# **Programm- & Systemverifikation**

**Coverage Criteria** 

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## How bugs come into being:

- Fault cause of an error (e.g., mistake in coding)
- Error incorrect state that may lead to failure
- Failure deviation from desired behaviour
- We specified intended behaviour using assertions
- We proved (simple) programs correct.
- We learned about black-box testing
  - equivalence partitioning
  - boundary testing

# Mainly applicable to higher levels of testing

- Acceptance Testing
- System Testing
- Focus on <u>what</u> the software does (not how it does it)
- Derive input equivalence classes by speculating on behaviour

```
float sqrt (float x); pre: x \ge 0
post: |result^2 - x| < \varepsilon
```

Test cases from valid equivalence classes:

 $\blacktriangleright$  +0, -0, FLT\_MAX, FLT\_EPSILON, 15.3

Test cases from invalid equivalence classes:

$$\triangleright$$
  $-\infty, +\infty$ 

NaN

Quake 3 implementation of inverse square root:

(Original comments)

link to corresponding tweet

Quake 3 implementation of inverse square root:

```
float InvSqrt(float x)
    {
      float xhalf = 0.5f*x;
      int i = *(int*)&x; // get bits for floating value
      i = 0x5f3759df - (i>>1); // gives initial guess y<sub>0</sub>
      x = *(float*)&i; // convert bits back to float
      x = x*(1.5f-xhalf*x*x); // Newton step, repeating increases accuracy
      return x;
    }
```

(cleaned-up version)

link to corresponding tweet

```
float sqrt (float number) {
  float lower = 1, upper = 1, guess;
  if (number < 1)
    lower = number;
  else
    upper = number;
  while ((upper - lower) > EPSILON) {
    guess = (lower + upper) / 2;
    if (guess*guess > number)
      upper = guess;
    else
      lower = guess;
  }
  return (lower + upper) / 2;
}
```











► linearly independent <sup>def</sup> = contains (at least) one edge not covered by other paths

$$1 \rightarrow 2 \rightarrow 3 \rightarrow 5 \rightarrow 11 \rightarrow 12$$
  

$$1 \rightarrow 2 \rightarrow 4 \rightarrow 5 \rightarrow 6 \rightarrow 7 \rightarrow 8 \rightarrow 10 \rightarrow 5 \rightarrow 11 \rightarrow 12$$
  

$$1 \rightarrow 2 \rightarrow 4 \rightarrow 5 \rightarrow 6 \rightarrow 7 \rightarrow 9 \rightarrow 10 \rightarrow 5 \rightarrow 11 \rightarrow 12$$

think of linear algebra and linearly independent equations

upper bound of test-cases necessary to test all *branches*in our case, 2 paths are enough:

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- upper bound of test-cases necessary to test all *branches*in our case, 2 paths are enough:
  1 → 2 → 4 → 5 → 6 → 7 → 9 → 10 → 10 → 5 → 11 → 12
  1 → 2 → 3 → 5 → 6 → 7 → 8 → 10 → 10 → 5 → 11 → 12
- Do our test-cases cover all branches?



























Could we have predicted that one test case is not enough?



- $\blacktriangleright 2 \rightarrow 3 \rightarrow 5,$
- ▶  $7 \rightarrow 8 \rightarrow 10$ , and
- ▶  $5 \rightarrow 11 \rightarrow 12$
- It did not traverse
  - $\blacktriangleright$  2  $\rightarrow$  4  $\rightarrow$  5 and
  - ▶  $7 \rightarrow 9 \rightarrow 10$
- Could we have predicted that one test case is not enough?
  - Not without knowing the implementation!

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- We need at least one additional test cases!

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- We need at least one additional test cases!
  - Let's have a look at 15.3, ok?

#### This is tedious, can't we automate this?

- gcc -g -fprofile-arcs -ftest-coverage -o sqrt sqrt.c (use clang instead of gcc on newer Macs)
- gcov sqrt
- cat sqrt.c.gcov
- ./sqrt ; gcov sqrt
- cat sqrt.c.gcov

#### **Coverage information for** sqrt(0.0)

```
1:
        6:float squrt (float number) {
   1: 7: float lower = 1, upper = 1, guess;
   -: 8:
   1: 9: if (number < 1)
   1: 10:
              lower = number; // sqrt < 1, but > number
   -: 11: else
#####: 12: upper = number; // sqrt > 1, but < number</pre>
   -: 13:
   9: 14:
            while ((upper - lower) > EPSILON) {
   7: 15: guess = (lower + upper) / 2;
   7: 16: if (guess*guess > number)
   7: 17: upper = guess;
   -: 18: else
#####: 19: lower = guess;
   7: 20: }
   1: 21: return (lower + upper) / 2;
   -: 22:
```

#### **Coverage information for** sqrt(15.3)

6:float squrt (float number) { 1: 1: 7: float lower = 1, upper = 1, guess; -: 8: 1: 9: if (number < 1) #####: 10: lower = number; // sqrt < 1, but > number -: 11: else 1: 12: upper = number; // sqrt > 1, but < number -: 13: 13: 14: while ((upper - lower) > EPSILON) { 11: 15: guess = (lower + upper) / 2; 11: 16: if (guess\*guess > number) 8: 17: upper = guess; -: 18: else 3: 19: lower = guess;  $11: 20: \}$ 1: 21: return (lower + upper) / 2; -: 22:}

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    - sizeof(float) = 4 bytes, so roughly 2<sup>32</sup>
  - How many different inputs are there to our AVL implementation?
- Maybe visit all possible states?

#### What is a state?

Values of

global variables

	heap									
	<pre>void *p = malloc();</pre>									
		stack								
	pc	pc int x = 42;								
	static data									
X	code									

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- heap...

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	stack									
	pc int x = 42;									
	static data									
*		code								

- $\blacktriangleright \ \langle \texttt{stmt}, \sigma \rangle \to \langle \texttt{skip}, \sigma' \rangle \text{ formalizes execution of stmt in state } \sigma$
- How can we define the set of reachable states?

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► Lift → to sets of states:

$$\texttt{stmt}(\boldsymbol{S}) \stackrel{\texttt{def}}{=} \{ \sigma' \, | \, \sigma \in \boldsymbol{S} \land \langle \texttt{stmt}, \sigma \rangle \to \langle \texttt{skip}, \sigma' \rangle \}$$

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Recall rule for assigning expression e to x:

 $\langle \mathbf{x} := \mathbf{e}, \sigma \rangle \rightarrow \langle \mathtt{skip}, \sigma[\mathbf{x} \mapsto \sigma(\mathbf{e})] \rangle$ 

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New rule for conditional edge in our CFG:

$$\frac{\sigma(\boldsymbol{e}) = \mathsf{true}}{\langle [\boldsymbol{e}], \sigma \rangle \to \langle \mathtt{skip}, \sigma \rangle}$$

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$$\frac{\sigma(\boldsymbol{e}) = \mathsf{true}}{\langle [\boldsymbol{e}], \sigma \rangle \to \langle \mathtt{skip}, \sigma \rangle}$$

(what about false?)

- ▶ Let  $\langle V, E \rangle$  be a control-flow graph with entry node  $* \bigcirc \in V$
- ▶  $stmt_{\langle i,j \rangle}$  is the statement for edge  $\langle i,j \rangle \in E$

- ▶ Let  $\langle V, E \rangle$  be a control-flow graph with entry node  $* 0 \in V$
- ▶  $stmt_{\langle i,j \rangle}$  is the statement for edge  $\langle i,j \rangle \in E$
- ► We start out with all states reachable at \*O:

 $S_{\bullet \bigcirc} = \{ \sigma \mid \sigma \text{ is a possible variable assignment} \}$ 

- ▶ Let  $\langle V, E \rangle$  be a control-flow graph with entry node  $\star O \in V$
- ▶  $stmt_{\langle i,j \rangle}$  is the statement for edge  $\langle i,j \rangle \in E$
- ► We start out with all states reachable at \*O:

 $S_{\bullet \bigcirc} = \{ \sigma \mid \sigma \text{ is a possible variable assignment} \}$ 

Then we recursively define states at successor nodes j:

$$\mathcal{S}_j = igcup_{i \in \{n \mid \langle n, j 
angle \in E\}} \mathtt{stmt}_{\langle i, j 
angle}(\mathcal{S}_i) \ \ \mathrm{if} \ j \in V \setminus \{* \cap\}$$

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• Initialize all other nodes with 
$$\emptyset$$

Iterate computation until *fixpoint* is found



















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 $\triangleright \infty$ , in theory

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- $\triangleright \infty$ , in theory
- Collecting semantics generally defined as *least fixpoint* of

$$\lambda S_{j} \cdot \begin{cases} \{\sigma \mid \sigma \text{ is possible assignment} \} & \text{if } i = entrynode \\ \bigcup_{i \in \{n \mid \langle n, j \rangle \in E\}} \mathtt{stmt}_{\langle i, j \rangle}(S_{i}) & \text{if } j \in V \setminus \{\star O\} \end{cases}$$

How about finite state programs?

Assume that there are only *n* different elements that we can insert into our AVL tree.

Element	1	2	 n
Inserted	$\checkmark$	X	 $\checkmark$

Finitely many *states* 

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Assume that there are only *n* different elements that we can insert into our AVL tree.

Element	1	2	 n
Inserted	$\checkmark$	X	 $\checkmark$

Finitely many *states* 

But still 2<sup>n</sup> possible sets (and even more trees)!

But aren't many trees "similar"?

Elements	1	2	3	4	5
State 1	$\checkmark$	$\checkmark$	X	X	X
State 2	X	$\checkmark$	$\checkmark$	X	X

- Maybe, we don't need to "cover" all of them?
- What is the problem with this argument?

But aren't many trees "similar"?

Elements	1	2	3	4	5
State 1	$\checkmark$	$\checkmark$	X	X	X
State 2	X	$\checkmark$	$\checkmark$	X	X

- Maybe, we don't need to "cover" all of them?
- What is the problem with this argument?
  - it is not formally proven (maybe even wrong)
  - it is specific to one program

# **Coverage Criteria**

Common agreement on what "sufficiently tested" means
 coverage criteria are about *confidence*, trust
 required for *certification* (according to industry standards)
 Important: achieving coverage is not a goal in itself
 "The journey is the reward:" Testing until coverage is reached
 Test-cases should be generated from *requirements*

# Coverage criteria define equivalence classes with respect to program behaviour

- Control flow-based coverage
  - Path coverage
  - Statement/basic block coverage
  - Branch coverage
  - Decision coverage
  - Condition coverage
  - Condition/Decision coverage
  - Modified condition/decision coverage (MC/DC)
  - Multiple decision coverage
- Data flow-based coverage
  - Definition/use pairs
- Mutation testing

Goal: Execute every path of the program

- Independently of the variable values along that path
- Every path is an equivalence class

What's the number of paths through the following program?

```
while (1) {
    if (getchar() == EOF)
        break;
}
```

In general, path coverage can't be achieved

}
Goal: Execute every program statement at least once

All traces visiting that statement build equivalence class

## Goal: Execute every program statement at least once

- All traces visiting that statement build equivalence class
- Let l be program location of said statement

$$\{\pi | \pi = \sigma_0, \dots, \sigma_n \land \exists i.0 \le i \le n \land \sigma_i(pc) = \ell\}$$

## Bad criterion:

consider test case x = 5 for following code fragment:

## Bad criterion:

consider test case x = 5 for following code fragment:

```
if (x > 1) {
    x++;
}
int y = x/y;
```

- All statements executed, but else branch never taken
- May not exercise all outcomes of a conditional statement

- Goal: Execute all branches in a program
  - Equivalence class: paths execute a certain branch
- Usually implies statement coverage (but see comments later)

Goal: Exercise every decision outcome at least once

- decision is a "Boolean expression composed of conditions and zero or more Boolean operators"
- EC: traces in which decision evaluates to same value

Goal: Exercise every decision outcome at least once

- decision is a "Boolean expression composed of conditions and zero or more Boolean operators"
- EC: traces in which decision evaluates to same value
- For each program location  $\ell$  with decision B

Need one path from

$$\{\pi | \pi = \sigma_0, \dots, \sigma_n \land \exists i. 0 \le i \le n \land \sigma_i(pc) = \ell \land \sigma_i \models B\}$$

and one from

$$\{\pi | \pi = \sigma_0, \dots, \sigma_n \land \exists i. 0 \le i \le n \land \sigma_i(pc) = \ell \land \sigma_i \models \neg B\}$$

# Subtly different from "branch coverage"

Vacuously true for the following program:

```
x = y;
x++;
```

all decisions covered even without testing

Therefore, does not imply statement coverage

Danger, Will Robinson:

## branch coverage $\neq$ decision coverage

At least not in general!

- Numerous subtle differences
- Inconsistent definitions (in industry standards)
- In particular, neither metric subsumes the other

- branch (1) (software). (A) A computer program construct in which one of two or more alternative sets of programs statements is selected for execution. (B) A point in a computer program at which one of two or more alternative sets of program statements is selected for execution. Syn: branchpoint. [...]
- branch testing. Testing designed to execute each outcome of each decision point in a computer program. Contrast with: path testing; statement testing.

IEEE Std 100-1992 Standard Dictionary of Electrical and Electronic Terms

## imprecise definitions of "branch"

Some definitions may or may not include

- unconditional branches (goto)
- function calls
- fall-throughs (in switch/case constructs





- Contains no decisions
- But: contains a non-conditional branch

## expressions with side effects

Consider the following example:
if ((y > 1) && ((z > 1) || foo()) x = y; else x = z;
"Decision" evaluates to true if y > 1 and z > 1

- "Decision" evaluates to false if y <= 1</p>
- foo is never executed (short-circuited evaluation!)
- covered by branch coverage, *if* function call a is branch

# "Decision" is defined as "Boolean expression"

not necessarily only at branching points!

- Strictly speaking, have to cover every outcome of x>0
- E.g., enforced in DO-178B standard
- This code doesn't contain any branch!

Branch coverage implies decision coverage

 if "decision" means Boolean expressions at branching points only

Decision coverage is stronger than branch coverage

- if "branch" doesn't include unconditional jumps
- ▶ if "decision" refers to *all* Boolean expressions

Often branch and decision outcome are used synonymously

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 if "decision" means Boolean expressions at branching points only

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Often branch and decision outcome are used synonymously

Meaning varies, depending on industry standard that applies

Also: interpreted differently by different coverage tools

Goal: Exercise every sub-expression/atom/condition outcome

- atom is a Boolean expression not containing Boolean operators (e.g., &&, ||)
- Equivalence class: paths in which condition evaluates to same value

Does not imply decision coverage!

Consider the following program fragment:

```
if ((x > 0) && (y > 0))
x++;
```

Inputs: x = 5, y = -3 and x = -1 and y = 2

All condition outcomes considered, but decision always false

- Goal: Exercise every sub-expression/atom/condition outcome
  - atom is a Boolean expression not containing Boolean operators (e.g., &&, ||)
  - Equivalence class: paths in which condition evaluates to same value
- Can be considered as path partitioning if evaluation follows some order

think of generated intermediate representation



Combination of decision and condition coverage

- Cover all condition outcomes
- Cover all decision outcomes
- not all branches in intermediate code might be executed!
- Consider the following cases:



► Coverage criterion is satisfied; 1 → 3 → 4 never executed!

- Each condition outcome must affect the decision outcome independently
  - "fix" the value of all conditions in a decision except for one
  - flipping that one condition must change the decision outcome
  - each outcome of the condition must influence the outcome of the decision at least once

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MC/DC not satisfied: neither A = 0 nor B = 0 influence outcome 0 of A && B independently!

• need to add A = 0, B = 1 and A = 1, B = 0

#### Coverage Criteria: MC/DC as defined in DO-178B

- 1. Every entry and exit point in the program has to be visited
- 2. Every conditional statement (i.e., branchpoint) has to take all possible outcomes (i.e., branches)
- 3. Every non-constant Boolean expression has to evaluate at least once to 1 and at least once to 0
- 4. Every non-constant condition in a Boolean expression has to evaluate at least once to 1 and at least once to 0
- 5. Every non-constant condition in a Boolean expression has to affect that expression's outcome independently
- Decision coverage requires (1, 2, 3)
- Decision/Condition coverage requires (1, 2, 3, 4)
- MC/DC requires 1 through 5
  - Note: equating branch and decision coverage violates MC/DC definition in DO-178B

# **DO-178B** (Software Considerations in Airborne Systems and Equipment Certification)

- Safety standard
- Used for certification of safety critical software
- defines levels of criticality depending on potential damage of fault:
  - catastrophic
  - hazardrous/sever-major
  - major
  - minor

Defines corresponding criticality levels A, B, C, D

For certification, following coverage criteria apply:

Α	MC/DC
В	Decision and Statement coverage
С	Statement coverage
D	None

 (also specifies other criteria, e.g., documentation, traceability of requirements to test-cases, etc.)

- All combinations of conditions in each decision have to be tested
- Consider the expression (A || B) && C
  - Condition/Decision coverage:



MC/DC (bold values influence decision outcome):



Multiple condition overage: all 2<sup>3</sup> combinations!

- Some coverage criteria might not be 100% satisfiable
  - Simple example: Statement coverage and unreachable code
- Coverage goal could be lower than 100%
- If coverage goal unreachable, justification may be necessary

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- $\alpha$  induces a finite *abstract domain*  $\mathbb{B}$ 
  - Bottom element false (⊥)
  - Top element true  $(\top)$

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- $\alpha$  induces a finite *abstract domain*  $\mathbb{B}$ 
  - Bottom element false (⊥)
  - Top element true (⊤)
- Full statement coverage can be reached if

 $\forall i \in V . \alpha(S_i) = \top$ 

> We define 
$$\alpha$$
 as

$$\alpha(S_i) \stackrel{\text{\tiny def}}{=} \begin{cases} \{\sigma(B_i) \mid \sigma \in S_i\} & \text{if } i \text{ has decision } B_i \\ \top & \text{otherwise} \end{cases}$$

(We assume each location has only one decision)

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Abstract domain is now a lattice:



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(We assume each location has only one decision)

Abstract domain is now a lattice:



Full decision coverage can be reached if

$$\forall i \in V . \alpha(S_i) = \top$$

Note:

- Abstract domains for metrics we defined are *finite*
- even if set of reachable states is infinite



- But use states reached via tests
  - Each σ ∈ S<sub>→○</sub> is now starting state of a test (assuming we have non-reactive programs)

## Control flow-based coverage

- Path coverage
- Statement/basic block coverage
- Branch coverage
- Decision coverage
- Condition coverage
- Condition/Decision coverage
- Modified condition/decision coverage (MC/DC)
- Multiple decision coverage

## Data flow-based coverage

- Definition/use pairs
- Mutation testing

## Data flow: how do values propagate through program?

- definition: assignment of a value to a variable
- use: statement where the value is read
- def-use chain: cycle-free path, first statement defines value, last statement uses value; value not re-defined in between
#### **Coverage Criteria: Data-Flow**



## Definitions can "flow into"

- Boolean expressions ("predicates") in conditional statements
- variables used to define ("compute") other values (right-hand-side of assignment)
- Some notation:
  - defs(x): locations where x is defined
  - p-use(x): locations where x is used in predicate
  - c-use(x): locations where x is used to compute other value
- A path is def-clear for x if
  - x is not re-defined between first and last node

#### **Coverage Criteria: Data-Flow**



dpu(ℓ, x) locations ℓ' ∈ p-use(x) such that there is a def-clear path from ℓ to ℓ'

This are the locations which use  ${\bf x}$  in a predicate and can potentially be influenced by the definition of  ${\bf x}$  at  $\ell$ 

dcu(ℓ, x) locations ℓ' ∈ c-use(x) such that there is a def-clear path from ℓ to ℓ'

This are the locations which use  ${\bf x}$  in a computations and can potentially be influenced by the definition of  ${\bf x}$  at  $\ell$ 

#### **Coverage Criteria: Data-Flow, Example for dcu**



For each definition of variable x and for every  $\ell \in \mathsf{defs}(x),$  the test suite traverses:

▶ all-defs: one path to some  $\ell' \in (dpu(\ell, x) \cup dcu(\ell, x))$ 

 $\Rightarrow$  all definitions get used

▶ all-c-uses: one path to each  $\ell' \in dcu(\ell, x)$ 

 $\Rightarrow$  all computations affected by each definition are executed

▶ all-p-uses: one path to each  $\ell' \in dpu(\ell, x)$ 

 $\Rightarrow$  all decisions affected by each definition are executed

all-c-uses/some-p-uses: one path to each l' ∈ dcu(l, x), but if dcu(l, x) = Ø, then at least one path to l' ∈ dpu(l, x)

 $\Rightarrow$  all definitions used, and if they affect computations, then all affected computations are executed

all-p-uses/some-c-uses: one path to each l' ∈ dpu(l, x), but if dpu(l, x) = Ø, then at least one path to l' ∈ dcu(l, x)

 $\Rightarrow$  all definitions used, and if they affect decisions, then all affected decisions are executed

all-uses: one path to *each* node ℓ' ∈ (dpu(ℓ, x) ∪ dcu(ℓ, x))
⇒ every computation and decision affected by definition

executed

▶ all-du-paths: all paths to each node  $\ell' \in (dpu(\ell, x) \cup dcu(\ell, x))$ 

 $\Rightarrow$  like above, but *all* def-use paths

### **Subsumption Lattice**



- Data-flow criteria track dependencies between variables
- Set of all pairs can be approximated by static analysis
  - typically covered in course on compiler design

## Control flow-based coverage

- Path coverage
- Statement/basic block coverage
- Branch coverage
- Decision coverage
- Condition coverage
- Condition/Decision coverage
- Modified condition/decision coverage (MC/DC)
- Multiple decision coverage
- Data flow-based coverage
  - Definition/use pairs

# Mutation testing

▶ ...

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  - Each mutant should be caught (*killed*) by one of the test cases!
- Typical mutations: simple syntactic modifications
  - Delete a statement
  - Change && to ||, to +, < to <=, ...</p>
  - Replace variables with others in scope

#### Weak mutation testing

Test case must trigger the injected fault and result in an error

Strong mutation testing Test case must trigger the injected fault and result in a failure

## Obstacles:

- Equivalent mutants: Some faults can't be triggered (e.g., changing == to <= in for (i=10; i==0; i--))</p>
- Also, most "real world" bugs aren't that simple (does mutation testing evaluate the ability of a test-suite to catch "real" bugs?)

# Fuzzing: a variation of Mutation Testing

- "mutate" (or randomly vary) input data
- monitor program for resulting crashes, failed assertions, memory leaks
- c.f. fault injection

- Coverage criteria for when program is "sufficiently" tested
- Widely used, also in certification of safety critical systems
- Are effectively a confidence measure
  - do not guarantee that program is bug-free
  - also, some of the definitions are ambiguous
- Never forget:
  - Test-case generation driven by specification, not by coverage!