

# Wireless in Automation - Summary

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# 1 Overview

**Process:** complete set of interacting operations of a system by which material, energy or information is transformed, transported or stored.

**Technical Process:** complete set of operations in a plant

**Plant:** complete set of technical equipment and facilities for solving a defined technical task. A plant includes apparatus, machines, instruments, devices, means of transportation, control equipment and other operating equipment.

## 1.1 Wired vs. Wireless

Wired	Wireless
High bandwidth	Low-medium bandwidth
High performance	Higher latency
Robust	Interference
Reliable	Unreliable by nature
Installation expensive	Installation cheap
"Unlimited" resources	Low power, memory
Static network	Mobile network
Security?	Security!

## 1.2 Multiplexing

### 1.2.1 Time Division Multiple Access (TDMA)

Channel occupies whole frequency for defined time slot.

- **Advantage**

- Only one carrier per time slot
- High throughput

- **Disadvantage**

- Precise synchronization required

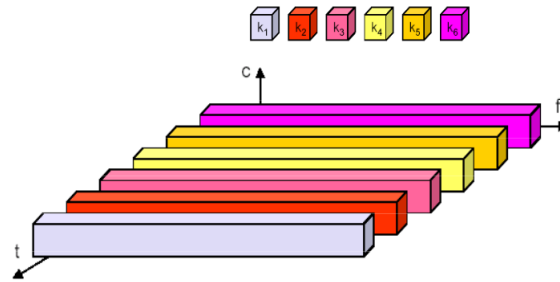


Figure 1: Time Division Multiple Access

### 1.2.2 Frequency division multiplex access (FDMA)

Total bandwidth separated into different frequency segments.  
Channel occupies frequency segment.

- **Advantage**
  - No dynamic coordination
  - Also for analog signals
- **Disadvantage**
  - Inflexible
  - Waste of bandwidth in case of unequal load

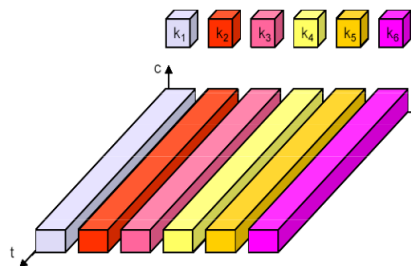


Figure 2: Frequency division multiplex access

### 1.2.3 Time and Frequency multiplexing

Combination of time and frequency multiplexing

- **Advantage**
  - (Quite) secure
  - protection against interference

- Higher user data rates
- **Disadvantage**
  - Precise coordination

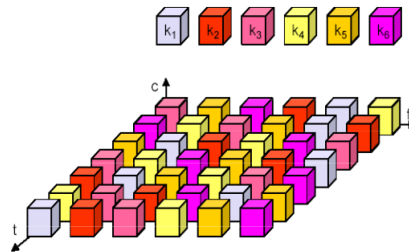


Figure 3: Time and Frequency multiplexing

#### 1.2.4 Code division multiplex access (CDMA)

Each sender has unique orthogonal code  $\rightarrow$  all senders can transmit at same time

- **Advantage**
  - Efficient use of bandwidth
  - No coordination or synchronization
  - Protection against interferences
- **Disadvantage**
  - User data rate limited
  - Complex because of signal generation

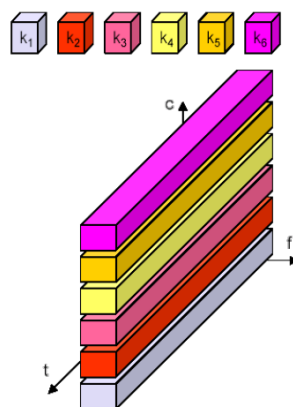


Figure 4: Code division multiplex access

## 2 IEEE 802.15.4, ZigBee, LongRangeWireless, Visual and audio communication

### 2.1 IEEE 802.15.4

- Defines the physical and the data link layer of the network stack.
- On top of this protocol lay protocols like ZigBee, WirelessHART or 6LoWPAN.
- It is an open standard, which is kept simple to ensure longevity and flexibility.
- It has fixed communication channels, which mean there is no frequency hopping possible.
- Either in star- or peer-to-peer topology
- Devices have a 64 bit extended address and a 16 bit short address
  - 64 bit address is unique in all of the network
  - 16 bit address is only unique within the local PAN
- Short range
- Low cost
- Low power consumption

#### 2.1.1 Full-Function Device (FFD)

- Implements the full communication stack
- PAN coordinator and end device
- Can communicate with other FFDs or RFDs
- Externally powered

Because of the complete communication stack and the use of the radio almost all the time

#### 2.1.2 Reduced-Function Device (RFD)

- Implements only subset of communication stack
- Only end device
- Can only communicate with other FFDs
- Can be battery-powered

### 2.1.3 Communication

- Beacon enabled  
Synchronizes network (communication)
- Non-beacon enabled  
No synchronization  
Beacon on request  
Only CSMA/CA

#### Indirect data transfer:

- Star topology
- A device will request data from its coordinator  
Coordinators will not send unrequested data
- Power saving: devices can switch off

#### Direct data transfer:

- Device communicates with any other device (peer-to-peer)
- Power saving only in beacon enabled mode

### 2.1.4 Limitations

- Unbounded latencies  
Because of CSMA/CA
- No guaranteed bandwidth  
Not suitable for real-time networks
- Intrinsically unreliable
- Default MAC parameters are not optimal
- Acknowledgements are optional
- Prone to radio interference
- Cheap radio transceivers not suitable for harsh environments

→ in 2012 a new amendment was approved: IEEE 802.15.4e

## 2.2 ZigBee

Used in many home automation devices.

### 2.2.1 Device types

- **Coordinator**
  - Full-Function Device (FFD)
  - Externally powered
- **Router**
  - FFD
  - Externally powered
- **End device**
  - FFD or RFD
  - Can be battery powered
- **Green Power Device**
  - Power harvesting - no battery power needed

### 2.2.2 Topologies

#### Star

Central ZigBee Coordinator and ZigBee End Devices are attached.

#### Tree

Central ZigBee Coordinator with attached ZigBee Routers. Routers then have End Devices attached as "leaves".

The routers are only connected to the coordinator, but not under each other.

#### Mesh

In the Mesh topology multiple routers are connected with each other. One of devices is the central Coordinator. Attached to some routers are the ZigBee Devices.

### 2.2.3 Routing

#### Broadcasting

- Every routing device forwards a broadcast message
- Passive Acknowledgement
  - Device expects forwarded message from all its neighbours (except from the device it received the message)



## Many-to-one Routing

- Usually used in sensor networks.

A single node collects the data from multiple sensor nodes.

- Forwarding path is recorded

Nodes build a routing table

## Source/One-to-many routing

- Uses routing tables of all other nodes to build routing table of network

Message is sent out and the response is the routing table/traceroute of the (last) node

→ Node now knows how to reach all other nodes.

## Mesh routing/ Ad-hoc On-demand Distance Vector-Routingalgorithm (AODV) protocol

*A* wants to send to node *B*

1. *A* initiates a router request (broadcast)
2. All receiving node update their *route discovery table* and forward it to their neighbours
3. *B* send a (directed) *Route Reply* to *A*  
*B* uses the shortest path back to *A*
4. Routers update their *routing tables*
5. After communication the nodes drop their *route discovery table* and store the *routing tables*

### 2.2.4 ZigBee application Framework

Devices have applications, which have application objects.

Application objects implement **clusters** (e.g. On/Off cluster).

Clusters consist of **attributes** (e.g. On/Off - type boolean) and **commands** (e.g. On, Off, Toggle)

## 2.3 LoRaWAN Technology & Narrowband - IoT (NB-IoT)

### 2.3.1 LoRaWAN

Long Range wireless protocol with low energy consumption (40km line-of-sight with 100mW of power).

LoRa is "free-to-use" and you don't rely on any public infrastructure or license. You can

acquire a development kit and are "good to go", **but** the devices are quite expensive.

Duty cycle<sup>1</sup> is very small: 1% → data rates are very low

Example applications are in smart agriculture or smart cities.

### 2.3.2 NarrowBand IoT (NB-IoT)

- Depends on a network infrastructure provider
  - Dependency
  - + Maintenance Support
- Relatively low cost per device
- Easy deployment
  - Integration into cellular system
- Deep penetration (indoors or underground)

### 2.3.3 Comparison

- Dedicated infrastructure
  - LoRa needs dedicated gateways, NB-IoT does not
- Frequency spectrum
  - LoRa works on an unlicensed frequency spectrum
  - NB-IOT services are synchronized and they are provided over licensed frequency bands (costs are not insignificant)
- Data rates
  - NB-IoT outperforms LoRa by 20x
- Network coverage
  - LoRa more suited for rural areas, NB-IoT for urban locations
- Ecosystem
  - LoRa is older and better established, NB-IoT is still very new

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<sup>1</sup>The duty cycle determines how long a device is allowed to send. The smaller the cycle the less active time the device is allowed to have (e.g if a device has a 50% duty cycle, that means that it is allowed to be active 50% of a timeframe - see figure <https://cdn.sparkfun.com/assets/f/9/c/8/a/512e869bce395fbc64000002.JPG>).

## 2.4 Non radio-frequency communication

### 2.4.1 Visible light communication

Use visible light to transmit information (e.g. IDs, acoustic signals, traffic light information, ...).

### 2.4.2 Ultrasonic audio communication

Transmit information via audio channel (17.5 - 22kHz).

- + Only low-end hardware needed and possibility for retrofitting.
- + Estimate the distance
- Prone for disturbances.
- Physical proximity necessary

## 3 6LoWPAN, Energy and various Protocols and Wireless M-Bus

### 3.1 6LoWPAN

IPv6 was considered to be infeasible for most "embedded" devices:

- bigger addresses cause bigger overhead
  - Minimum MTU of 1280 bytes
- Using TCP connections consumes a lot of power
  - Devices have to be connected constantly and cannot turn off their antennas
- Conventional IP routing is not easily applicable to mesh topologies

6LoWPAN then managed to adapt IPv6 in IEEE 802.15.4:

- IPv6 header compression
- Packet fragmentation (into smaller packets) and re-assembly
- Adaption of IPv6 neighbour discovery

#### 3.1.1 Applications of 6LoWPAN

- Home and building automation
- Healthcare automation
- Industrial automation
- Smart metering
- Real-time environmental monitoring and forecasting

### 3.1.2 6LoWPAN Packet

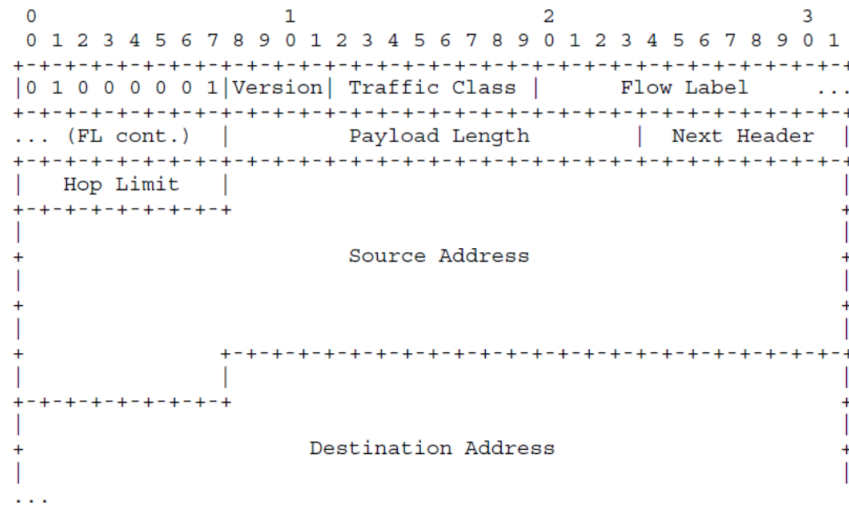


Figure 5: 6LoWPAN Format

- Dispatch byte (top left corner - 01000001)
  - Indicates "what kind of traffic" it is
  - e.g. 01000010 - 6LoWPAN\_HC1 compressed IPv6 packet
- Compression via omitting unused/redundant header fields
  - Flags indicate what is omitted and how
  - e.g. Flag to indicate link-local prefix (FE80::/64) address - address can be omitted
  - IP version header field can be omitted because it is always IPv6
  - Best case header size is 2 bytes instead of 40!

## 3.2 Energy

### 3.2.1 Energy conversion

EnOcean is a protocol which supports very low energy telegrams.

#### Example:

A button which if pressed produces a small amount of energy (e.g. via a piezo crystal). This is then enough energy to send a very small telegram (size of 3 bytes) to a light source to turn the light on/off.

→ no battery or external energy source needed.

Because the messages have to be so small, there is no room for security. If security measures are added, more energy is needed.

### 3.2.2 Energy distribution

Radio Frequency Identification (RFID) absorb radio-magnetic field to be powered.

Wireless Interface for Sensors and Actuators (WISA) uses the same principal as RFID, but the energy used is way larger.

## 3.3 Wireless M-Bus

Used for smart grids.

Remote reading of different meters (gas, electricity, water, ...).

## 4 Deterministic Wireless

”Deterministic” in wireless protocols usually refers to how the wireless channels are used. With frequency hopping for example, the frequency to use is calculated via a simple algorithm.

### 4.1 WirelessHART

- Wireless protocol for industrial automation
- Extension to wired HART protocol
- Partially based on IEEE 802.15.4
- Deterministic communication
- Mesh architecture
- Security is mandatory

#### 4.1.1 Device types

- Field device
  - Routing capabilities
  - Send and receive data
- Adapter
  - Connection to an existing wired HART network
- Gateway
  - Connects HART network to the plant automation network
  - Not necessarily wireless

- Unique clock source for the whole WirelessHART network
- Access Points
  - Provide access to the wireless network
  - Connected with the Gateway (maybe via Ethernet or WiFi)
- Network Manager
  - Unique (exactly one Network Manager in the network)
  - Knows all of network and communication
    - based on this it manages Scheduling and Routing
- Security Manager
  - Management and distribution of keys

## 5 WLAN, Bluetooth and various Protocols

### 5.1 IEEE 802.11x

WiFi is part of IEEE 802.11x ( $x = a, b, g, n, ac, ad, ax$ )

Depending on the flavour the protocol operates on different frequency bands (partly overlapping between standards: 1 GHz - 5 GHz or 60 GHz) and supports different bitrates (6 Mbit/s - 11 Gbit/s).

Typically has star topology (every device is connected to a central access point).

Access points are identified by beacon frames.

#### **Authentication:**

A device can/will associate itself with a APs SSID, so it can hop between APs that share the same SSID.

#### **Encryption:**

Authentication and encryption are independent.

#### **Power saving mode:**

Intended for battery powered node and marked by flag.

1. Node will choose sleep duration (typically 0.5s) and sends empty frame to AP (with power save bit = 1).
2. AP will buffer all intended packages for this node.
3. On wake-up node will again send empty frame with power save bit = 0

4. AP will send all buffered data

### **IEEE 802.11 in Automation:**

Not used in typical automation systems, but can be used in:

- production planning  
e.g. for maintenance
- data acquisition  
e.g. with mobile devices and existing infrastructure that support this protocol
- Applications with high throughput demands  
e.g. Video surveillance
- Network bridges or pipelines for other technologies

Power saving should not be a big factor, when using this protocol though (since it does it rather poorly).

#### **5.1.1 IEEE 802.11p**

Aka *WAVE*, is from the same working group as the WiFi standards IEEE 802.11*x*, but is actually something completely different.

It is used for vehicle communication (e.g. with ITS - intelligent transport system) and operates in the frequency band of 5,85 to 5,925 GHz.

It deviates from the conventional WiFi principles:

- data exchange is possible without association and authentication  
while driving there is no time to authenticate with multiple different access points
- adds timing advertisement, half the channel width  
?

It does not have a lot of implementations (yet).

### **Downsides:**

- High energy consumption
- Easily impacted by interference
- High traffic in P2P scenarios due to star topology
- Large protocol stack

### 5.1.2 IEEE 802.11ah

Aka *HaLow* uses 900 MHz band, which results in higher range and lower energy usage.

It introduces "grouped stations", which act as relays (mesh like - 2 hops max).

It also has wake/doze periods to save energy (similar to radio duty cycles).

It has quite a low through put (100 Kbit/s) but a longer range (1km).

It's applications are in IoT, Smart Metering and M2M (Machine-to-Machine) communication.

## 5.2 Bluetooth

Less throughput and range than WiFi, but more energy efficient (can be used with battery powered devices).

Downside: very vulnerable to interference

Layers:

Application
RFCOMM/SDP
L2CAP
Host Controller Interface (HCI)
Link Manager
Link Controller
Baseband (ACL, SCO, eSCO)
Radio

### 5.2.1 Radio layer

- Bluetooth networks are called "Piconets" and have a star topology (primary-secondary).
- The primary can wake up secondaries to communicate.
- Up to 8 active devices (3 bit Piconet address).  
1 primary and up to 7 secondaries
- "Scatternets" are pooled/connected Piconets.

### 5.2.2 Baseband layer

Different types of connections:



- Asynchronous Connection-Less (ACL)
  - Connectionless, packet oriented
  - Low priority
  - No bandwidth guarantees
- Synchronous Connection-Oriented (SCO)
  - Synchronous P2P
  - Primary assigns time slot to secondary
  - No integrity checks
  - Bandwidth guaranteed
- Enhanced Synchronous Connection-Oriented (eSCO)
  - Integrity checks
  - Higher throughput than SCO

### 5.2.3 Link Controller

Responsible for creation and management of connections.

Scans:

- Inquiry and Inquiry Scan
  - Find unknown devices
  - Devices open for connections regularly switch to "Inquiry Scan" state
- Page and Page Scan
  - Creation of an ACL connection
  - Address must be known (from inquiry or previous connection)

There are energy savings happening in this layer:

- Connection-Hold
  - Primary and secondary agree on a "timeout" and both shut down for some time
- Connection-Sniff
  - Primary and secondary negotiate a Sniff-interval
- Connection-Parking
  - Secondary gives IP its Piconet address (if for example turned off for some time)  
→ address becomes available again.

### 5.2.4 Link Manager

Manages connections:

- Creation of ACL, SCO or eSCO connections
- Closing of connections
- Configuration of e.g. time slots
- Distribution of Piconet addresses
- Enabling/Disabling energy saving modes
- Control adaptive frequency hopping (AFH)
- Pairing
- Security checks

Bluetooth  $\leq 2.0$  uses PIN for authentication

since Bluetooth 2.1 various pairing protocols for different applications & private/public key with elliptic curve Diffie-Hellman

Authorization: restrict services and access

### 5.2.5 Host Controller Interface

E.g. via USB, UART or SD (embedded PCs with SD-interface)

HCI commands (host  $\rightarrow$  controller)

Events (controller  $\rightarrow$  host)

### 5.2.6 Logical Link Control and Adaptation Protocol (L2CAP)

Create multiple logical connection over one physical connection  $\rightarrow$  Protocol Service Multiplexer (PSM)

**BUT** only for ACL connections!

SCO and eSCO connection are created directly over HCI  $\rightarrow$  no multiplexing

### 5.2.7 RFCOMM/SDP

Virtual serial interface for data transfer.

Bluetooth profiles:

- Serial Port
- OBEX File Transfer

- Dial-Up  
e.g. phone provides internet access for laptop over Bluetooth
- Human Interface Device (HID)  
e.g. for keyboards

Services are listed in the Service Database.  
Services are found over the Service Discovery Protocol (SDP)

### 5.2.8 Bluetooth in Automation

#### Pros:

- Wide spread
  - Automated Guided Vehicles (AGV)
  - Wireless Sensor and actuator networks
  - Home and building automation
- Often already "on-board"/supported by devices

#### Cons:

- Large stack with large overhead
- Energy intensive ( $\leq 3.x$ )  
Continuous polling through primary node  
Fixed in  $\geq 4.x$
- Low range
- Small networks
- Just star topology available ( $\leq 3.x$ )  
Mesh capabilities announced in 2017
- Not an open standard

## 5.3 Z-Wave

- Focuses on home automation
- Mesh networking with source routing
- Maximum of 232 devices

- Device classes
  - Controllers
    - Aware of entire topology
    - Configure secondaries
    - Handle security and routing
  - Secondaries
    - Only reception and acknowledgement
    - Routing secondary: Data relaying along predefined routes
- Standard device classes for "basic" interoperability (like in ZigBee)
  - Light controller
  - ...

## 5.4 KNX-RF

KNX-RF devices cannot be used with normal wired KNX group addresses (because group address might not be unique over RF e.g. if neighbour uses same group addresses)  
 → fix:

extend group addresses: 6 octets serial number + 2 octets standard group address  
 → every device knows serial numbers of sending devices, which are part of the same group

## 5.5 Insteon

### **Dual-mesh network:**

Uses both wireless and wired media (power line)

Broadcast based transmission scheme → flooding of messages → no routing required

Telegrams: 3 byte address + 14 byte user data

Hop limit: 0-3