasing), we calculate

$$\begin{aligned} (-x)^{\underline{k}} &= \prod_{i=0}^{k-1} (-x - i) \\ &= \prod_{j=0}^{k-1} (-x - k + 1 + j) \\ &= \prod_{j=0}^{k-1} (-(x + k - 1 - j)) \\ &= (-1)^k \prod_{j=0}^{k-1} (x + k - 1 - j) \\ &= (-1)^k (x + k - 1)^{\underline{k}} \end{aligned}$$

and thus

$$\binom{-x}{k} = \frac{(-x)^{\underline{k}}}{k!} = \frac{(-1)^k (x + k - 1)^{\underline{k}}}{k!} = (-1)^k \binom{x + k - 1}{k}$$

¹https://en.wikipedia.org/wiki/Binomial_coefficient#Multiplicative_formula

Exercise 50

<https://math.stackexchange.com/questions/580435/number-of-2n-letter-words-using-double-n-letter-alphabet-without-consecutiv>

Definitions

We know from the lecture that the number of arrangements of a multiset $\{b_1^{k_1}, b_2^{k_2}, \dots, b_m^{k_m}\}$ of cardinality n is

$$\frac{n!}{k_1!k_2!\dots k_m!} \quad (1)$$

[1] Let S be a set of properties that the elements of some other set A may or may not have. For any subset $T \subseteq S$, denote by

- $f_=(T)$ the number of elements of A that have *exactly* the properties in T .
- $f_\geq(T)$ the number of elements of A that have *at least* the properties in T .

From that definition we get

$$f_\geq(T) = \sum_{Y \supseteq T} f_=(Y). \quad (2)$$

By Theorem 2.1.1 from [1] the existence and form of the following inverse function follows

$$f_=(T) = \sum_{Y \supseteq T} (-1)^{|Y \setminus T|} f_\geq(Y) \quad (3)$$

Of which the following (the number of elements having *none* of the properties in S) is a special case

$$f_=(\emptyset) = \sum_{Y \supseteq \emptyset} (-1)^{|Y|} f_\geq(Y)$$

where Y ranges over all subsets S .

Examples demonstrating the application of 2 and its inverse 3 :

1. Suppose $S = \{s_1, s_2\}$ and $T = \{s_1\}$ Then

$$\begin{aligned} f_=(\{s_1\}) &= (-1)^{|\{s_1\}|} f_\geq(\{s_1\}) + (-1)^{|\{s_2\}|} f_\geq(\{s_1, s_2\}) \\ &= f_\geq(\{s_1\}) - f_\geq(\{s_1, s_2\}) \\ &= f_=(\{s_1\}) + f_=(\{s_1, s_2\}) - f_=(\{s_1, s_2\}) \\ &= f_=(\{s_1\}) \end{aligned}$$

We think of $f_\geq(\{s_1\})$ as being a first approximation to $f_=(\{s_1\})$. We then subtract $f_\geq(s_1, s_2)$ to get a better approximation. This reasoning brings us to the terminology "Inclusion-Exclusion".

2. Suppose $S = \{s_1, s_2, s_3\}$. Then

$$\begin{aligned}
f_{\geq}(\{s_1, s_2\}) &= f_{=}(\{s_1, s_2\}) + f_{=}(\{s_1, s_2, s_3\}) \\
&= (-1)^{|\{s_1, s_2\}|} f_{\geq}(\{s_1, s_2\}) + (-1)^{|\{s_3\}|} f_{\geq}(\{s_1, s_2, s_3\}) + (-1)^{|\{s_1, s_2, s_3\}|} f_{\geq}(\{s_1, s_2, s_3\}) \\
&= f_{\geq}(\{s_1, s_2\}) - f_{=}(\{s_1, s_2, s_3\}) + f_{=}(\{s_1, s_2, s_3\}) \\
&= f_{\geq}(\{s_1, s_2\})
\end{aligned}$$

A special case of the Principle of Inclusion-Exclusion occurs when the function $f_{=}$ satisfies $f_{=}(T) = f_{=}(T')$ whenever $|T| = |T'|$. Then also $f_{\geq}(T)$ depends only on $|T|$. We set $a(n-i) = f_{=}(T)$ and $b(n-i) = f_{\geq}(T)$ whenever $|T| = i$. From equations 2 and 3 then follows the equivalence of the following formulas

$$b(m) = \sum_{i=0}^m \binom{m}{i} a(i), \quad 0 \leq m \leq n \quad (4)$$

$$a(m) = \sum_{i=0}^m \binom{m}{i} (-1)^{m-i} b(i), \quad 0 \leq m \leq n \quad (5)$$

Examples:

1. Suppose $A = \{a_1, a_2\}, S = \{s_1, s_2\}$ where the properties are assigned $a_1 : s_1$ and $a_2 : s_2$. Then $f_{=}(\{s_1\}) = f_{=}(\{s_2\}) = 1$ and $f_{=}(\{s_1, s_2\}) = 0$. For $n = 2, |T| = i = 1$ we get by application of equations 4 and 5

$$\begin{aligned}
b(2-1) &= \binom{1}{0} a(0) + \binom{1}{1} a(1) \\
&= \binom{1}{0} \left[\binom{0}{0} \cdot (-1)^0 b(0) \right] + \binom{1}{1} \left[\binom{1}{0} \cdot (-1)^1 b(0) + \binom{1}{1} \cdot (-1)^0 b(1) \right] \\
&= 1 \cdot [1 \cdot 1 \cdot b(0)] + 1 \cdot [1 \cdot (-1) \cdot b(0) + 1 \cdot 1 \cdot b(1)] \\
&= b(0) - b(0) + b(1) = b(1)
\end{aligned}$$

2. Suppose $A = \{a_1, a_2, a_3, a_4\}, S = \{s_1, s_2\}$ where the properties are assigned $a_1 : s_1$ and $a_2 : s_2$ and $a_3 : s_1, s_2$ and $a_4 : s_1, s_2$. Then $f_{=}(\{s_1\}) = f_{=}(\{s_2\}) = 1$ and $f_{=}(\{s_1, s_2\}) = 0$ and $f_{=}(\{s_1, s_2\}) = 2$.

Solution

This can be reduced to the problem of the number of permutations of the multiset $M_n = \{1, 1, 2, 2, \dots, n, n\}$ (or in equivalent notation $M_n = \{1^2, 2^2, \dots, n^2\}$) with no two consecutive terms equal ². We use the principle of inclusion and exclusion.

²₂

Let $h(n)$ be the number of permutations of M_n with no two consecutive terms equal. Thus $h(0) = 0, h(1) = 0$, and $h(2) = 2$ (corresponding to the permutations 1212 and 2121). For $1 \leq i \leq n$, let P_i be the property that the permutation w of M_n has two consecutive i 's. Hence, we seek $f_-(\emptyset) = h(n)$.

It follows by symmetry that for fixed n , $f_-(T)$ depends only on $i = |T|$, so write $g(i) = f_-(T)$. Then $g(i)$ is equal to the number of permutations w of the multiset $\{1, 2, \dots, i, (i+1)^2, \dots, n^2\}$ (replace any $j \geq i$ appearing in w by two consecutive j 's), so

$$g(i) = \frac{(i + 2(n-i))!}{2^{n-i}} = \frac{(2n-i)!}{2^{n-i}},$$

a special case of equation 1 with $k_1! = k_2! = \dots = k_i! = 1$ and $k_{i+1} = k_{i+2} = \dots = k_n! = 2$

Now we have $b(i) := g(n-i) = \frac{(n+i)!}{2^i}$. From equation 5 we then get

$$h(n) = \sum_{i=0}^n \binom{n}{i} \frac{(-1)^{n-i} (n+i)!}{2^i}$$

Example

Let A be the permutations of $M_n = M_2 = \{1, 1, 2, 2\}$. We look for the number of permutations $h(2)$ of M_2 with no consecutive terms equal.

The set of properties S is given by properties like: P_1 is the property that a permutation has two consecutive 1's.

$f_-(\emptyset)$ is the number of elements of A having none of the properties in S , that is the number of elements of A with no consecutive terms equal. Therefore, $f_-(\emptyset) = h(2)$.

We have n fixed to $n = 2$, so $f_-(T)$ (the number of elements of M_2 that have at least the properties in T), depends only on $|T| = i$. That means that, for example, the number of permutations of M_2 that have the property P_1 or P_2 or both depends only on $|\{P_1, P_2\}| = 2$. So we write $f_-(T) = g(i)$ and get, for example, for $i = 1$ a multiset $\{1, 2, 2\}$ the number of its permutations is

$$g(1) = \frac{(2 \cdot 2 - 1)!}{2^{2-1}} = \frac{3!}{2} = 3.$$

We verify this by enumerating the permutations of $\{1, 2, 2\}$: 122, 212, 221.

For the same $i = 1$ we get $b(1) = g(2-1) = \frac{(2+1)!}{2^1}$. Looking again at the general case,

we finally get

$$\begin{aligned}
h(2) &= \sum_{i=0}^2 \binom{2}{i} \frac{(-1)^{2-i} (2+i)!}{2^i} \\
&= \binom{2}{0} \frac{(-1)^2 (2+0)!}{2^0} + \binom{2}{1} \frac{(-1)^1 (2+1)!}{2^1} + \binom{2}{2} \frac{(-1)^0 (2+2)!}{2^2} \\
&= 1 \cdot \frac{1 \cdot 2}{1} + 2 \cdot \frac{(-1) \cdot 3 \cdot 2}{2} + 1 \cdot \frac{1 \cdot 4 \cdot 3 \cdot 2}{4} \\
&= 2 - 6 + 6 = 2
\end{aligned}$$

Which we verify again by enumerating the two permutations 1212 and 2121 that fulfill the requirement.

References

- [1] Richard P. Stanley and Gian-Carlo Rota. *Enumerative Combinatorics*. Vol. 1. Cambridge Studies in Advanced Mathematics. Cambridge University Press, 1997. DOI: 10.1017/CB09780511805967.