

Introduction to Unix/Linux

Operating Systems UE 2022W

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Motivation

The Unix Environment

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- ▶ What is an Operating System?
- ▶ UNIX, Linux, ... ?
- ▶ Why C?

What is an Operating System?

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The operating system as ...

1. An extended machine
 - ▶ Provide simpler and easier to use abstractions of the underlying hardware
 - ▶ Provide services that programs can obtain by a special interface

What is an Operating System?

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2. A resource manager

- ▶ Multiplexing/sharing resources in time and in space
- ▶ Create the illusion that a program has exclusive access to the resources

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Important mechanisms: Processes, virtual memory, file system,

...

Unix

Unix-family OS everywhere

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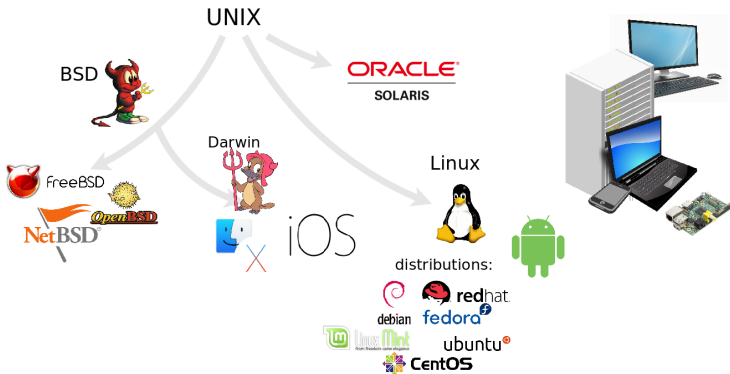
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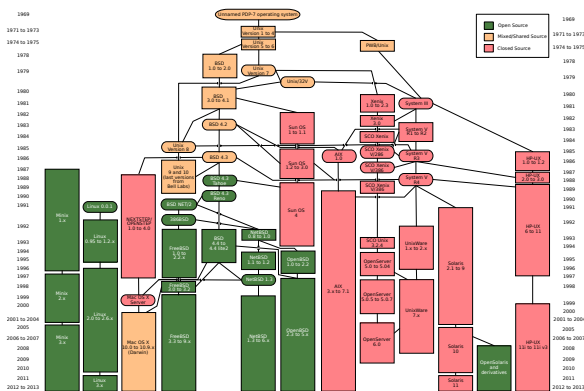
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- ▶ “Java [Python, Ruby, ...] is much more powerful and high-level.”

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 - ▶ Actually, most high-level languages and interpreters are implemented in C
- ▶ **More powerful** - Close to hardware, explicit memory and resource management
 - ▶ Full control of what's going on

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 - ▶ First compiler for a new architecture is typically a C compiler

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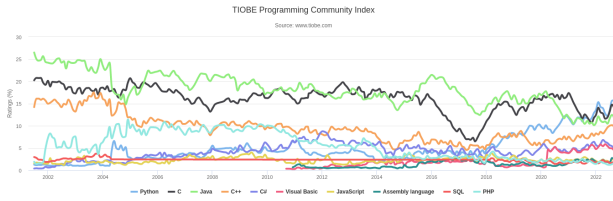
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- ▶ Appeared 1972 when UNIX was ported to C
- ▶ Has not lost popularity and importance!
- ▶ Tools (compiler, debugger, profiler, ...) improved over time



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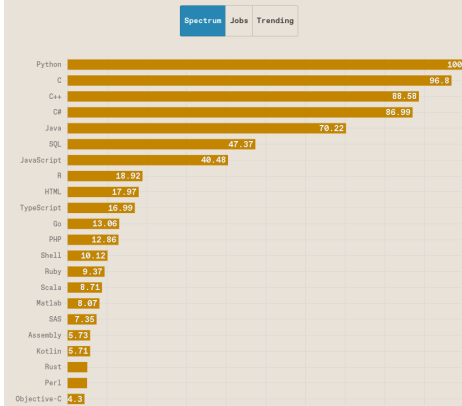
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Top Programming Languages 2022

Click a button to see a differently weighted ranking



Source: IEEE Spectrum Rating of programming languages, 2022
<https://spectrum.ieee.org/top-programming-languages-2022>

Unix Anatomy

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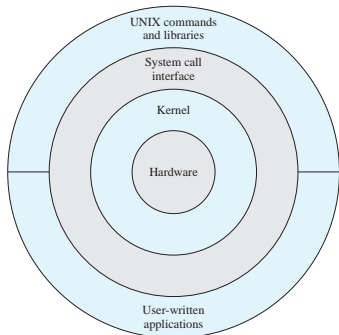
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- ▶ User space – Kernel space
 - ▶ Kernel routines run in privileged mode (*kernel mode*), includes device drivers
 - ▶ User processes request kernel services with *system calls*
- ▶ Multi-process and multi-user operating system
 - ▶ Run more than one program concurrently
 - ▶ Users share resources
- ▶ Requires authentication (login)



General Unix Architecture
(Source: W. Stallings, "Operating Systems. Internals and Design Principles")

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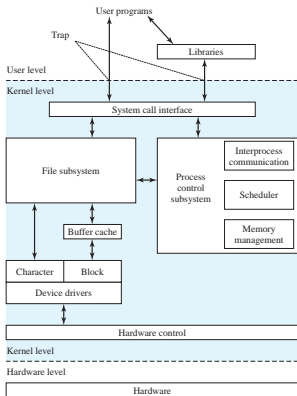
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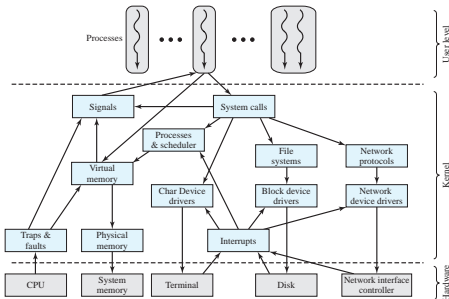
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Traditional UNIX Kernel



Linux Kernel Components

(Source: W. Stallings, "Operating Systems. Internals and Design Principles")

- ▶ In the simplest case, a **shell** is started after login

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- ▶ A user program that
 - ▶ Reads and interprets user input interactively (commands)
 - ▶ Starts other user programs
 - ▶ Executes *shell-scripts*

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- ▶ A user program that
 - ▶ Reads and interprets user input interactively (commands)
 - ▶ Starts other user programs
 - ▶ Executes *shell-scripts*
- ▶ Shell prompt:

```
jdope@ti1:~$ _
```

Doug McIlroy, 1978: (summarized)

- ▶ Write programs that do one thing and do it well.
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- ▶ Write programs that do one thing and do it well. (DOTADIW)
- ▶ Write programs to work together.
- ▶ Write programs to handle text streams, because that is a universal interface.

= combining small, sharp tools and the use of a common underlying format (the line-oriented, plain text file) to accomplish larger tasks

Executing programs

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```
$ echo Hi there  
Hi there
```

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```
$ echo Hi there  
Hi there
```

```
$ date  
Tue Oct 6 11:15:00 CEST 2020
```

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```
$ echo Hi there  
Hi there
```

```
$ date  
Tue Oct 6 11:15:00 CEST 2020
```

```
$ date --iso-8601  
2020-10-06
```

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Hi there
```

```
$ date  
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```

```
$ date --iso-8601  
2020-10-06
```

```
$ rev  
Hello class  
ssalc olleH
```

<Ctrl-D> (EOF token)

Standard Input/Output

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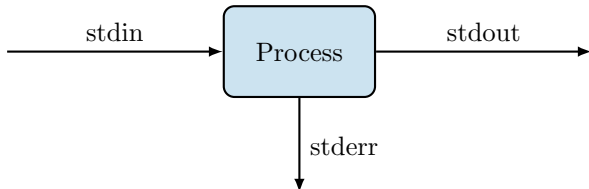
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- ▶ A process communicates with its environment by following channels:
 - ▶ Standard input (stdin, 0), redirect with `<`
 - ▶ Standard output (stdout, 1), redirect with `>`
 - ▶ Standard error (stderr, 2), redirect with `>>`



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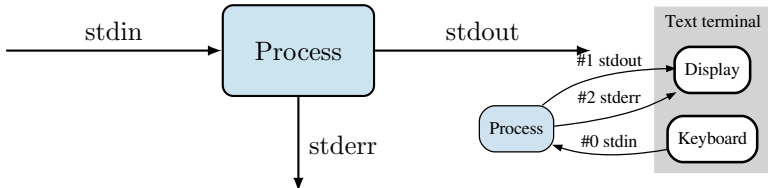
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 - ▶ Standard input (stdin, 0), redirect with `<`
 - ▶ Standard output (stdout, 1), redirect with `>`
 - ▶ Standard error (stderr, 2), redirect with `>>`
- ▶ When started in a shell, the standard I/O is connected to the terminal.



Redirection and Pipes

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Redirection to/from files

```
$ echo "Hello class" > somefile
$ cat somefile
Hello class
$ rev < somefile
ssalc olleH
```

Redirection and Pipes

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Redirection to/from files

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$ echo "Hello class" > somefile
$ cat somefile
Hello class
$ rev < somefile
ssalc olleH
```

```
$ echo "More text." >> somefile
$ cat somefile
Hello class
More text.
$ nl somefile
 1 Hello class
 2 More text.
```

Redirection and Pipes (ctd.)

Pipes connect processes with a unidirectional FIFO

```
$ cat somefile | rev | nl
    1  ssalc olleH
    2  .txet eroM
```

In these examples, redirection and pipes are set up by the shell.

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- ▶ The execution of a program is a **process**.

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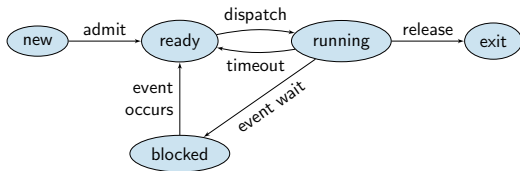
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- ▶ The execution of a program is a **process**.
 - + program code
 - + program data (variables, ...)
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Process states:



Processes

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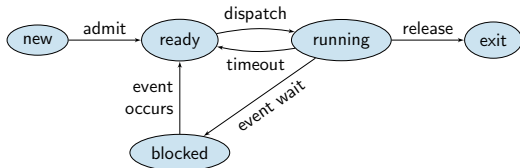
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Process states:



- ▶ ps – snapshot of current processes
- ▶ pstree – display process hierarchy

Process Management

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- ▶ Programs executed on the shell are **child processes** of the shell.

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- ▶ Programs executed on the shell are **child processes** of the shell.
 - ▶ **<Ctrl+Z >** stops currently active job
 - ▶ **jobs** – status of processes started in the current shell
 - ▶ **fg *n*** – continue job *n* in foreground
 - ▶ **bg *n*** – continue job *n* in background
 - ▶ **'&'** at the end of a command starts it in the background

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- ▶ Multiple commands:

Command sequence	Resulting behaviour
<code>cmd1 ; cmd2</code>	Execute commands subsequently
<code>cmd1 && cmd2</code>	Execute <code>cmd2</code> only if <code>cmd1</code> succeeds
<code>cmd1 cmd2</code>	Execute <code>cmd2</code> only if <code>cmd1</code> fails
<code>cmd1 & cmd2</code>	Start <code>cmd1</code> in background and <code>cmd2</code> in foreground
<code>(cmd1 ; cmd2)</code>	Execute both commands in a subshell

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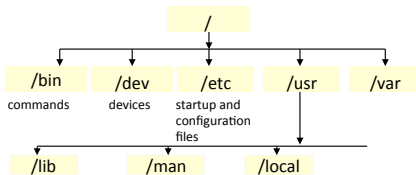
- ▶ Example: `$ (sleep 10; date) > outfile &`

Filesystem Organisation

- ▶ Hierarchical structure of files
- ▶ Wide range of input/output resources are simple streams of bytes exposed through the filesystem name space → “everything is a file”
 - ▶ Documents
 - ▶ Directories
 - ▶ Character-, block special files (devices; e.g. hard-drives, keyboards, printers)
 - ▶ Named pipes
 - ▶ Sockets (e.g. TCP/IP sockets, UNIX domain sockets)
 - ▶ Symbolic links

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- ▶ / Primary hierarchy (root directory)
 - ▶ /bin: Essential command binaries (for all users)
 - ▶ /etc: Configuration files
 - ▶ /dev: Devices
 - ▶ /lib: Libraries essential for the binaries in /bin and /sbin
 - ▶ /home: Users' home directories
 - ▶ /media: Mount points for removable media
 - ▶ /mnt: Temporarily mounted file systems
 - ▶ /opt: Optional application software packages
 - ▶ /proc: Virtual filesystem providing process and kernel information as files
 - ▶ /sbin: Essential system binaries

Filesystem Hierarchy Standard² II

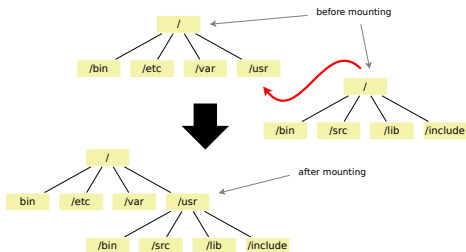
- ▶ `/usr`: Secondary hierarchy for shareable, read-only data (contains the majority of multi-user utilities and applications)
- ▶ `/usr/local`: Tertiary hierarchy for local data, specific to the host
- ▶ `/var`: Variable files, whose content is expected to continually change during normal operation of the system (log files, spools, temporary e-mails)

¹<http://www.pathname.com/fhs/>

²<http://www.pathname.com/fhs/>

Mounting File Systems

- ▶ All files and directories appear under the root directory, even if they are stored on different physical or virtual devices
- ▶ File system to be mounted is either:
 - ▶ locally available (hard-drive partitions, removable media)
 - ▶ a network resource (e.g. using NFS),
 - ▶ or contained in a file itself (e.g. loop device for ISO-Images)
- ▶ Advantage: different file systems concurrently in use



Navigating through the File System

- ▶ `cd` – change directory

```
$ cd
$ pwd
/home/jdoe
$ mkdir test
$ cd test
$ pwd
/home/jdoe/test
$ echo "Hello class" > textfile
$ ls -l
-rw-rw-r-- 1 jdoe jdoe  12 Oct  6 11:15 textfile
$ cd .. ; pwd
/home/jdoe
```

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- ▶ Filenames

- ▶ **absolute**: start with '/', from the root directory
e.g. `$ cat /etc/passwd`
- ▶ **relative**: **do not** start with '/', and are related to the current directory
e.g. `$ cat ../tmpfile`
(. is the current directory, .. the parent directory)

Navigating through the File System

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$ cd .. ; pwd
/home/jdoe
```

- ▶ Filenames

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e.g. `$ cat ../tmpfile`
(`.` is the current directory, `..` the parent directory)

- ▶ *Note*: use **<TAB>** for shell completion

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- ▶ Pattern matching for filename specification
 - * zero or more characters
 - ? a single character
 - [xyz] one of 'x', 'y' or 'z'
 - [a-i] one in the range from 'a' to 'i'
- ▶ Interpretation and expansion by the shell
- ▶ The operation of matching of wildcard patterns to multiple file or path names is referred to as [globbing](#).
- ▶ Use wildcards as normal characters by quoting or a preceding backslash (\)

Wildcards

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```
$ ls
myfile  prog  prog.c  proG.c  t1  t2
t3      t4    test1   test1.c
```

*

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$ ls
myfile prog prog.c proG.c t1 t2
t3      t4  test1  test1.c
```

* *(all files listed above)*

Wildcards

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```
$ ls
myfile prog prog.c proG.c t1 t2
t3 t4 test1 test1.c
```

* *(all files listed above)*
t*

Wildcards

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```
$ ls
myfile prog prog.c proG.c t1 t2
t3 t4 test1 test1.c
```

```
* (all files listed above)
t* t1 t2 t3 t4 test test.c
```

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```
$ ls
myfile prog prog.c proG.c t1 t2
t3 t4 test1 test1.c
```

```
* (all files listed above)
t* t1 t2 t3 t4 test test.c
t?
```

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```
$ ls
myfile prog prog.c proG.c t1 t2
t3 t4 test1 test1.c
```

```
* (all files listed above)
t* t1 t2 t3 t4 test test.c
t?
```

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```
$ ls
myfile  prog  prog.c  proG.c  t1  t2
t3      t4    test1   test1.c
```

```
*           (all files listed above)
t*          t1 t2 t3 t4 test test.c
t?          t1 t2 t3 t4
```

Wildcards

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```
$ ls
myfile  prog  prog.c  proG.c  t1  t2
t3      t4    test1   test1.c
```

```
*           (all files listed above)
t*         t1 t2 t3 t4 test test.c
t?         t1 t2 t3 t4
t[12]
```

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```
$ ls
myfile  prog  prog.c  proG.c  t1  t2
t3      t4    test1   test1.c
```

*	<i>(all files listed above)</i>
t*	t1 t2 t3 t4 test test.c
t?	t1 t2 t3 t4
t[12]	t1 t2

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```
$ ls
myfile  prog  prog.c  proG.c  t1  t2
t3      t4    test1   test1.c
```

```
*           (all files listed above)
t*          t1 t2 t3 t4 test test.c
t?          t1 t2 t3 t4
t[12]      t1 t2
pr*.c
```

Wildcards

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```
$ ls
myfile  prog  prog.c  proG.c  t1  t2
t3      t4    test1   test1.c
```

*	<i>(all files listed above)</i>
t*	t1 t2 t3 t4 test test.c
t?	t1 t2 t3 t4
t[12]	t1 t2
pr*.c	proG.c prog.c

Wildcards

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```
$ ls
myfile  prog  prog.c  proG.c  t1  t2
t3      t4    test1   test1.c
```

```
*           (all files listed above)
t*          t1 t2 t3 t4 test test.c
t?          t1 t2 t3 t4
t[12]       t1 t2
pr*.c       proG.c prog.c
*[1-4].c
```

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```
$ ls
myfile  prog  prog.c  proG.c  t1  t2
t3      t4    test1   test1.c
```

```
*           (all files listed above)
t*          t1 t2 t3 t4 test test.c
t?          t1 t2 t3 t4
t[12]      t1 t2
pr*.c      proG.c prog.c
*[1-4].c   test1.c
```

Permissions

```
-rw-rw-r-- ???
```

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- ▶ Access permissions for each individual file (as file attribute) $\underbrace{-}_{\text{special}} \underbrace{421}_{\text{user}} \underbrace{421}_{\text{group}} \underbrace{421}_{\text{others}}$

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- ▶ Access permissions for each individual file (as file attribute) $\underbrace{-}_{\text{special}} \underbrace{421}_{\text{user}} \underbrace{421}_{\text{group}} \underbrace{421}_{\text{others}}$

- ▶ First character to indicate normal (-) or special file: directory (d), socket (s), symbolic link (l), pipe (p), character special device (c), block special device (b)

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```
-rw-rw-r-- ???
```

- ▶ Access permissions for each individual file (as file attribute) $\underbrace{-}_{\text{special}} \underbrace{421}_{\text{user}} \underbrace{421}_{\text{group}} \underbrace{421}_{\text{others}}$

- ▶ First character to indicate normal (-) or special file: directory (d), socket (s), symbolic link (l), pipe (p), character special device (c), block special device (b)
- ▶ Permission to read, write, and execute for user/group/others

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```
-rw-rw-r-- ???
```

- ▶ Access permissions for each individual file (as file attribute)

- 4 2 1 4 2 1 4 2 1

 special user group others

- ▶ First character to indicate normal (-) or special file: directory (d), socket (s), symbolic link (l), pipe (p), character special device (c), block special device (b)
- ▶ Permission to read, write, and execute for user/group/others
- ▶ `chmod` – change file mode bits (= permissions)

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`-rw-rw-r-- ???`

- ▶ Access permissions for each individual file (as file attribute)

-	4 2 1	4 2 1	4 2 1
rwx	rwx	rwx	rwx
}	}	}	}
special	user	group	others

- ▶ First character to indicate normal (-) or special file: directory (d), socket (s), symbolic link (l), pipe (p), character special device (c), block special device (b)
- ▶ Permission to read, write, and execute for user/group/others
- ▶ `chmod` – change file mode bits (= permissions)
 - ▶ with octal representation, e.g. `chmod 755 textfile`

Permissions

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`-rw-rw-r-- ???`

- ▶ Access permissions for each individual file (as file attribute)

-	4 2 1	4 2 1	4 2 1
rwx	rwx	rwx	
}	}	}	
special	user	group	others

- ▶ First character to indicate normal (-) or special file: directory (d), socket (s), symbolic link (l), pipe (p), character special device (c), block special device (b)
- ▶ Permission to read, write, and execute for user/group/others
- ▶ `chmod` – change file mode bits (= permissions)
 - ▶ with octal representation, e.g. `chmod 755 textfile`
 - ▶ textual specification, e.g. `chmod ugo+x,g-w textfile`,
`chmod u=rwx,go=rx textfile`

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`-rw-rw-r-- ???`

- ▶ Access permissions for each individual file (as file attribute)

-	4 2 1	4 2 1	4 2 1
rwx	rwx	rwx	
}	}	}	
special	user	group	others

- ▶ First character to indicate normal (-) or special file: directory (d), socket (s), symbolic link (l), pipe (p), character special device (c), block special device (b)
- ▶ Permission to read, write, and execute for user/group/others
- ▶ `chmod` – change file mode bits (= permissions)
 - ▶ with octal representation, e.g. `chmod 755 textfile`
 - ▶ textual specification, e.g. `chmod ugo+x,g-w textfile`,
`chmod u=rwx,go=rx textfile`
- ▶ Only user (owner) or root can change permissions

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- ▶ Only string type

Shell Variables

- ▶ Only string type
- ▶ Created at first assignment

```
$ FILE=/tmp/dummy.txt
```

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- ▶ Only string type
- ▶ Created at first assignment

```
$ FILE=/tmp/dummy.txt
```

- ▶ Usage:

```
$ ls /tmp  
dummy.txt dummy.txt.bak  
$ rm $FILE ${FILE}.bak
```

Shell Variables

- ▶ Only string type
- ▶ Created at first assignment

```
$ FILE=/tmp/dummy.txt
```

- ▶ Usage:

```
$ ls /tmp  
dummy.txt dummy.txt.bak  
$ rm $FILE ${FILE}.bak
```

- ▶ Export to environment for subsequently started processes:

```
$ export FILE
```

at assignment

```
$ export FILE=/tmp/dummy.txt
```

System Variables

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- ▶ `$HOME` ... Home directory

System Variables

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- ▶ `$HOME` ... Home directory
- ▶ `$USER` ... User name

System Variables

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- ▶ `$HOME` ... Home directory
- ▶ `$USER` ... User name
- ▶ `$?` ... Exit status of the last command

System Variables

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- ▶ \$HOME ... Home directory
- ▶ \$USER ... User name
- ▶ \$? ... Exit status of the last command
- ▶ \$PATH ... Program path

```
$ echo $PATH
/usr/local/bin:/usr/bin:/usr/local/sbin:
/usr/sbin
```

→ If you create a program in a local directory and want to execute it:

```
$ ./myprogram
```

System Variables

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- ▶ `$HOME` ... Home directory
- ▶ `$USER` ... User name
- ▶ `$?` ... Exit status of the last command
- ▶ `$PATH` ... Program path

```
$ echo $PATH
/usr/local/bin:/usr/bin:/usr/local/sbin:
/usr/sbin
```

→ If you create a program in a local directory and want to execute it:

```
$ ./myprogram
```

- ▶ Print environment variables with `env`

Unix Commands I

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▶ File management

ls	list directory contents
cd	change the working directory
pwd	print filename of working directory
cp, mv	move (rename) files
ln	make links between files
mkdir	make directories
rm, rmdir	remove files and directories
chmod, chown	change file mode bits, owner
du	estimate file space usage
file	determine file type

▶ Process management

jobs	display status of jobs in current shell session
fg, bg	run job in foreground/background
ps, pstree	snapshot of current processes/process hierarchy
kill	send a signal to a process

Unix Commands II

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► Text processing

cat	concatenate files to standard output
sort	sort lines of text files
nl	number lines of files
wc	print line, word, and byte counts
cut	remove sections from each line
tr	translate or delete characters
tac	concatenate and print files in reverse
rev	reverse lines of a file
grep	print lines matching a pattern
sed	stream editor for filtering and transforming text

Unix Commands III

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- ▶ **Utilities**
 - echo print arguments to stdout
 - more, less pager
 - date, cal print current time and time/calendar
 - tar archiving utility
 - make build utility
 - ssh SSH client (remote login program)
 - gcc GNU compiler collection C compiler
- ▶ **Editors** vim, emacs
- ▶ ... and many many more
- ▶ see `$ man command` for more information

Interprocess communication

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**Interprocess
communication**

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- ▶ How can processes interact?

Interprocess communication

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- ▶ How can processes interact?
 - ▶ **stream** of data (pipes, stream sockets)

Interprocess communication

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- ▶ How can processes interact?
 - ▶ **stream** of data (pipes, stream sockets)
 - ▶ sending **messages** (message queues, datagram sockets)

Interprocess communication

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- ▶ How can processes interact?
 - ▶ **stream** of data (pipes, stream sockets)
 - ▶ sending **messages** (message queues, datagram sockets)
 - ▶ accessing a **shared resource** (file, memory)

Interprocess communication

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- ▶ How can processes interact?
 - ▶ **stream** of data (pipes, stream sockets)
 - ▶ sending **messages** (message queues, datagram sockets)
 - ▶ accessing a **shared resource** (file, memory)

- ▶ Classification

Interprocess communication

- ▶ How can processes interact?
 - ▶ **stream** of data (pipes, stream sockets)
 - ▶ sending **messages** (message queues, datagram sockets)
 - ▶ accessing a **shared resource** (file, memory)
- ▶ Classification
 - ▶ **related vs. unrelated** processes
 - unrelated processes require named resources (system-wide namespace)

Interprocess communication

- ▶ How can processes interact?
 - ▶ **stream** of data (pipes, stream sockets)
 - ▶ sending **messages** (message queues, datagram sockets)
 - ▶ accessing a **shared resource** (file, memory)
- ▶ Classification
 - ▶ **related vs. unrelated** processes
 - unrelated processes require named resources (system-wide namespace)
 - ▶ **implicit vs. explicit** synchronization
 - ensure orderly execution and access to a shared resource

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What you will learn in this course

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We assume. . .

You already know how to program in an imperative programming language.

This is not an introduction to programming!

Outlook

What you will learn in this course

We assume. . .

You already know how to program in an imperative programming language.

This is not an introduction to programming!

Educational objectives of the programming assignments

- ▶ How to write and compile a C program, use options and arguments, basic stream I/O (1a); communicate through stream sockets (1b)
- ▶ How to create child processes, communicate through unnamed pipes (2)
- ▶ Collaboration of unrelated processes through shared memory and synchronization with semaphores (3)

Summary

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- ▶ C is still a highly relevant language
- ▶ Unix-based OS are ubiquitous
- ▶ Introduction to basic Unix concepts and the environment

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▶ Advanced Bash-Scripting Guide

<http://www.tldp.org/LDP/abs/html/>

Homework

Work through slides “Introduction to C”.

The next lecture will deal with the features **specific** to C, so you should be familiar with the elements of the C language.