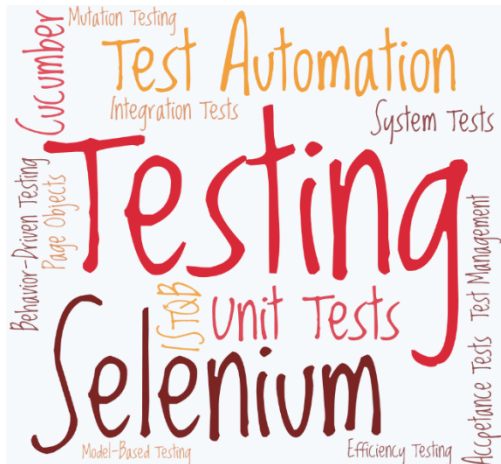


183.290 Software Testing



Risk-Based Testing
Test Documentation
Test Management Tools

WS 2020

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Risk-Based Testing – Risk

- **Definition**
 - The chance of an **event, hazard, threat** or **situation** occurring and resulting in **negative consequences** or a **potential problem**
- **Expected loss** due to failures that result from errors that remain in the software
- **Risks are determined based on**
 - Likelihood of occurrence
 - Failure Impact/Consequence
 - $R(isk) = P(robability) * C(ost)$
- Separation into **project** and **product risks**

Risk-Based Testing – Project Risks

- Risks that are related to **management and control** of the project and that threaten the **project's capability to deliver its objectives**:
 - **Organisational**
 - Lack of skills/training, shortages of staff
 - Communication conflicts/Political issues
 - **Technical**
 - Requirement issues (wrong, missing, too complex)
 - Test environment/data not ready
 - Poor defect management
 - **Supplier**
 - Failure of third party
 - Contractual issues
 - Change in legal aspects/regulations

Risk-Based Testing – Product Risks

- All risks that are **directly related** to the **test object** (software)
- Also called **quality risks**
- **Examples for product risks:**
 - Non-conformity to the specification (verification)
 - Non-conformity to stakeholders expectations (validation)
 - Non-functional requirements not met by the system architecture
 - Bad response times
 - Bad usability

Risk-Based Testing

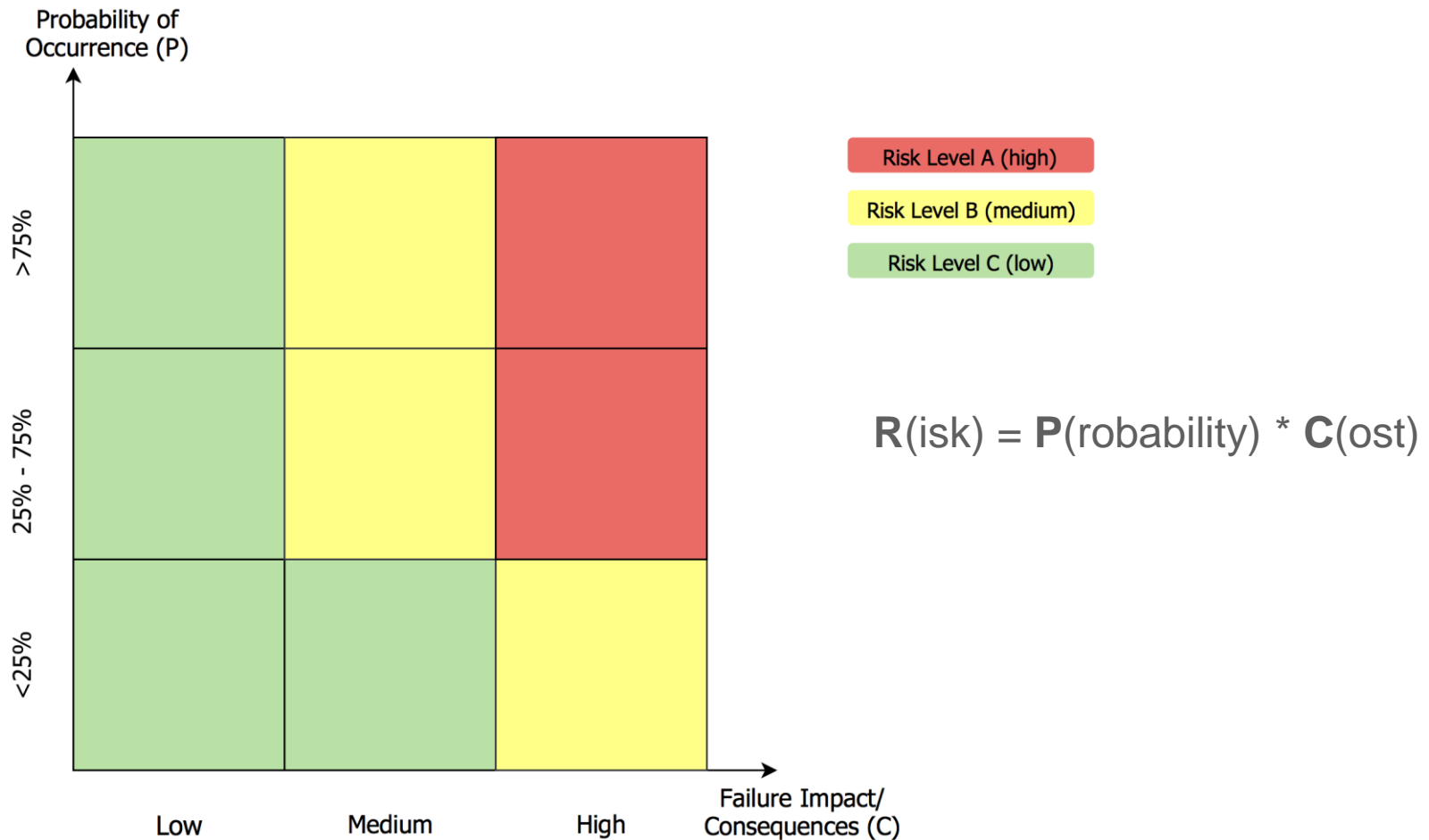
- **Definition**
 - An approach to testing to **reduce the level** of **product risks**, starting in the **initial stages** of a project
 - It is **not feasible/reasonable** to test a system with **all combinations** of **input/output/environment** parameters
- **Risks are used to prioritize**
 - ... where to start testing
 - ... where to test more
- **Risk-based testing allows to reduce either**
 - the likelihood of occurrence
 - the impact/consequences

Risk-Based Testing

- Involves planning the **test approach** in order to **minimize risks**
- Includes the **identification/classification/monitoring** of product risks
- → Close connection between **risk** and **test management**
- **Identified risks may be used to**
 - Select the test techniques and test levels/types to be applied
 - Determine the scope/extent of testing
 - Prioritize testing with the goal to find critical defects as early as possible
 - Determine whether non-testing activities can help to reduce risks (e.g. trainings for staff)

Risk-Based Testing – Risk Matrix

- Focus on testing high-priority features



Risk-Based Testing – Risk Priority Table

- **Example ATM Machine**

Features/Attributes	Probability (P)	Cost (C) Failure, Consequence	Priority (P * C)
Withdraw Cash	High (3)	High (3)	9
Transfer Money	Medium (2)	Medium (2)	4
Read Balance	Low (1)	Low (1)	1
Make Payment	Low (1)	High (3)	3
Security	Medium (2)	High (3)	6

- → **Focus on testing high-priority features**

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A Risk-Based Testing

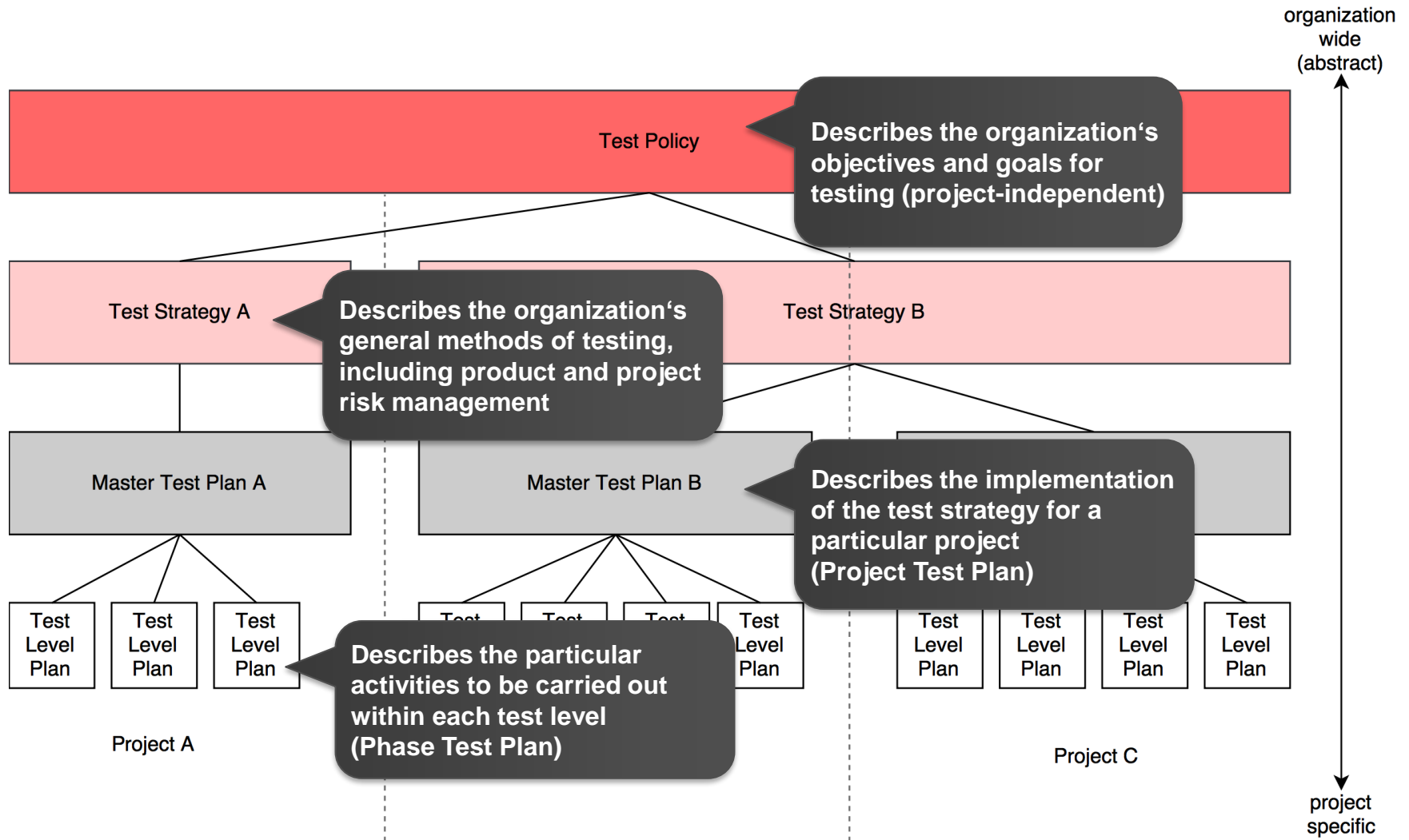
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Test Documentation



Test Documentation – Test Policy

- **Definition**

- The test policy is a **high-level document** which describes the **principals of testing** and the **general testing approach**
- Within this document all **major organizational goals** related to software testing are defined
- Policy is valid for a whole organization

- **Content**

- Definition of a test process
- Evaluation of the effectiveness/efficiency of testing
 - Cost of testing vs. cost of errors
- Definition of quality targets
 - Reliability/Usability/...
- Approach for test process improvement

Test Documentation – Test Strategy

- **Definition**
 - Describes the **project and product risks** and how the test process **manages these risks**.
 - Provides the generic test requirements for **one or more projects**
- **Based on the test policy**
- **Tailored to the organization's needs and means**
- **2 Objectives**
 - Identification/Description of **all risks** which need to be covered in testing
 - Identification/Description of **all test levels** and **test activities** in order to cover these risks

- **Typical test strategies may include:**
 - Test entry and exit criteria
 - Test approach
 - Desired level of independence of the test team
 - Mandatory and optional standards/legal requirements
 - Test environment specification
 - Test automation (desired level of automation)
 - Defect management
 - Regression testing strategies

Test Documentation – Master Test Plan

- **Definition**
 - Describes the **implementation/realization** of a **test strategy** for a **particular project**
 - Addresses multiple test levels
- **Complements the project plan or operations guide**
- **Typical contents:**
 - Items to be tested/excluded
 - Quality attributes to be tested/excluded
 - Time management from test perspective
 - Resource management from test perspective (budget)
 - Test execution cycles and their relationship to release plan
 - Specific test entry/exit criteria

Test Documentation – Level Test Plan

- **Definition**
 - Describes the **activities** to be carried out within a **particular test level**.
 - Expands the master test plan
- **Typical contents**
 - Schedule/Task/Milestone details
 - Standards and templates for specification of tests
- **In smaller projects/organizations master and level test plan are often combined to single document**

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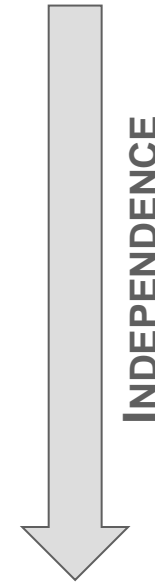
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Test Management – Independence of Testing

- HOW IS TESTING INSTALLED WITHIN AN ORGANIZATION?
- **Different levels of independence:**
 1. No test team, developers test their own code
 2. Independent test team within project team
 3. Independent test team within organization
 4. Independent external test specialists
 5. Independent external/outsourced test team
- **Independence varies depending on test level**
- Independent test teams can **improve effectiveness** of testing



Test Management – Independence of Testing

- **Benefits of independent test teams**
 - Higher level of objectivity
 - Unbiased
 - Verify/Question assumptions made during design/implementation
 - Different point-of-view
 - Different technical perspective
 - Find different type of defects
- **Drawbacks of independent test teams**
 - Increasing level of independence → increasing isolation from development team
 - Less responsibility for quality by developers
 - Seen as bottleneck/blamed for delays
 - Lack in important business information

Test Management – Roles in Testing

- **Test Manager**
 - Also referred to as **test coordinator**
 - **Overall responsibility for management and monitoring of test activities and resources**
 - Position is often taken by project/quality/development manager
- **Tester / Test Engineer**
 - A skilled professional who is involved in testing a component or system
 - Different people can take the role as a tester
 - Unit/Integration testing → developer
 - Acceptance testing → user/business expert
 - Usability/Security/... testing → specialists
 - System testing → independent testing team

- **Tasks involve...**
 - Definition/Maintenance of the **organization's test policy**
 - Definition/Review of **test strategies**
 - **Planning** of test activities (test objective, risks, effort estimation, cost, resources, dependencies, ...)
 - **Writing and coordinating test plans**
 - **Monitoring** of **test activities** and **test progress**
 - Definition of actions to compensate **problems/risks**
 - Decision for **test automation**
 - Selection of **test tools**
 - **Reporting**
 - Setup and maintenance of **defect management system**

- **Tasks involve...**
 - Review/Extension of **test plans**
 - Analysis and review of **requirements** for testability
 - Definition and implementation of **test cases**
 - Preparation of **test data**
 - Preparation of **test environment**
 - **Implementation and execution** of tests
 - Evaluation/Documentation of **test results**
 - **Test automation**
 - Usage of **test tools**
 - Evaluation of **non-functional requirements**

Test Management – Agile Development

- **Independence**

- Testers as part of the development team
- In addition, testers as part of a larger independent testing team
- Product owner performs acceptance testing at the end of each iteration

- **Roles**

- No strict line between test manager and tester
- Certain tasks of the test manager may be handled by the team
- Agile testers are concerned with testing within the project
- Test managers are concerned with topics that span multiple projects and coordinate resources between them

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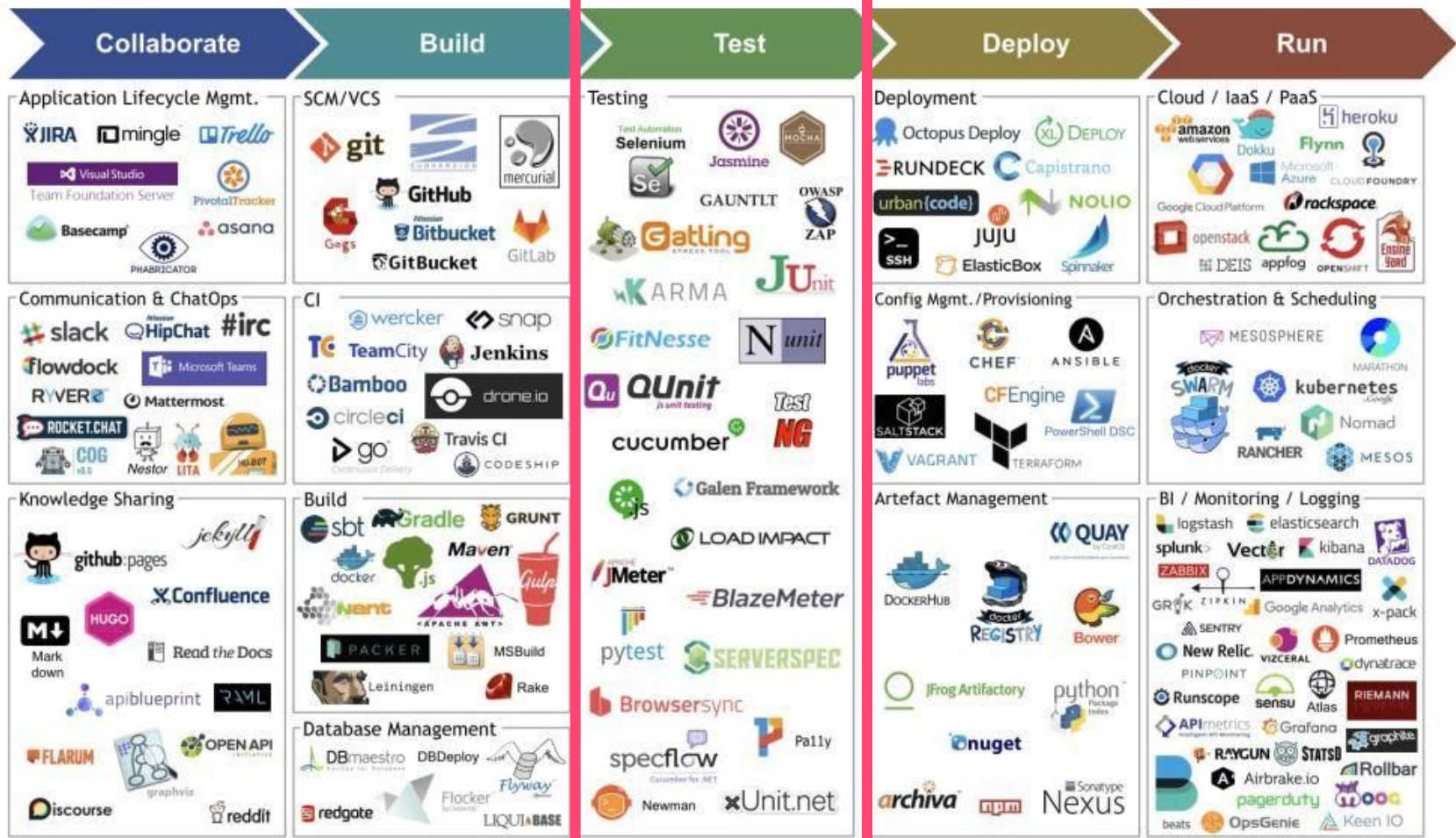
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Test Tools



- **Test tools support one or more test activities**
 - Planning and Control
 - Test Design
 - Test Data Generation
 - Text Execution
 - Test Reporting
- **Purpose of test tools**
 - Improve efficiency
 - Automate repetitive tasks
 - Automate activities that require significant resources
 - Automate activities that cannot be done manually
 - Increase reliability of testing
 - Allow traceability and transparency

Test Tools – Benefits/Risks

- Tool support does **not** automatically **guarantee success/improvement**

BENEFITS

- Reduction of repetitive tasks (e.g. regression tests)
- Improved consistency and repeatability
- Objective assessment (metrics, coverage, ...)
- Improved access to information/reports (charts, defect rates, ...)

RISKS

- Unrealistic expectations
- Underestimating time/cost/effort for initial setup and training
- Underestimating time/effort to gain significant benefit
- Poor integration/maintenance of the tool and its artifacts
- Neglection of dependencies/interoperabilities between test activities or tools
- Vendor dependence (poor support, bankruptcy, tool retirement, ...)
- Lacking ownership

Test Tools – Management Tools

- **Support test activities over the entire software life cycle**
 - Test Management Tools
 - Requirements Management Tools
 - Defect Management Tools
 - Configuration Management Tools
- **Interaction with other tools required to exchange information**
- **Examples**
 - Squash TM
 - TestLink
 - Redmine
 - Trac
 - GitLab / GitHub
 - Mantis Bug Tracker
 - JIRA (commercial)
 - MicroFocus HP ALM (commercial)
 - YouTrack (commercial)

Test Tools – Static Testing Tools

- **Support identification of defects at an early project stage without execution of the software**
 - Review Tools
 - Code Analysis Tools
- **Examples**
 - Gerrit
 - GitLab (integrated)
 - UpSource (commercial)
 - SonarQube
 - SonarLint
 - MetricsReloaded
 - FindBugs

→ Course „Software Quality Management“
in summer term

Test Tools – Test Execution Tools

- **Enable (semi-) automated test execution**

- Unit Testing Frameworks
- UI Testing Frameworks
- Coverage Measurement Tools
- Performance Testing Tools

→ **Lecture 4 – Test Automation**

- **Examples**

- xUnit (e.g. JUnit)
- TestNG
- Selenium
- JaCoCo
- Clover
- Squash TA
- Tosca (commercial)
- HP Unified Functional Testing (commercial)
- IBM Functional Tester (commercial)

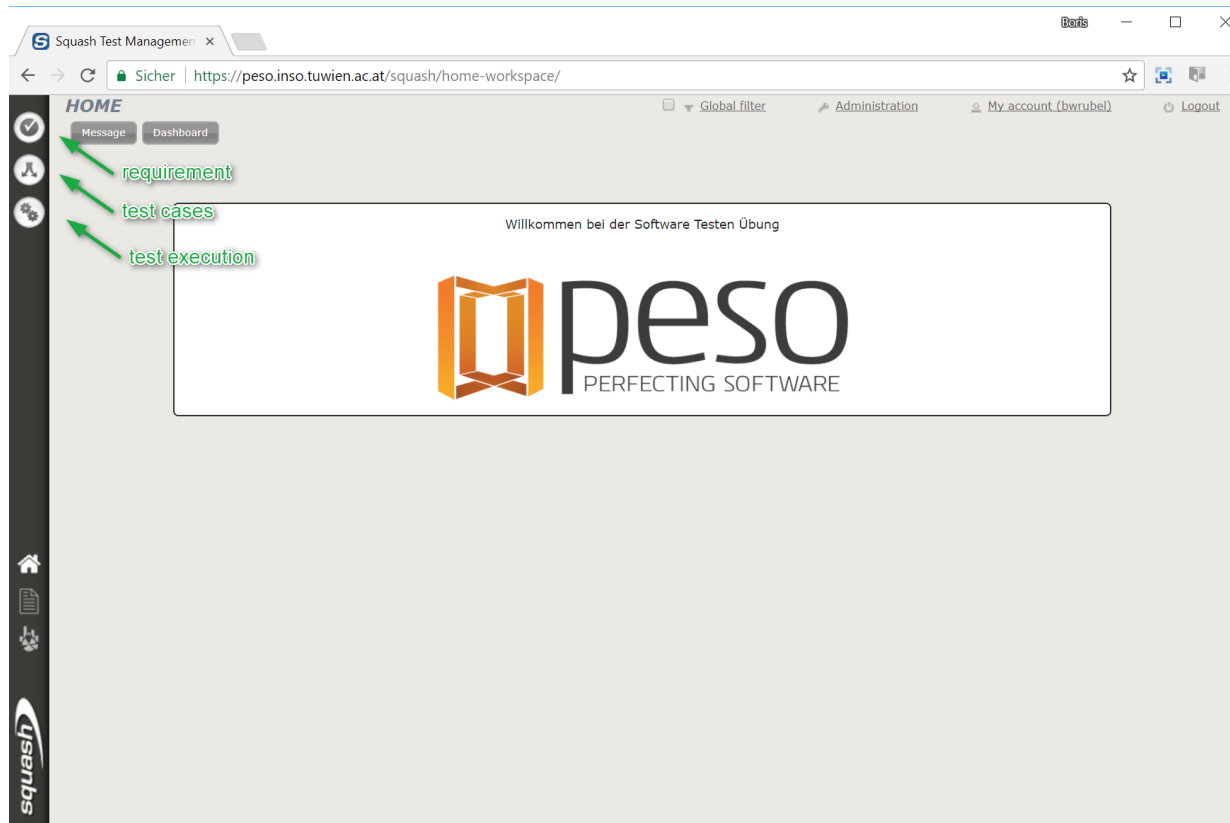
Test Tools – Squash TM

- **Open Source Test Management Tool**

<http://www.squashtest.org/>

- **Web-based**
- **Will be used at group project for**
 - specification of test cases
 - manual test execution
 - test planning
 - traceability between test cases and requirements

Squash Test



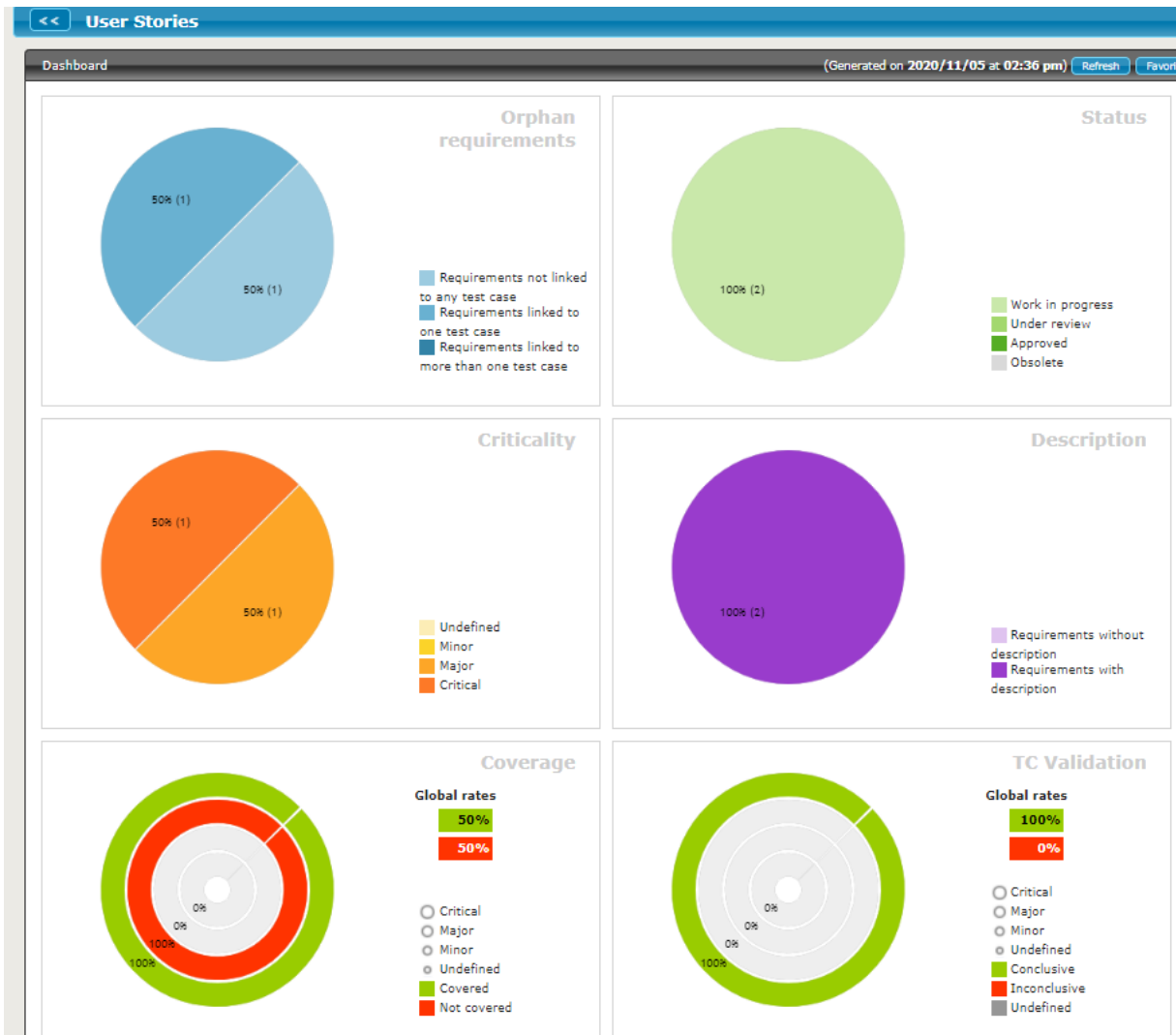
- **Squash TM** is divided into different workspaces:
 - Requirements
 - Test Cases
 - Test Execution

Squash Test

The screenshot displays the Squash Test Management web application. The top navigation bar includes links for 'Global filter', 'Administration', 'My account (bwrubel)', and 'Logout'. The main interface is divided into two panes. The left pane, titled 'Requirements Workspace', shows a hierarchical folder structure: 'Demo ST' (parent) contains 'Software Testing Demo Project' (child), which contains 'User Stories' (child), which contains 'SRSQP-6 - User Management' (child), which contains 'SRSQP-7 - Login' (child). The right pane shows the details for 'SRSQP-6 - User Management'. It includes a 'Description' field, an 'Attachments' section with 'Upload Attachment' and 'Organize' buttons, and a 'General information' section with fields for 'Url', 'Synchronisation Status', 'Version nb', 'Reference', and 'Status'. Below this is an 'Attributes' section with 'Criticality' and 'Category'. The 'Description' field contains the text: 'As a user, I want to change my personal data consisting of username, email address, first and last name.' The 'Coverage indicators' section shows 'Verification and validation rates perimeter' and 'Coverage rate'. The 'Test cases verifying this requirement' section contains a table with one entry: '1 Software Testing Demo Project SRSQP-6-01 SRSQP-6-01 Change user with valid data'. The 'Linked requirements' section shows 'No matching records found'. The 'Edit history' section is at the bottom.

- Folder structure in workspaces
- Create folders and requirements by selecting parent folder and +
- Synchronize existing requirements from issue tracking systems, e.g. JIRA

Squash Test



- Visualize the status and progress
- Visualize the coverage of requirements by tests
- Traceability between requirements, test cases and test execution results

Squash Test

Test Case Workspace

SRSQP-6-01 - SRSQP-6-01 Change user with valid data

Created on : 2020/11/05 14:26 (czoffi)
Updated on : 2020/11/05 14:30 (czoffi)

Information | Test step | Parameters | Attachments | Executions

Description [ID = 3723]

Format : Classic
Reference : SRSQP-6-01
Description : This test case checks, if a user can edit their user information with valid data.
Status : 3-Approved

Attributes

Weight : 2-High ☐ auto
Nature : ☐ Functional
Type : ☐ Regression

Prerequisite

User is registered
User ist logged in

Requirements verified by this test case

#	Project	Version ID	Reference	Requirement	Version nb	Criticality	Category	TS
1	Software Testing Demo Project	527	SRSQP-6	User Management	1	Critical	User story	-

Show 50 entries

SRSQP-6-01 - SRSQP-6-01 Change user with valid data

Created on : 2020/11/05 14:26 (czoffi)
Updated on : 2020/11/05 14:30 (czoffi)

Information | **Test step** | Parameters | Attachments | Executions

Test step

+ Add a step | + Call a test case | Copy | Paste | Delete

#	Req.	Att.	Actions	Expected results
1	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Click on menu item "User Management"	Page "User Management" is opened.
2	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Change the following data and click on the Save button: 1. email: \${email} 2. firstname: \${firstname} 3. lastname: \${lastname}	Data is successfully stored Success Message is shown

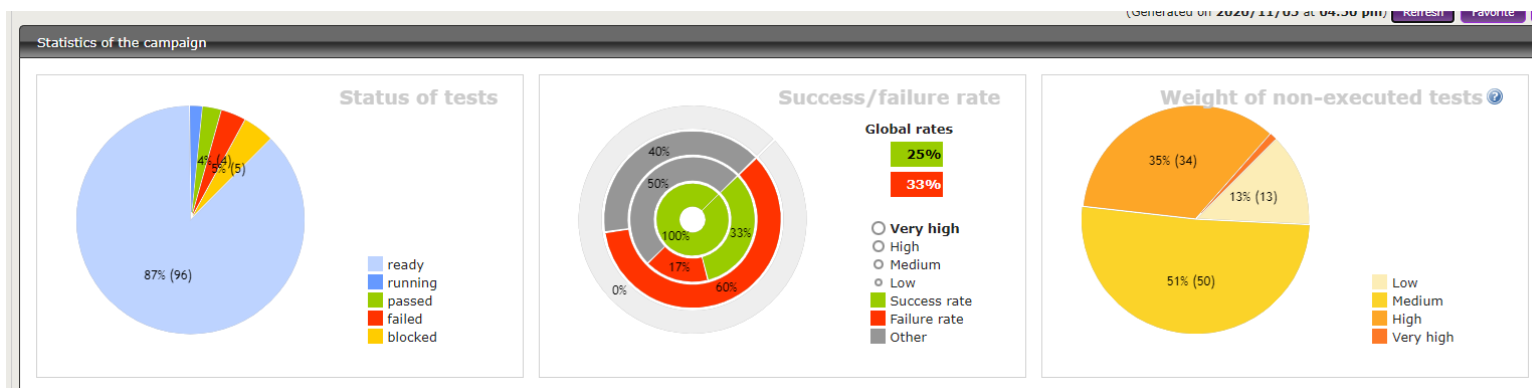
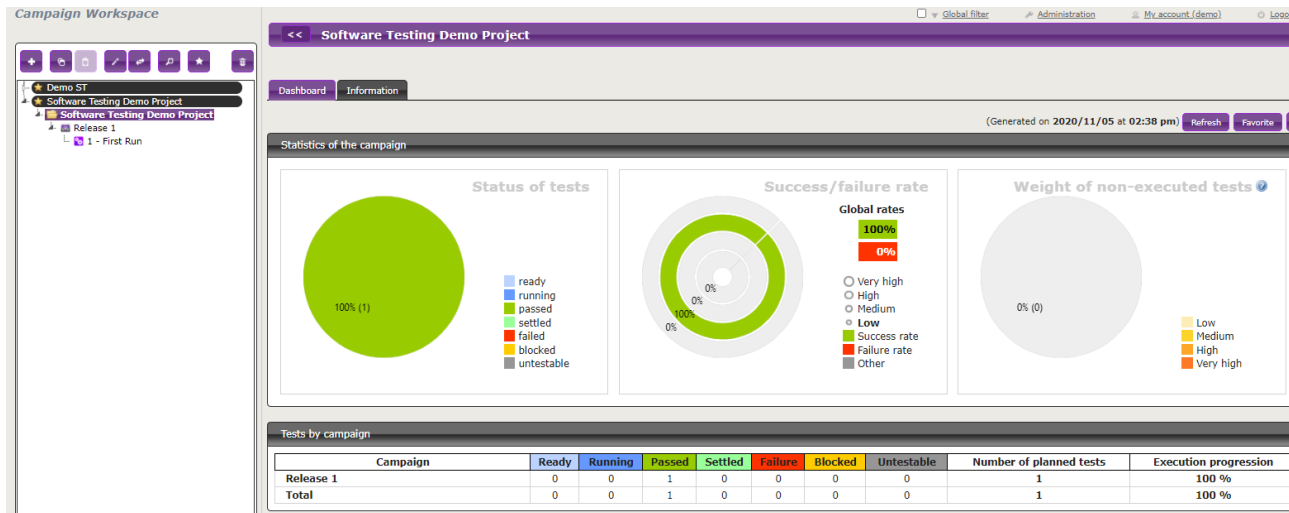
Show 50 entries

- **Similar creation in test cases workspace:**
 - Information
 - Including link to requirements
 - Test Steps
 - Step by step description
 - Parameters
 - Attachments
 - Files
 - Screenshots
 - ...
 - Executions
 - Trace executions
 - results

Squash Test

- Before execution of a test case, a campaign has to be created

An iteration of a campaign can be executed and will have a final status like passed, failed, etc.



Squash Test

- Add test cases to the execution plan of a campaign
- Then „run“ the testcases by clicking the play button

The top screenshot shows the '20171023 : Attach Test Cases' view. On the left, the 'Test Case Library' shows a tree structure with 'Demo ST' containing 'asd' and 'test', with 'SQ-001 - Testcase 1' selected. On the right, the 'Execution plan' table has columns: #, Location, Ref., Test, Wt., Test suite, and St. The table contains one entry: 1, Demo ST, SQ-001, Testcase 1, L, -, and a play button icon.

The bottom screenshot shows the '1 - 20171023' campaign details view. The 'Execution Plan' tab is active, showing a table with columns: #, Location, Ref., Test, Wt., Test suite, Status, % success, User, and Last execution on. The table contains one entry: 1, Demo ST, SQ-001, Testcase 1, L, SmokeTests, ready, 0, and a play button icon.

Squash Test

The screenshot displays the Squash Test Management web application. The main window shows the 'Test Cases Workspace' with a tree view on the left containing folders like 'Demo ST', 'asd', 'xx', and 'test', with 'SQ-001 - Testcase 1' selected. The right pane shows the details for 'SQ-001 - Testcase 1', including its creation date (2017/10/23 02:50) and a table of steps:

#	Req.	Att.	Actions	Expected results
1			Create a testcase	Testcase will be listed on in the responding folder
2			Assign a Test Suite	Test is in testsuite
3			Execute the testsuite	(Click to edit...)
4			Generate a report	Export is available

An execution popup window is open in the foreground, showing the details for step 1 of the test case. It includes fields for 'Action' (Create a testcase), 'Expected Result' (Testcase will be listed on in the responding folder), 'Comments' (Click to edit...), and 'Attachments' (Upload Attachment, Organize). The status is 'ready' and the last execution was 'never'.

- The execution popup will show every step from the test case script and you can set the status of every step with the colored buttons

Squash Test

- User guide from Squash TM:

<https://sites.google.com/a/henix.fr/wiki-squash-tm/User>

- It is important that you know how to use this tool, try the online – demo to get familiar with the tool **BEFORE** the group labs.

<https://demo.squashtest.org/squash/login>

- We recommend to use Squash TM in English version (set browser language to English), since German translation is quite strange

Test Tools – JIRA

Dashboards ▾

Projects ▾

Issues ▾

Create

Browse projects

PROJECT TYPES

All project types

Business

CATEGORIES

All categories

Recent projects

All project types - All categories

Search...

Project ↑	Key	Project type	Project lead
Group-01	GROUP01		Automation Bot
Group-02	GROUP02		Automation Bot
Group-03	GROUP03		Automation Bot
Group-04	GROUP04		Automation Bot
Group-05	GROUP05		Automation Bot
Group-06	GROUP06		Automation Bot
Group-07	GROUP07		Automation Bot
Group-08	GROUP08		Automation Bot
Group-09	GROUP09		Automation Bot
Group-10	GROUP10		Automation Bot
Group-11	GROUP11		Automation Bot
Group-12	GROUP12		Automation Bot

Test Tools – JIRA

Projects ▾ Issues ▾ Create

Open issues [Switch filter ▾](#)

Order by Priority ▾ ↓

- SRSQP-6 User Management
- SRSQP-7 login

User Management

[Edit](#) [Comment](#) [Assign](#) [More ▾](#) [In Progress](#) [Admin ▾](#)

Details

Type: Story Status: **OPEN** ([View Workflow](#))
Priority: High Resolution: Unresolved
Labels: None

Description

As a user, I want to change my personal data consisting of username, email address, first and last name.

Attachments

[Drop files to attach, or browse.](#)

Activity

[All](#) [Comments](#) [Work Log](#) [History](#) [Activity](#) [Squash TM Test cases](#) [Squash TM Executions](#)

Only test cases associated directly with the issue are displayed.

ID	Label	Reference	Importance	Writing status	Last execution
3723	SRSQP-6-01 Change user with valid data	SRSQP-6-01	High	Approved	▾

[Comment](#)

Test Tools – JIRA

Create Issue Configure Fields

Project* Story-Squash-Projekt (SRSQP)

Issue Type* Defect

Summary*

Reporter* Christina Zoffi

Attachment Drop files to attach, or browse.

Description

Style B I U A Link List Table More

Visual Text

Priority Medium

Severity None

Detected in Version None

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References

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