

$\{A\} p \{B\}$ The partial correctness assertion does not require p to terminate.
 $[A] p [B]$ The total correctness assertion requires p to terminate.

$$\sum_{k=1}^n k = \frac{n(n+1)}{2} = \frac{n^2 + n}{2} \quad \sum_{k=1}^{n-1} k = \frac{n^2 - n}{2}$$

$\vdash \{A\} p \{B\}$... triple $\{A\} p \{B\}$ is provable using Hoare rules

$\models \{A\} p \{B\}$... triple $\{A\} p \{B\}$ is valid

Hoare Logic is Sound: if $\{A\} p \{B\}$ is provable using Hoare rules, then $\{A\} p \{B\}$ is valid.
 (if $\vdash \{A\} p \{B\}$ then $\models \{A\} p \{B\}$)

► Soundness: if $\vdash \{A\} p \{B\}$ using Hoare rules then $\models \{A\} p \{B\}$

"if a Hoare triple is proved to be valid using Hoare rules, then it is a valid Hoare triple."

► Completeness: if $\models \{A\} p \{B\}$ then $\vdash \{A\} p \{B\}$ using Hoare rules.

"any valid Hoare triple can be proved to be valid using Hoare rules"

Hoare rule of consequence:

Strengthen precondition

$$\frac{A \Rightarrow A' \quad \{A'\} p \{B'\} \quad B' \Rightarrow B}{\{A\} p \{B\}}$$

Weaken postcondition

Rule for Assignment

$$\frac{\{B[x/a]\} x := a \{B\}}{\{B[x/a]\} x := a \{B\}}$$

$$\frac{\{A\} p_1 \{C\} \quad \{C\} p_2 \{B\}}{\{A\} p_1; p_2 \{B\}}$$

Rule of sequence

$$\{A\} \text{skip} \{A\}$$

$$\{\text{true}\} \text{abort} \{B\}$$

$$\{\text{false}\} \text{abort} \{B\}$$

$$\frac{\{A \wedge b\} p_1 \{B\} \quad \{A \wedge \neg b\} p_2 \{B\}}{\{A\} \text{if } b \text{ then } p_1 \text{ else } p_2 \{B\}}$$

$$\frac{[A \wedge b \wedge t = t_0] p [A \wedge t < t_0] \quad A \wedge b \Rightarrow t \geq 0}{[A] \text{while } b \text{ do } p \text{ od } [A \wedge \neg b]}$$

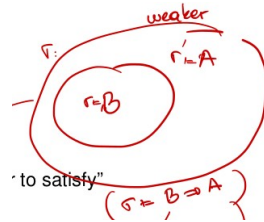
$$\frac{\{I \wedge b\} p \{I\}}{\{I\} \text{while } b \text{ do } p \text{ od } \{I \wedge \neg b\}}$$

► A is **weaker** than B iff $B \Rightarrow A$.

"weaker" = "more states satisfying it" = "easier to satisfy"

► A is **stronger** than B iff $A \Rightarrow B$.

"stronger" = "less states satisfying it" = "harder to satisfy"



where A is an **inductive loop invariant**

$t \in \text{AExp}$ is a **loop variant**:

- t positive before each iteration
- t decreases strictly with each iteration
- t_0 is (fresh) auxiliary variable, storing value of t before iteration

$$\text{wlp}(x := a, B) = B[x/a]$$

$$\text{wlp}(\text{skip}, B) = B$$

$$\text{wlp}(\text{abort}, B) = \text{true} \quad \text{wp}(\text{abort}, B) = \text{false}$$

$$\text{wlp}(p_1; p_2, B) = \text{wlp}(p_1, \text{wlp}(p_2, B))$$

$$\text{wlp}(\text{if } b \text{ then } p_1 \text{ else } p_2, B) = (b \Rightarrow \text{wlp}(p_1, B) \wedge \neg b \Rightarrow \text{wlp}(p_2, B))$$

$$\text{wlp}(\text{while } b \text{ do } p \text{ od}, B) = I,$$

where I is an inductive loop invariant.

$$\text{VC}(x := a, B) = \text{true}$$

VC of a sequence of assignments is "true"

$$\text{VC}(\text{skip}, B) = \text{true}$$

$$\text{VC}(\text{abort}, B) = \text{true}$$

$$\text{VC}(p_1; p_2, B) = \text{VC}(p_2, B) \wedge \text{VC}(p_1, \text{wlp}(p_2, B))$$

$$\text{VC}(\text{if } b \text{ then } p_1 \text{ else } p_2, B) = \text{VC}(p_1, B) \wedge \text{VC}(p_2, B)$$

$$\text{VC}(\text{while } b \text{ do } p \text{ od}, B) = (I \wedge \neg b) \Rightarrow B$$

$$\wedge (I \wedge b) \Rightarrow \text{wlp}(p, I)$$

$$\wedge \text{VC}(p, I),$$

$$\text{VC}(\text{while } b \text{ do } p \text{ od}, B) = (I \wedge \neg b) \Rightarrow B$$

$$\wedge$$

$$(I \wedge b) \Rightarrow t \geq 0$$

$$\wedge$$

$$(I \wedge b \wedge t = t_0) \Rightarrow \text{wlp}(p, I \wedge t < t_0)$$

$$\wedge$$

$$\text{VC}(p, I \wedge t < t_0)$$

where I an inductive loop invariant, t is a loop variant.

$$t \rightarrow z < 10 \rightarrow t = 10 - z$$

$$t \rightarrow i \geq 0 \wedge i = i - 1 \rightarrow t = i$$

$$t \rightarrow x \neq 0 \wedge x = x + 1 \rightarrow t = x$$

$$t \rightarrow x \neq y \wedge y > 0 \wedge x = x + 1 \rightarrow y - x = t$$

$$t \rightarrow y < n \wedge n = 10 \wedge y = y + 1 \rightarrow t = n - y$$

$$x \neq 0 \wedge x = x - 1 \text{ then } x \geq 0$$

$$x \neq y \wedge x = x + 1 \text{ then } y \geq x$$

$$z > 0 \wedge z = z - 1 \text{ then } z \geq 0$$

$$\text{wenn } n \text{ vorkommt als konstante in } [k+1]$$

$$\text{dann ist es kn in } [k]$$

Theorem: $\{A\} p \{B\}$ is valid if $\text{VC}(p, B) \wedge (A \Rightarrow \text{wlp}(p, B))$

(1) Division

$\{x \geq 0 \wedge y > 0\}$
 $\text{quo} := 0; \text{rem} := x;$
 $\text{while } y \leq \text{rem} \text{ do}$
 $\quad \text{rem} := \text{rem} - y; \text{quo} := \text{quo} + 1$
 od
 $\{ \text{quo} * y + \text{rem} = x \wedge 0 \leq \text{rem} < y \}$

Invariant:

$$\text{quo} * y + \text{rem} = x \wedge 0 \leq \text{rem} \wedge 0 < y \wedge x \geq 0$$

(2) Multiplication:

$\{n > 0\}$
 $x := 0; y := 0;$
 $\text{while } y < n \text{ do}$
 $\quad x := x + 2 * y; y := y + 1$
 od
 $\{y = n\}$

Invariant?

$$x[n] = n$$

$$x[n+1] = x[n] + 2 * y[n]$$

$$y[n] = y[n-1] + 1$$

Try **Dafny** for proving the correctness assertions above!

$$x[n] = n(n-1)$$

$$x[n] = x[n-1] + 2 * \frac{(n-1)n}{2}$$

$$x[n] = x[n-1] + 2 * \sum_{i=1}^{n-1} i$$

Consider the loop **while** b **do** p **od**.

A **loop invariant** A :

► holds after each iteration of the loop.

An **inductive loop invariant** A :

► holds before and after each iteration of the loop.

That is: $\{A \wedge b\} p \{A\}$.

Example: Consider the following IMP program:

$x := 0; y := 0; n := 10;$
 $\text{while } x < n \text{ do}$
 $\quad x := x + 1; y := y + x$
 od

$\{x+1 > 5\} \quad x := x+1 \quad \{x > 5\}$
 $\{x > 5\} [x := x+1]$

$x \leq n$ is inductive invariant iff $\{x \leq n \wedge x < n\} x := x + 1; y := y + x \{x \leq n\}$

$$\frac{\{x+1 \leq n\} x := x+1 \{x \leq n\} \quad \{x \leq n\} y := y+x \{x \leq n\}}{x \leq n \wedge x < n \Rightarrow x+1 \leq n \quad \{x+1 \leq n\} x := x+1; y := y+x \{x \leq n\}} \text{seq}$$

$$\{x \leq n \wedge x < n\} x := x+1; y := y+x \{x \leq n\} \text{conseq}$$

So, $x \leq n$ is inductive invariant.

The Hoare triple is not valid. For example, let σ be a state with $\sigma(i) = 0$ and $\sigma(n) = -1$. Then (1) $\sigma \models i = 0$, (2) $\langle \sigma, \text{while } i < n \text{ do } i := i + 1 \text{ od} \rangle \rightarrow \sigma$ (because the loop is executed zero times) and (3) $\sigma \not\models i = n$.

CTL can be seen as a logic that is based on the compound temporal operators **AX**, **EX**, **AF**, **EF**, **AG**, **EG**, **AU**, and **EU**. In fact, each of the operators can be expressed in terms of the operators **EX**, **EG**, and **EU**:

$$\begin{aligned} \text{AX } \varphi &\equiv \neg \text{EX } \neg \varphi \\ \text{EF } \varphi &\equiv \text{E}[\text{True U } \varphi] \\ \text{AG } \varphi &\equiv \neg \text{EF } \neg \varphi \\ \text{AF } \varphi &\equiv \neg \text{EG } \neg \varphi \\ \text{A}[\varphi \text{ U } \psi] &\equiv \neg \text{E}[\neg \psi \text{ U } (\neg \varphi \wedge \neg \psi)] \wedge \neg \text{EG } \neg \psi \end{aligned}$$

The logic LTL only uses path formulas. This means that we restrict CTL* to disallow path quantification.

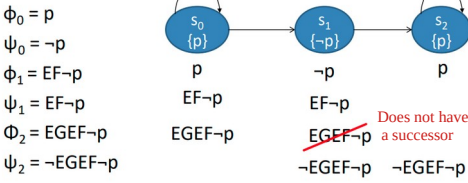
E1 If $p \in \text{AP}$, then p is an LTL formula.

E2 If φ is an LTL formula, then $\neg \varphi$, $\text{X } \varphi$, $\text{F } \varphi$, and $\text{G } \varphi$ are LTL formulas.

E3 If φ and ψ are LTL formulas, then $\varphi \wedge \psi$, $\varphi \vee \psi$, and $\varphi \text{ U } \psi$ are LTL formulas.

$$\text{AFAGP} \equiv \neg \text{EG} \neg \text{AGP} \equiv \neg \text{EGEF} \neg \text{p}$$

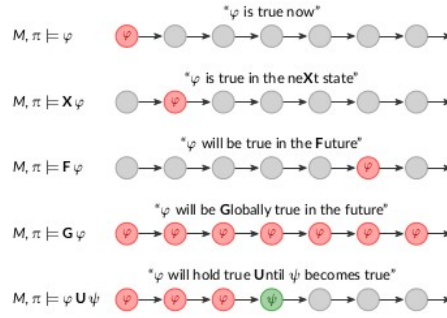
Subformula: wenn neg dann auch das pos (sonst nicht)



- (1) $M, s \models p \iff p \in L(s), \text{ for } p \in \text{AP}$
- (2) $M, s \models \neg \varphi \iff M, s \not\models \varphi$
- (3) $M, s \models \varphi_1 \vee \varphi_2 \iff M, s \models \varphi_1 \text{ or } M, s \models \varphi_2$
- (4) $M, s \models \varphi_1 \wedge \varphi_2 \iff M, s \models \varphi_1 \text{ and } M, s \models \varphi_2$
- (5) $M, s \models \text{E } \psi \iff \text{there is a path } \pi \text{ starting at state } s \text{ such that } M, \pi \models \psi$
- (6) $M, s \models \text{A } \psi \iff \text{for every path } \pi \text{ starting at state } s \text{ we have } M, \pi \models \psi$
- (7) $M, \pi \models \varphi \iff s \text{ is the first state on path } \pi \text{ and } M, s \models \varphi$
- (8) $M, \pi \models \neg \psi \iff M, \pi \not\models \psi$
- (9) $M, \pi \models \psi_1 \vee \psi_2 \iff M, \pi \models \psi_1 \text{ or } M, \pi \models \psi_2$
- (10) $M, \pi \models \psi_1 \wedge \psi_2 \iff M, \pi \models \psi_1 \text{ and } M, \pi \models \psi_2$
- (11) $M, \pi \models \text{X } \psi \iff M, \pi^1 \models \psi$
- (12) $M, \pi \models \text{F } \psi \iff \text{there exists a } k \geq 0 \text{ such that } M, \pi^k \models \psi$
- (13) $M, \pi \models \text{G } \psi \iff \text{for all } i \geq 0, M, \pi^i \models \psi$
- (14) $M, \pi \models \psi_1 \text{ U } \psi_2 \iff \text{there exists a } k \geq 0 \text{ such that } M, \pi^k \models \psi_2 \text{ and for all } 0 \leq j < k, M, \pi^j \models \psi_1$

Finally, we define \models over Kripke structure M as follows:

- (15) $M \models \varphi \iff \text{for all } s \in S_0, M, s \models \varphi$
- (16) $M \models \psi \iff \text{for all } s \in S_0 \text{ and all paths } \pi \text{ starting at } s, M, \pi \models \psi$



• If $M \models M'$ then for every CTL* formula φ ,

$$M \models \varphi \iff M' \models \varphi$$

• If $M' \models M$ then for every ACTL* formula φ ,

$$M' \models \varphi \implies M \models \varphi$$

Corollary

Let φ be an ACTL specification. If $M \leq M'$ and $M' \models \varphi$ then $M \models \varphi$.

" M' simulates M " is denoted by $M' \geq M$

Let $K_1 = (S_1, I_1, R_1, L_1)$ and $K_2 = (S_2, I_2, R_2, L_2)$.

$K_1 \geq K_2$: Assume $K_1 \geq K_2$ holds. Then, there is a simulation relation $H \subseteq S_2 \times S_1$. Now, consider state t_3 (note, t_3 is reachable from the initial state). In the simulation relation, the only possible corresponding state in K_1 would be s_4 (because of the labeling). Due to the transition (t_3, t_0) , the pair (t_0, s_5) would have to be in the simulation relation as well. Then, (t_1, s_6) and (t_2, s_6) have to be in the simulation relation as well. But, there is no corresponding transition for (t_1, t_0) starting in s_6 and, therefore, (t_1, s_6) can't be in the simulation relation. Therefore, H can't exist and $K_1 \geq K_2$ does not hold.

$$\text{GFGP} \iff \text{FGP}$$

For the second direction, assume $K, \pi \models \text{FGP}$. Consequently, $\exists x \geq 0 : K, \pi^x \models \text{GP}$. In order to show the left hand side, we have to show that $\forall i \geq 0 : \exists j \geq i : K, \pi^j \models \text{GP}$. Let $i \geq 0$.

- Case 1: $i \leq x$. In this case set $j := x$. By assumption, $K, \pi^x \models \text{GP}$.
- Case 2: $i > x$. In this case set $j := i$. In order to show $K, \pi^i \models \text{GP}$, let $k \geq i$. Given that $K, \pi^x \models \text{GP}$ and $k \geq i > x$, it follows that $K, \pi^k \models \text{GP}$.

Let K be a arb. Kripke structure and $\pi = s_0, s_1, \dots$ an arb. path in K . Assume $K, \pi \models (Ga \wedge Fb)$. Consequently, (1) $\forall i \geq 0 : K, \pi^i \models a$ and (2) $\exists x \geq 0 : K, \pi^x \models b$

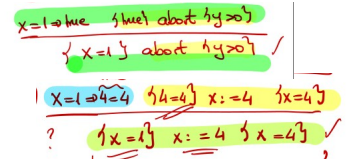
By semantics of \wedge and (1) and (2) we can conclude $K, \pi^x \models (b \wedge a)$ (*)
By semantics of \vee and (1) we can conclude $\forall i \geq 0 : K, \pi^i \models (a \vee b)$ (**)

We need to show $K, \pi \models (a \vee b) \text{ U } (a \wedge b)$:

- By (*) we have $\exists x \geq 0 : K, \pi^x \models (a \wedge b)$

- By (**) we have $\forall 0 \leq j \leq x : K, \pi^j \models (a \vee b)$

Combining the later two we have by semantics of U $K, \pi \models (a \vee b) \text{ U } (a \wedge b)$



$$\text{VC}(p, y \geq n) = \text{VC}(x:=0; y:=1; \text{while-loop}, y \geq n)$$

$$= \text{VC}(x:=0; y:=1, \text{wp}(\text{while-loop}, y \geq n)) \wedge \text{VC}(\text{while-loop}, y \geq n)$$

$$= \text{VC}(x:=0; y:-1, \text{loop-invariant}) \wedge \text{VC}(\text{while-loop}, y \geq n)$$

$$= \text{VC}(\text{while-loop}, y \geq n)$$

A Kripke structure is a five-tuple $M = (S, S_0, R, \text{AP}, L)$ where

- S is a (finite) set of states S
- $S_0 \subseteq S$ is the set of initial states
- $R \subseteq S \times S$ is a transition relation such that $\forall s \exists s' : (s, s') \in R$
- AP is some finite set of atomic propositions
- $L : S \rightarrow 2^{\text{AP}}$ is a function that labels each state with the set of those atomic propositions that are true in that state

To show the validity of $\{1 \leq n\} p \{x = m * n\}$, we need to show that $\text{VC}(p, x = m * n) \wedge ((1 \leq n) \Rightarrow \text{wlp}(p, x = m * n))$.

We use the invariant $x = (y - 1) * m \wedge y - 1 \leq n$, and compute the weakest liberal precondition $\text{wlp}(p, x = m * n)$ and the verification condition $\text{VC}(p, x = m * n)$.

By the definition of weakest preconditions we have

$$\begin{aligned} \text{wlp}(p, x = m * n) &= \text{wlp}(x := 0, \text{wlp}(y := 1; \text{while } y \leq n \text{ do } q \text{ od}, x = m * n)) \\ &= \text{wlp}(x := 0, \text{wlp}(y := 1, \text{wlp}(\text{while } y \leq n \text{ do } q \text{ od}, x = m * n))) \\ &= \text{wlp}(x := 0, \text{wlp}(y := 1, x = (y - 1) * m \wedge y - 1 \leq n)) \\ &= \text{wlp}(x := 0, x = (1 - 1) * m \wedge (1 - 1) \leq n) \\ &= 0 \leq n \end{aligned}$$

As $1 \leq n$ implies $0 \leq n$, we have that the implication $(1 \leq n) \Rightarrow \text{wlp}(p, x = m * n)$ evaluates to true.

It remains to show that $\text{VC}(p, x = m * n)$ evaluates to true as well. We proceed by applying the definitior of verification conditions and computing $\text{VC}(p, x = m * n)$.

$$\begin{aligned} \text{VC}(p, x = m * n) &= \text{VC}(y := q; \text{while } y \leq n \text{ do } q \text{ od}, x = m * n) \\ &\wedge \text{VC}(x := 0, \text{wlp}(y := 1; \text{while } y \leq n \text{ do } q \text{ od}, x = m * n)) \\ &= \text{VC}(\text{while } y \leq n \text{ do } q \text{ od}, x = m * n) \wedge \text{VC}(y := 1, \text{wlp}(\text{while } y \leq n \text{ do } q \text{ od}, x = m * n)) \wedge \text{true} \\ &= \text{VC}(\text{while } y \leq n \text{ do } q \text{ od}, x = m * n) \wedge \text{true} \end{aligned}$$

Thus, $\text{VC}(p, x = m * n) = \text{VC}(\text{while } y \leq n \text{ do } q \text{ od}, x = m * n)$. By the definition of verification condition for the while loop, we have

We provide a polynomial time many-one reduction from 1-IN-3-SAT. Assume an arbitrary instance

$$\varphi = \bigwedge_{i=1}^m l_{i1} \vee l_{i2} \vee l_{i3}$$

of 1-IN-3-SAT over variables $V = \{v_1, \dots, v_n\}$. We construct an instance C of EXACT-HITTING-SET by setting

$$C = \{\{v_j, \neg v_j\} \mid 1 \leq j \leq n\} \cup \{\{l_{i1}, l_{i2}, l_{i3}\} \mid 1 \leq i \leq m\}.$$

We show the correctness of the reduction by proving that

φ is a positive instance of 1-IN-3-SAT $\iff C$ is a positive instance of EHS

(\implies) Assume φ is a positive instance of 1-IN-3-SAT. Then there exists a truth assignment T over variables V that satisfies exactly one literal in each clause of φ . Let

$$S = \{v \mid T \text{ assigns true to } v\} \cup \{\neg v \mid T \text{ assigns false to } v\}.$$

We have to show $|S \cap C| = 1$, for each $C \in C$. This holds for all sets $\{v_j, \neg v_j\}$ since T is a truth-assignment, i.e. assigns either true or false to v_j , and it holds for all sets $\{l_{i1}, l_{i2}, l_{i3}\}$ since T makes exactly one literal true in each clause $l_{i1} \vee l_{i2} \vee l_{i3}$.

(\impliedby) Assume C is a positive instance of EHS. Then there exists a set S such that $|S \cap C| = 1$, for each $C \in C$. Define now an assignment T which assigns (for each j s.t. $1 \leq j \leq n$) true to variable v_j if $v_j \in S$ and false to v_j if $\neg v_j \in S$. In fact, T is a truth-assignment, because either v_j or $\neg v_j$ is in S by definition of EHS and sets $\{v_j, \neg v_j\}$ in C . Moreover, T satisfies exactly one literal per clause in φ since S hits exactly one element in sets $\{l_{i1}, l_{i2}, l_{i3}\}$. Thus φ is a positive instance of 1-IN-3-SAT

Implication Law:	$(p \rightarrow q) \equiv (\neg p \vee q).$
Distributive Laws:	$(p \vee (q \wedge r)) \equiv ((p \vee q) \wedge (p \vee r)),$ $(p \wedge (q \vee r)) \equiv ((p \wedge q) \vee (p \wedge r)).$
de Morgan's Law:	$\neg(p \vee q) \equiv (\neg p \wedge \neg q)$ $\neg(p \wedge q) \equiv (\neg p \vee \neg q).$
Absorption Laws:	$(p \vee (p \wedge q)) \equiv p,$ $(p \wedge (p \vee q)) \equiv p.$

We provide a polynomial time reduction from 3-COLORABILITY.

Assume an arbitrary instance G of 3-COLORABILITY. We construct an instance (G, G') of 3COL-UNCOL by taking G' to be a fixed graph that is not 3-colorable, e.g. the complete graph K_4 (clique with 4 vertices). It remains to show the correctness of the reduction.

Assume G is a positive instance of 3-COLORABILITY. Then for the instance (G, G') the answer to the question in the definition of 3COL-UNCOL is "yes" since G' is not 3-colorable by definition. Hence, (G, G') is a positive instance of 3COL-UNCOL.

Assume (G, G') is a positive instance of 3COL-UNCOL. Then G is 3-colorable and G' is not 3-colorable, thus G is a positive instance of 3-COLORABILITY.

Membership: By providing a dual reduction from UNCOL to 3COL-UNCOL, we observe that 3COL-UNCOL is not only NP-hard but also coNP-hard. Thus unless NP=coNP the problem cannot be contained in NP.

NP-hardness: We provide a polynomial-time reduction from 3COL. Let $G = (V, E)$ be an arbitrary instance of 3COL. We construct an instance $G' = (V', E')$ of 3COL-NT by setting $V' = \{v, v^1, v^2 \mid v \in V\}$ and $E' = E \cup \{\{v, v^1\}, \{v^1, v^2\}, \{v, v^2\}\}$. By definition, each such G' is a non-terminal graph thus the reduction yields the correct objects for the problem 3COL-NT. We next show the correctness of the reduction.

Assume G is a positive instance of 3COL. Then there exists a function μ from vertices in V to values in $\{0, 1, 2\}$ such that $\mu(v_1) \neq \mu(v_2)$ for any edge $[v_1, v_2] \in E$. Consider now $\mu^* : V' \rightarrow \{0, 1, 2\}$ defined as follows:

$$\text{for each } v \in V, \text{ let } \mu^*(v) = \mu(v) \text{ and } \mu^*(v^i) = (\mu(v) + i) \bmod 3.$$

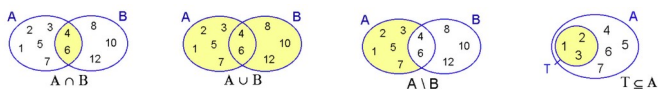
We have to show that for any $[x, y] \in E'$, $\mu^*(x) \neq \mu^*(y)$. We have the following cases: (1) $x, y \in V$: then by construction and assumption that G is 3-colorable, $\mu^*(x) \neq \mu^*(y)$; otherwise $x, y \in \{v, v^1, v^2\}$ for some $v \in V$; by definition of μ^* , we then have $\mu^*(x) \neq \mu^*(y)$ as well. Hence, G' is 3-colorable and thus a positive instance of 3COL-NT.

Decidable problems	Undecidable problems	
PRIME	halting	co-halting
	Undecidable (there is no algorithm)	

- $A = \{1, 4, 7\};$
- $B = \{1, 4\};$
- $C = \{4, 5, 7\};$
- $D = \{3, 5, 6\};$
- $E = \{2, 3, 6, 7\};$ and
- $F = \{2, 7\}.$

This is very similar to Exercise 6 of the Block 1 Exercises: Sample solutions (Page 21). Think of the definition of NP: a problem is in NP if there is a non-deterministic TM that (...). What happens when you apply this TM to instances of a special case of the problem?

Then $X^* = \{1, 2, 5\}$ is an exact hitting set, since each subset in S contains exactly one element in X^* ,



The following function f provides a polynomial-time many-one reduction from IDS to SAT: for a directed graph $G = (V, E)$, let

$$f(G) = \bigwedge_{(u,v) \in E} (\neg x_u \vee \neg x_v) \wedge \bigwedge_{v \in V} (x_v \vee \bigvee_{(u,v) \in E} x_u).$$

We show: G is a yes-instance of IDS $\iff f(G)$ is a yes-instance of SAT.

(\implies): Suppose G is a yes-instance of IDS. I.e., there exists a set $S \subseteq V$ satisfying (1) and (2). We construct a truth assignment T as follows: $T(x_v) = \text{true}$ for $v \in S$; $T(x_v) = \text{false}$ for $v \in V \setminus S$.

We first show that $\varphi_1 = \bigwedge_{(u,v) \in E} (\neg x_u \vee \neg x_v)$ evaluates to true under T . Let $(u, v) \in E$. If $u \notin S$, $(\neg x_u \vee \neg x_v)$ evaluates to true under T since $\neg x_u$ is then true under T . If $u \in S$, by (1) $v \notin S$, and thus $(\neg x_u \vee \neg x_v)$ evaluates to true under T as well (since $\neg x_v$ is then true under T). Since this holds for all edges, φ_1 evaluates to true under T .

Now consider $\varphi_2 = \bigwedge_{v \in V} (x_v \vee \bigvee_{(u,v) \in E} x_u)$. Let $v \in V$. If $v \in S$ then $x_v \vee \bigvee_{(u,v) \in E} x_u$ evaluates to true under T thanks to the first disjunct x_v . If $v \notin S$, by (2) there exists $(u, v) \in E$ with $u \in S$. Then the corresponding disjunct in $\bigvee_{(u,v) \in E} x_u$ evaluates to true under T . Since this holds for all vertices, φ_2 evaluates to true under T .

(\impliedby): Suppose $f(G)$ is a yes-instance of SAT. Hence, there exists a truth-assignment T to the variables in $f(G)$ making this formula true. We construct a set $S = \{v \in V \mid T(x_v) = \text{true}\}$ and show that S satisfies (1) and (2).

(1): Towards a contradiction suppose (1) is violated by S , i.e. there exists $(u, v) \in E$ such that $u, v \in S$. By construction $T(x_u) = T(x_v) = \text{true}$. But then the conjunct $(\neg x_u \vee \neg x_v)$ in the first part of the formula $f(G)$ evaluates to false under T and thus T cannot make $f(G)$ true. Contradiction. Hence, S satisfies (1).

(2): Towards a contradiction suppose (2) is violated by S , i.e. there exists a vertex $v \in V$ such that $v \notin S$ and for all $(u, v) \in E$, $u \notin S$. By construction, we have $T(x_v) = \text{false}$ and, for all u with $(u, v) \in E$, $T(x_u) = \text{false}$. Since $f(G)$ has as a conjunct $x_v \vee \bigvee_{(u,v) \in E} x_u$, this subformula then evaluates to false under T and so does $f(G)$. Contradiction. Hence, S satisfies (2).

Our reduction shows NP-membership of IDS.

Provide a reduction from 2-COLORABILITY (2COL) to 3-COLORABILITY (3COL), and prove that your reduction is correct. Given this reduction and the fact that 3COL is NP-complete, what can be said about the complexity of 2COL?

Assume an arbitrary instance $G = (V, E)$ of 2COL. We create a new graph $G' = (V', E')$, where

- $V' = V \cup \{v'\}$ where v' is a fresh vertex, and
- $E' = E \cup \{\{v, v'\} \mid v \in V\}$.

Intuitively, we add to G a new vertex v' and connect it to each original vertex of G .

We show that G is a positive instance of 2COL iff G' is a positive instance of 3COL.

- Suppose G can be properly colored with 2 colors (i.e., with 1 and 2). Then the existing coloring can be extended to G' by coloring v' with the additionally available color 3.
- Suppose G' can be properly colored with 3 colors. Since v' has an edge to every original node of G , all the original nodes must be properly colored with 2 colors only. It follows that G is 2-colorable.

ϕ^E Formeln:

dashed line = equality; solid line = inequality

1) umformen $x5! = x6$ in $-e56$ etc und aufzeichnen

2) remove all pure literals not part of

contradictional cycles (only 1 solid edge in cycle)

3) vereinfachte formel anschreiben + aufzeichnen

4) alle linien dick machen und linien einzeichnen

so dass es nur mehr 3ecke gibt

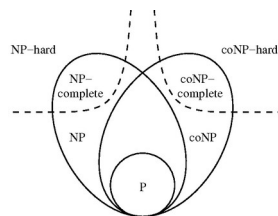
5) $B_i = (e1 \text{ and } e2 \rightarrow e3)$ and $(e2 \text{ and } e3 \rightarrow e1)$ and

$(e3 \text{ and } e1 \rightarrow e2)$ and... (for each dreieck)

6) aufschreiben vereinfachteFormel und B_i (propositional logic)

complete graph = alle knoten sind mit allen verbunden

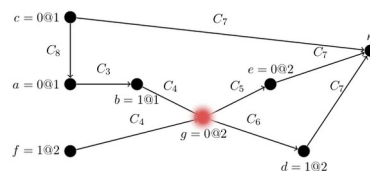
conected graph = alle knoten sind miteinander verbunden



P	Q	$P \Rightarrow Q$	p	q	$p \leftrightarrow q$
T	T	T	T	T	T
T	F	F	T	F	F
F	T	F	F	T	F
F	F	T	F	F	T

■ prove both directions; 4 different options:

- pos. instance of $A \Rightarrow$ pos. instance of B ;
- pos. instance of $B \Rightarrow$ pos. instance of A ;
- pos. instance of $A \Rightarrow$ pos. instance of B ;
- neg. instance of $A \Rightarrow$ neg. instance of B ;
- neg. instance of $B \Rightarrow$ neg. instance of A ;
- pos. instance of $B \Rightarrow$ pos. instance of A ;
- neg. instance of $B \Rightarrow$ neg. instance of A ;
- neg. instance of $A \Rightarrow$ neg. instance of B ;



$$res(C_7, C_5, e) = (c \vee g \vee \neg d)$$

$$res(R_1, C_6, d) = (c \vee g \vee g)$$

$$fac(R_2) = (c \vee g)$$

(1) We provide a polynomial time reduction from HALTING. Assume an arbitrary instance (Π, l) of HALTING. We construct an instance (Π', l_1, l_2) of DIFFERENT RUNTIME by setting

Boolean Π' (String S)
if $S = l_2$ then { while (true) do { } }
else return $\Pi(S)$ // Π hardcoded

$l_1 = l$ and $l_2 \neq l$ an arbitrary string.

We show the correctness of the reduction, i.e. (Π, l) is a positive instance of HALTING iff (Π', l_1, l_2) is a positive instance of DIFFERENT RUNTIME.

Suppose (Π, l) is a positive instance of HALTING, then Π halts on l in a finite number of steps. By definition Π' also halts on $l_1 = l$ in a finite number of steps, but Π' does not terminate on l_2 . Hence, (Π', l_1, l_2) is a positive instance of DIFFERENT RUNTIME.

Likewise, if (Π, l) is a negative instance of HALTING, then Π does not halt on l . By definition Π' then also does not halt on l_1 and thus terminates in the same number of steps than Π' does on l_2 .

\rightarrow Proof by structural induction of the complexity of φ

Base case: Let $\varphi = p$ with $p \in AP'$

By semantics of ACTL we have $\forall s \in S_0 : M, s \models p$

As (by definition of M') $S' = S$, $S'_0 = S_0$, $R' = R$ and

$L'(s) = L(s) \wedge AP'$ we have $\forall s' \in S'_0 : M', s' \models p \iff M' \models p$

Same for $\varphi = \neg p$

Implication step:

Let $M \models \varphi \wedge \psi$ by semantics of $\wedge \rightarrow M \models \varphi$ and $M \models \psi$

By IH: $M' \models \varphi$ and $M' \models \psi$ by semantics of $\wedge \rightarrow M' \models \varphi \wedge \psi$

We provide a reduction from **co-HALTING**, which is known to be undecidable. Let (Π, I) be an arbitrary instance of **co-HALTING**. We build an instance Π' of **ALL-FALSE** by constructing Π' as follows:

```
String  $\Pi'$  (Int  $n$ )
return  $\Pi_{int}(\Pi, I, n)$  //  $\Pi$  and  $I$  are 'hard-coded' in  $\Pi'$ 
```

To prove the correctness of the reduction we have to show:

(Π, I) is a positive instance of **co-HALTING** $\Leftrightarrow \Pi'$ is a positive instance of **ALL-FALSE**.

" \Rightarrow " Assume (Π, I) is a positive instance of **co-HALTING**, i.e. Π does not terminate on I . In particular, for any n , Π does not terminate on I within n steps. Hence, for any n , $\Pi_{int}(\Pi, I, n) = \text{false}$ by definition of Π_{int} and $\Pi'(n) = \text{false}$ by definition of Π' . That is, $\Pi'(n) = \text{false}$ for any natural number n . Thus Π' is a positive instance of **ALL-FALSE**.

" \Leftarrow " Assume Π' is a positive instance of **ALL-FALSE**, i.e. $\Pi'(n) = \text{false}$ for all natural numbers n . By definition of Π' , $\Pi_{int}(\Pi, I, n) = \text{false}$ for all n . That is, there is no number n such that $\Pi_{int}(\Pi, I, n) = \text{true}$, i.e. such that Π terminates on I within n steps. Thus (Π, I) is a positive instance of **co-HALTING**.

(1) We provide a many-one reduction from **HALTING**. Assume an arbitrary instance (Π, I) of **HALTING**. We construct an instance (Π_1, Π_2, I') of **ALOHO** by setting $\Pi_1 = \Pi$, Π_2 to a fixed program that runs into an infinite loop, and $I' = I$.

We show the correctness of the reduction, i.e. (Π, I) is a **positive** instance of **HALTING** iff (Π_1, Π_2, I') is a positive instance of **ALOHO**.

(\Rightarrow) Suppose (Π, I) is a positive instance of **HALTING**, i.e. $\Pi = \Pi_1$ halts on $I = I'$ in a finite number of steps. By definition, (Π_1, Π_2, I') is a positive instance of **ALOHO**.

(\Leftarrow) Likewise, if (Π, I) is a negative instance of **HALTING**, then $\Pi_1 = \Pi$ does not halt on $I = I'$. Since Π_2 does not halt on I' either by construction, (Π_1, Π_2, I') is thus a negative instance of **ALOHO**.

Let $G = (V, E)$ be an arbitrary undirected graph, with $V = \{v_1, \dots, v_n\}$. Then the instance φ_G of **2-SAT** resulting from G is defined as follows:

$$\varphi_G = \bigwedge_{[v_i, v_j] \in E} (x_i \vee x_j) \wedge (\neg x_i \vee \neg x_j).$$

We show: G is a positive instance of **2COL** $\Leftrightarrow \varphi_G$ is a positive instance of **2-SAT**.

\Rightarrow : Suppose G is a positive instance of **2COL**. Hence, there is a color assignment $f: V \rightarrow \{0, 1\}$ such that $f(v_i) \neq f(v_j)$ for all $[v_i, v_j] \in E$. To show that φ_G is satisfiable, we define a truth assignment T as follows. For all $i \in \{1, \dots, n\}$,

$$T(x_i) = \text{true} \text{ if } f(v_i) = 1 \quad T(x_i) = \text{false} \text{ if } f(v_i) = 0.$$

It remains to show that φ_G evaluates to **true** under T . Let $[v_i, v_j] \in E$. Since f is a proper 2-coloring of G , $T(x_i) \neq T(x_j)$.

- $T(x_i) = \text{true}$ and $T(x_j) = \text{false}$. Then trivially both clauses $(x_i \vee x_j)$ and $(\neg x_i \vee \neg x_j)$ evaluate to **true** under T .
- $T(x_i) = \text{false}$ and $T(x_j) = \text{true}$. Again, both clauses $(x_i \vee x_j)$ and $(\neg x_i \vee \neg x_j)$ evaluate to **true** under T .

\Leftarrow : Suppose φ_G is positive instance of **2-SAT**. Then, there exists a truth assignment T such that $T(\varphi_G) = \text{true}$. We define a color assignment $f: V \rightarrow \{0, 1\}$ as follows (for $i \in \{1, \dots, n\}$):

$$f(v_i) = 1 \text{ if } T(x_i) = \text{true} \quad f(v_i) = 0 \text{ if } T(x_i) = \text{false}.$$

It remains to show that f is a proper 2-coloring of G . Towards a contradiction, suppose this is not the case, i.e. there exists $[v_i, v_j] \in E$ with $f(v_i) = f(v_j) = \alpha$ ($\alpha \in \{0, 1\}$). We proceed with the argument for $\alpha = 1$ (the other case is analogous): by definition of f , we observe that $T(x_i) = T(x_j) = \text{true}$. But then, conjunct $(\neg x_i \vee \neg x_j)$ cannot be true under T . Consequently, also φ cannot be true under T . A contradiction to the assumption that $T(\varphi_G) = \text{true}$.

The reduction is defined as follows. Let (Π, I) be an arbitrary instance of **HALTING**. We build an instance (Π', n) of **REACHABLE-CODE** as follows. We let Π' be defined as

```
String  $\Pi'$  (String  $S$ )
 $\Pi(I)$ ; //  $\Pi$  and  $I$  are hardcoded,  $S$  is ignored
return 0;
```

We let n be the line number of "return 0;" in Π' .

In other words, for an instance $x = (\Pi, I)$, the instance $R(x)$ resulting from the reduction is (Π', n) . To prove the correctness of the reduction we have to show:

(Π, I) is a positive instance of **HALTING** $\Leftrightarrow (\Pi', n)$ is a positive instance of **REACHABLE-CODE**.

" \Rightarrow " Assume (Π, I) is a positive instance of **HALTING**, i.e. Π terminates on I . Then the call $\Pi(I)$ in program Π' terminates on any input S to Π' . Thus the statement "return 0;" is reached on any input to Π' . Hence, (Π', n) is a positive instance of **REACHABLE-CODE**.

" \Leftarrow " Assume (Π', n) is a positive instance of **REACHABLE-CODE**, i.e. Π' has an input S on which it reaches the line number n . Since the code of line n comes after the call $\Pi(I)$, it must be the case that Π terminates on I , i.e. (Π, I) is a positive instance of **HALTING**.

1-IN-3-SAT

INSTANCE: Propositional formula φ in 3-CNF

QUESTION: Does there exist a satisfying truth assignment T on φ , such that in each clause, exactly one literal is **true** in T ?

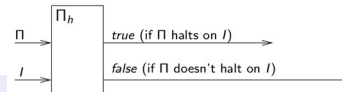
SAME-OUTPUT

INSTANCE: A pair Π_1, Π_2 of programs that take a single string as input, an input string I .

QUESTION: Do Π_1 and Π_2 behave the same on input I ? That is, Π_1 on I and Π_2 on I both return the same value or both do not terminate?

Assume there is a program Π_h such that:

- Π_h takes two strings as input:
 - Π (the source code of a program)
 - I (an input for the program Π)
- Π_h outputs:
 - true** if Π terminates on I
 - false** if Π does not terminate on I



$X \leq_P Y$ - Y mind so schwer wie X

$A \leq_P B \ \& \ B \in NP \Rightarrow A \in NP$

$A \leq_P B \ \& \ B \in P \Rightarrow A \in P$

$A \in NP \ \& \ B \in NP \ \& \ A \leq_P B \ \& \ A = NP - \text{compl} \Rightarrow B = NP - \text{compl}$

$A \leq_P B \ \& \ B \notin NP \ \& \ A = NP - \text{comp} \Rightarrow B = NP - \text{hard}$

NP-vollständig: NP-hard + $\in NP$

NP-hart: Jedes Problem in NP kann in poly Zeit auf dieses NP harte Problem reduziert werden.

NP-vollständige Probleme = schwerste Probleme in NP

3-SAT

INSTANCE: Propositional formula φ in 3-CNF (i.e., CNF where each clause consists of exactly 3 literals).

QUESTION: Is φ satisfiable?

HAMILTON-CYCLE

INSTANCE: (directed or undirected) graph $G = (V, E)$

QUESTION: Does G have a *Hamilton cycle*? i.e., a cycle visiting all vertices of G exactly once.

VALIDITY

INSTANCE: Propositional formula φ .

QUESTION: Is φ valid?

HAMILTON-PATH

INSTANCE: (directed or undirected) graph $G = (V, E)$

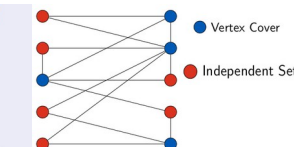
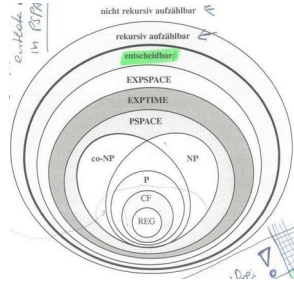
QUESTION: Does G have a *Hamilton path*?

i.e., a path visiting all vertices of G exactly once.

REACHABILITY

INSTANCE: A graph (V, E) and nodes $u, v \in V$.

QUESTION: Is there a path in the graph from u to v ?



We know, that all threecolorable graphs stay threecolorable by removing vertices. By removing all nodes from $f(G) = (V', E')$ which were added during construction, we receive the previous graph which is then also threecolorable due to the given property. $G = (V, E)$ is therefore also a yes instance of **3-COL**.

INDEPENDENT SET

INSTANCE: Undirected graph $G = (V, E)$ and integer K .

QUESTION: Does there exist an *independent set* I of size $\geq K$? i.e., $I \subseteq V$, s.t. for all $i, j \in I$ the condition $[i, j] \notin E$ holds?

VERTEX COVER

INSTANCE: Undirected graph $G = (V, E)$ and integer K .

QUESTION: Does there exist a *vertex cover* N of size $\leq K$? i.e., $N \subseteq V$, s.t. for all $[i, j] \in E$, either $i \in N$ or $j \in N$ or both.

CLIQUE

INSTANCE: Undirected graph $G = (V, E)$ and integer K .

QUESTION: Does there exist a *clique* C of size $\geq K$? i.e., $C \subseteq V$, s.t. for all $i, j \in C$ with $i \neq j$, $[i, j] \in E$.

PROGRAM-EQUIVALENCE

INSTANCE: A pair Π_1, Π_2 of programs that take a single string as input.

QUESTION: Are Π_1 and Π_2 *equivalent*? That is, is it true that for all inputs I , Π_1 on I and Π_2 on I both return the same value or both do not terminate?

CORRECTNESS

INSTANCE: Source code for a program Π that takes a string and outputs a string, and a pair of strings I_1, I_2 .

QUESTION: Does Π return I_2 when run on input I_1 ?

Not-all-equal SAT (NAE)

at least one is true, and at least one is false

INSTANCE: Propositional formula φ in 3-CNF

QUESTION: Does there exist a satisfying truth assignment T on φ , such that the 3 literals in each clause do not have the same truth value?

REACHABLE-CODE

INSTANCE: Source code of a program Π , a number n of a line in Π .

QUESTION: Is there an input I for Π such that the run of Π on I will reach the code on line n ?

(1) We can assume the availability of a decision procedure Π_{int} that does the following:

- Π_{int} takes as input a program Π , a string I , and a natural number n .
- Π_{int} emulates the first n steps of the run of Π on I . If Π terminates on I within n steps, then Π_{int} returns true. Otherwise, Π_{int} returns false.

We now provide a reduction from **HALTING**. Let (Π, I) be an arbitrary instance of **HALTING**. We construct an instance Π' of **DIFFERENT OUTPUT** as follows:

```
Boolean  $\Pi'$  (Int  $n$ )
return  $\Pi_{int}(\Pi, I, n)$  //  $\Pi$  and  $I$  are 'hard-coded' in  $\Pi'$ 
```

If (Π, I) is positive instance of **HALTING**, then Π halts on I after n steps. Hence, $\Pi'(n) \neq \Pi'(n-1)$. It follows that Π' is a positive instance of **DIFFERENT OUTPUT**.

If (Π, I) is negative instance of **HALTING**, then $\Pi_{int}(\Pi, I, k)$ returns false for any k . Hence, $\Pi'(n_k) = \Pi'(n_2)$ for any pair of integers n_1, n_2 . It follows that Π' is a negative instance of **DIFFERENT OUTPUT**.

SAT

INSTANCE: Propositional formula φ .

QUESTION: Is φ satisfiable?

The reduction is defined as follows. Let (Π, I_1, I_2) be an arbitrary instance of **CORRECTNESS**. We build an instance (Π', I') of **HALTING** by setting $I' = I_1$ and constructing Π' as follows:

```
String  $\Pi'$  (String  $S$ )
OUT =  $\Pi(S)$ ; //  $\Pi$  is hardcoded in  $\Pi'$ 
if OUT =  $I_2$  then return 0
else while True do {}
```

To prove the correctness of the reduction we have to show:

(Π, I_1, I_2) is a positive instance of **CORRECTNESS** $\Leftrightarrow (\Pi', I')$ is a positive instance of **HALTING**.

" \Rightarrow " Assume (Π, I_1, I_2) is a positive instance of **CORRECTNESS**, i.e. Π returns I_2 on input I_1 . Then $\text{OUT} = I_2$ when I_1 is input to Π' . Then Π' terminates with output 0 on input I_1 . Hence (Π', I') is a positive instance of **HALTING**.

" \Leftarrow " Assume (Π', I') is a positive instance of **HALTING**, i.e. Π' terminates on I' . Then the call $\Pi(S)$ in program Π' terminates on $S = I'$. This means that the "if" statement is reached by Π' on input I' . Since Π' terminates on I' , it must be the case that $\text{OUT} = I_2$. Hence, we have the fact that Π returns I_2 on input I_1 , where $I' = I_1$ by problem reduction, i.e. (Π, I_1, I_2) is a positive instance of **CORRECTNESS**.

We provide a polynomial-time reduction from **3SAT**.

Let $\psi = \bigwedge_{i=1}^m (l_{i1} \vee l_{i2} \vee l_{i3})$ be an arbitrary instance of **3SAT**. We construct an instance φ of **RC3SAT** defined as

$$\varphi = \psi \wedge (x \vee x \vee x) \wedge (\neg x \vee \neg x \vee \neg x)$$

with x a fresh atom not occurring in ψ .

To prove the correctness of the reduction we have to show:

ψ is a positive instance of **3SAT** $\Leftrightarrow \varphi$ is a positive instance of **RC3SAT**.

" \Rightarrow " Let ψ be satisfiable and T be a satisfying truth-assignment for ψ . We extend T to T^* by additionally assigning x to true. We observe that φ without the last clause $(\neg x \vee \neg x \vee \neg x)$ evaluates to true under T^* (since T satisfies clauses 1..m of φ and setting x to true satisfies the remaining additional clause $x \vee x \vee x$). Hence φ is a positive instance of **RC3SAT**.

" \Leftarrow " Recall that φ consists of m clauses stemming from ψ and two additional clauses and assume φ is a positive instance of **RC3SAT**. Hence, there exists j ($1 \leq j \leq m+2$) such that φ^{-j} is satisfiable. By definition of the additional clauses, j must be either $m+1$ or $m+2$ (otherwise φ^{-j} contains $(x \vee x \vee x) \wedge (\neg x \vee \neg x \vee \neg x)$ as subformula and would thus be unsatisfiable). It follows that φ^{-j} contains ψ as subformula. Hence, ψ is satisfiable and thus a positive instance of **3SAT**.

HALTING PROBLEM

INSTANCE: A (source code of) a SIMPLE program Π , an input string I .

QUESTION: Does the program Π terminate on input I ?

A dominating set is a subset S of vertices such that every vertex not in S is adjacent to some vertex in S .

Clique: Subgraph wo alle knoten miteinander verbunden sind= vollständiger Teilgraph

3COL-UNCOL

INSTANCE: A pair (G_1, G_2) of undirected graphs.

QUESTION: Is it true that G_1 is 3-colorable and G_2 is not 3-colorable? i.e. is it the case that G_1 is a positive instance of **3-COLORABILITY** and G_2 is a negative instance of **3-COLORABILITY**?

EXACT HITTING SET (EHS)

INSTANCE: A collection C of sets of elements.

QUESTION: Does there exist a set S of elements, such that for each $C \in C$, $|S \cap C| = 1$, i.e. each set in C contains exactly one element from S ?

INDEPENDENT DOMINATING SET (IDS)

INSTANCE: A directed graph $G = (V, E)$.

QUESTION: Does there exist a set $S \subseteq V$ of vertices, such that

(1) for each $(u, v) \in E$, $\{u, v\} \not\subseteq S$;

(2) for each $v \in V$ either $v \in S$ or there exists an $(u, v) \in E$, such that $u \in S$.

ALL-HALTING

INSTANCE: A program Π that takes a single string as input.

QUESTION: Does Π halt on all input strings I ?

k-COLORABILITY (for fixed value $k \geq 1$)

INSTANCE: Undirected graph $G = (V, E)$

QUESTION: Does G have a k -coloring? i.e., an assignment of one of k colors to each of the vertices in V such that any two vertices i, j connected by an edge $[i, j] \in E$ do not have the same color?