

# Complexity Theory and Database Theory

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## Solutions of Quiz 1

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# Problem Definitions

## The Vertex Cover Problem

INSTANCE: An undirected graph  $G = (V, E)$  and a positive integer  $k$ .

QUESTION: Does there exist a *vertex cover*  $N$  of size  $\leq k$ ?  
i.e.,  $N \subseteq V$ , s.t. for all  $[i, j] \in E$ , either  $i \in N$  or  $j \in N$ .

## The Dominating Set Problem

INSTANCE: An undirected graph  $G = (V, E)$  and a positive integer  $k$ .

QUESTION: Does there exist a *dominating set*  $S$  of size  $\leq k$ ?  
i.e.,  $S \subseteq V$ , s.t. for all  $i \in V$ , either  $i \in S$  or there exists a  $j \in S$  with  $[i, j] \in E$ .

# NP-completeness

## Theorem

*The Dominating Set problem is NP-complete.*

## NP-hardness proof (by reduction from Vertex Cover)

Let an arbitrary instance of the Vertex Cover problem be given through an undirected graph  $G = (V, E)$  and positive integer  $k$  with  $V = \{v_1, \dots, v_n\}$  and  $E = \{e_1, \dots, e_m\}$ .

W.l.o.g., we assume that  $V$  contains no isolated nodes (i.e., nodes which are not an endpoint of any edge).

We define an instance  $G' = (V', E'), k'$  of Dominating Set as follows:

- $V' = V \cup W$  with  $W = \{w_1, \dots, w_m\}$ ,
- $E' = E \cup F$  with  $F = \{[v_i, w_j] \mid v_i \text{ is an endpoint of edge } e_j \text{ in } G\}$ ,
- $k' = k$ .

# Question 1

## Question 1

Prove the first direction of the correctness of the above problem reduction, namely: If  $(G, k)$  is a positive instance of Vertex Cover then  $(G', k')$  is a positive instance of Dominating Set, i.e.:

If the graph  $G = (V, E)$  has a vertex cover of size  $k$ , then the graph  $G' = (V', E')$  has a dominating set of size  $k'$  (with  $k' = k$  by the problem reduction).

## Solution to Question 1

**Suppose that** there exists a vertex cover  $N$  of  $G$  with  $|N| \leq k$ , i.e., for every  $j \in \{1, \dots, m\}$ , at least one of the endpoints of  $e_j \in E$  is in  $N$ .

**We define**  $S = N$ . Clearly, we have  $|S| = |N| \leq k = k'$ .

**It remains to show** that  $S$  is a dominating set of  $G'$ , i.e., let  $x$  be an arbitrary node in  $V' = V \cup W$ ; we show that either  $x \in S$  or there exists a vertex  $y$  in  $S$  with  $[x, y] \in E'$ .

## Solution to Question 1 (continued)

Consider an arbitrary node  $x \in V'$ . We distinguish two cases:

Case 1. Suppose that  $x \in V$ . Recall that, in our problem reduction, we assume that  $V$  contains no isolated nodes. Hence,  $x$  is the endpoint of at least one edge, say  $e_j$ . By assumption,  $N$  is a vertex cover of  $G$ . Hence, at least one of the endpoints of  $e_j$  is in  $N$ . Thus, either  $x$  is in  $N$  or the other endpoint, say  $y$ , is in  $N$ . Since  $E \subseteq E'$  (by the problem reduction), either  $x$  is in  $N$  or there exists  $y$  in  $N$  with  $[x, y] \in E'$ .

Case 2. Suppose that  $x \in W$ . Then  $x$  is of the form  $x = w_j$  for some  $j \in \{1, \dots, m\}$ . By assumption,  $N$  is a vertex cover of  $G$ . Hence, there exists  $y \in N$ , s.t.  $y$  is an endpoint of edge  $e_j \in E$ . By the problem reduction,  $E'$  contains the edge  $[y, w_j] = [y, x]$  (by  $w_j = x$ ).

Since  $x$  was arbitrarily chosen and since in both cases we either have  $x \in N$  or there exists  $y \in N$  with  $[x, y] \in E'$ , we have shown that  $N$  (and therefore  $S$ ) is indeed a dominating set of  $G'$ .  $\square$

## Question 2

Prove the second direction of the correctness of the above problem reduction, namely: If  $(G', k')$  is a positive instance of Dominating Set then  $(G, k)$  is a positive instance of Vertex Cover, i.e.:

If the graph  $G' = (V', E')$  has a dominating set of size  $k'$ , then the graph  $G = (V, E)$  has a vertex cover of size  $k$  (with  $k' = k$ ).

## Claim

The following property holds:

Let  $S$  be an arbitrary dominating set of  $G'$  of size  $k$ .

Then there exists a dominating set  $S'$  of  $G'$  of size  $\leq k$ , s.t.  $S' \subseteq V$ .

**Proof argument for this property:** Suppose that  $S$  contains a vertex  $w_j \in W$  and that edge  $e_j$  in  $G$  has the form  $e_j = [v, v']$ . Then the vertex  $w_j$  in  $S$  only “covers” (i.e., is identical or adjacent to)  $w_j$  itself plus the two vertices  $v$  and  $v'$ . Clearly, if we replace  $w_j$  by  $v$  (or by  $v'$ ) in  $S$ , then we still have a dominating set of  $G'$  and its cardinality does not increase. We can carry out this replacement for every vertex in  $S \setminus V$  to arrive at the desired dominating set  $S' \subseteq V$  with  $|S'| \leq |S| \leq k$ .

## Solution to Question 2

**Suppose that** there exists a dominating set  $S$  of  $G'$  with  $|S| \leq k'$ , i.e., for every  $x \in V'$ , either  $x \in S$  or there exists a  $y \in S$  with  $[x, y] \in E'$ . **By the above claim**, we may assume w.l.o.g., that  $S \subseteq V$ , since otherwise we could transform  $S$  into a dominating set  $S'$  of  $G'$  with  $S' \subseteq V$  and  $|S'| \leq |S|$ .

**We define**  $N = S$ . Clearly, we have  $|N| = |S| \leq k' = k$ .

**It remains to show** that  $N$  is a vertex cover of  $G$ , i.e., let  $e_j$  be an arbitrary edge of  $G$ ; we show that at least one endpoint of  $e_j$  is in  $N$ .

Consider the vertex  $w_j \in W \subseteq V'$ . Since **we are assuming** that  $S$  is a dominating set of  $G'$ , the vertex  $w_j$  must be “covered” by some vertex in  $S$ , i.e., either  $w_j \in S$  or  $S$  contains some vertex  $x$  with  $[x, w_j] \in E'$ .

Recall that **we are assuming** w.l.o.g. that  $S \subseteq V$ . Hence,  $w_j \notin S$ .

Therefore, there exists a vertex  $x \in S$  with  $[x, w_j] \in E'$ . Since  $x \in V$  and  $w_j \in W$ , we may conclude **by the problem reduction** that  $x$  is an endpoint of the edge  $e_j$  in  $G$ . But then the edge  $e_j$  in  $G$  is indeed “covered” by the vertex  $x \in S = N$ .  $\square$