

Exercise 1:  
files,  
processes,  
pipes

# Exercise 1: Files, Processes, Pipes

Operating SystemsVU  
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## File Handling

- ▶ File IO, Streams

## Related Processes

- ▶ Create a process (fork)
- ▶ Load a new program into a process's memory (exec)
- ▶ Wait on a process's termination (wait)

## IPC via Unnamed Pipes

- ▶ Communication between [related](#) processes

# Unix File I/O

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## File Descriptor

- ▶ Non-negative integer

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- ▶ Reference to an entry (= index) in the table of open files ([file descriptor table](#)) of the process

# Unix File I/O

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## File Descriptor

- ▶ Non-negative integer
- ▶ Reference to an entry (= index) in the table of open files ([file descriptor table](#)) of the process
- ▶ Standard I/O file descriptors: already present at program start

# Unix File I/O

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## File Descriptor

- ▶ Non-negative integer
- ▶ Reference to an entry (= index) in the table of open files ([file descriptor table](#)) of the process
- ▶ Standard I/O file descriptors: already present at program start

File desc.	Description	POSIX Name	stdio Stream
0	Standard input	STDIN_FILENO	<a href="#">stdin</a>
1	Standard output	STDOUT_FILENO	<a href="#">stdout</a>
2	Error output	STDERR_FILENO	<a href="#">stderr</a>

# Unix File I/O

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File desc.	Description	POSIX Name	stdio Stream
0	Standard input	STDIN_FILENO	<a href="#">stdin</a>
1	Standard output	STDOUT_FILENO	<a href="#">stdout</a>
2	Error output	STDERR_FILENO	<a href="#">stderr</a>

- ▶ POSIX name is defined in `<unistd.h>`

# Unix File I/O

System calls for file access (see man pages chapter 2)

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# Unix File I/O

System calls for file access (see man pages chapter 2)

int **open**(const char \*pathname, int flags, mode\_t mode)

Opens an existing file or creates a new file

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▶ **pathname**: path to the file

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# Unix File I/O

System calls for file access (see man pages chapter 2)

int **open**(const char \*pathname, int flags, mode\_t mode)

Opens an existing file or creates a new file

- ▶ **pathname**: path to the file
- ▶ **flags**: One of `O_RDONLY`, `O_WRONLY`, `O_RDWR`
  - ▶ Additional flags can be added (via bitwise OR):
    - ▶ `O_CREAT`: create the file if it does not exist
    - ▶ `O_EXCL`: fail if the file already exists

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- ▶ **mode**: specifies the file mode bits to be applied when a new file is created

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- ▶ Returns a file descriptor or -1 on error

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`int open(const char *pathname, int flags, mode_t mode)`

Opens an existing file or creates a new file

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  - ▶ Additional flags can be added (via bitwise OR):
    - ▶ `O_CREAT`: create the file if it does not exist
    - ▶ `O_EXCL`: fail if the file already exists
- ▶ `mode`: specifies the file mode bits to be applied when a new file is created
- ▶ Returns a file descriptor or `-1` on error

```
int fd = open("~/data.txt", O_CREAT | O_EXCL | O_WRONLY);  
  
if (fd < 0) {  
    fprintf(stderr, "open failed: %s\n", strerror(errno));  
    exit(EXIT_FAILURE);  
}
```

# Unix File I/O

System calls for file access (see man pages chapter 2)

`ssize_t read(int fd, void *buf, size_t count)`

Read up to `count` bytes from a file

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System calls for file access (see man pages chapter 2)

```
ssize_t read(int fd, void *buf, size_t count)
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Read up to `count` bytes from a file

▶ `fd`: file descriptor

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`ssize_t read(int fd, void *buf, size_t count)`

Read up to `count` bytes from a file

- ▶ `fd`: file descriptor
- ▶ `buf`: buffer to be filled with the read data

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# Unix File I/O

System calls for file access (see man pages chapter 2)

`ssize_t read(int fd, void *buf, size_t count)`

Read up to `count` bytes from a file

- ▶ `fd`: file descriptor
- ▶ `buf`: buffer to be filled with the read data
- ▶ `count`: size of buffer (max number of bytes to read)

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- ▶ `count`: size of buffer (max number of bytes to read)
- ▶ Returns number of bytes effectively read or -1 on error

```
char buffer[80];

for (int pos = 0; pos < sizeof(buffer); ) {
    int numread = read(fd, buffer+pos, sizeof(buffer)-pos);

    if (numread < 0) {
        // error
    } else
        pos += numread;
}
```

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▶ `fd`: file descriptor

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`ssize_t write(int fd, void *buf, size_t count)`

Write up to `count` bytes to a file

- ▶ `fd`: file descriptor
- ▶ `buf`: buffer with the data to be written

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- ▶ `fd`: file descriptor
- ▶ `buf`: buffer with the data to be written
- ▶ `count`: size of buffer (max number of bytes to write)
- ▶ Returns the number of bytes effectively written or -1

```
char buffer[80] = "Data to be written";

for (int pos = 0; pos < sizeof(buffer); ) {
    int n = write(fd, buffer+pos, sizeof(buffer)-pos);

    if (n < 0) {
        // error
    } else
        pos += n;
}
```

# Unix File I/O

System calls for file access (see man pages chapter 2)

int **close**(int fd)

Close a file

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# Unix File I/O

System calls for file access (see man pages chapter 2)

## int `close(int fd)`

Close a file

- ▶ `fd`: file descriptor
- ▶ Returns 0 on success and -1 on error

```
if (close(fd) < 0) {  
    fprintf(stderr, "close failed: %s\n", strerror(errno));  
    exit(EXIT_FAILURE);  
}
```

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# Unix File I/O

## EINTR

- ▶ `read()` and `write()` can be interrupted by a signal

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# Unix File I/O

## EINTR

- ▶ `read()` and `write()` can be interrupted by a signal
- ▶ In this case they return `-1` and set `errno` to `EINTR`

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# Unix File I/O

## EINTR

- ▶ `read()` and `write()` can be interrupted by a signal
- ▶ In this case they return `-1` and set `errno` to `EINTR`
- ▶ No bytes are read or written

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# Unix File I/O

## EINTR

- ▶ `read()` and `write()` can be interrupted by a signal
- ▶ In this case they return `-1` and set `errno` to `EINTR`
- ▶ No bytes are read or written
- ▶ If this happens, just retry

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## EINTR

- ▶ `read()` and `write()` can be interrupted by a signal
- ▶ In this case they return -1 and set `errno` to `EINTR`
- ▶ No bytes are read or written
- ▶ If this happens, just retry

`read()` without checking for `EINTR`:

```
char buffer[80];
int pos, numread;

for (pos = 0; pos < sizeof(buffer); ) {
    numread = read(fd, buffer + pos, sizeof(buffer) - pos);

    if (numread < 0) {
        // error
    } else
        pos += numread;
}
```

# Unix File I/O

## EINTR

- ▶ `read()` and `write()` can be interrupted by a signal
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for (pos = 0; pos < sizeof(buffer); ) {
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    if (numread < 0) {
        if (errno != EINTR)
            // other error than EINTR
        } else
            pos += numread;
    }
}
```

# Unix File I/O

## EINTR

- ▶ `read()` and `write()` can be interrupted by a signal
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`write()` without checking for `EINTR`:

```
char buffer[80] = "Data to be written";
int pos, numwrit;

for (pos = 0; pos < sizeof(buffer); ) {
    numwrit = write(fd, buffer + pos, sizeof(buffer) - pos);

    if (numwrit < 0) {
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    } else
        pos += numwrit;
}
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# Unix File I/O

## EINTR

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            // other error than EINTR
        } else
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# Stream I/O in C

*The functionality descends from a “portable I/O package” written by Mike Lesk at Bell Labs in the early 1970s.  
(Source: Wikipedia)*

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- ▶ Standard I/O library (portability)

```
#include <stdio.h>
```



# Stream I/O in C

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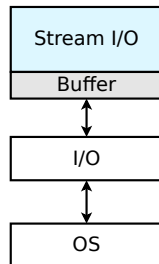
Redirection of stdin/stdout

Pitfalls

- ▶ Standard I/O library (portability)

```
#include <stdio.h>
```

- ▶ Buffered layer on top of the Unix I/O



# Stream I/O in C

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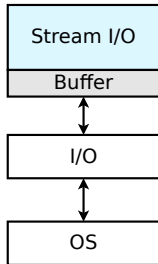
Redirection of stdin/stdout

Pitfalls

- ▶ Standard I/O library (portability)

```
#include <stdio.h>
```

- ▶ Buffered layer on top of the Unix I/O
- ▶ Stream data type: **FILE**, includes i.a. file descriptor, pointer to buffer, current position, EOF and error flags



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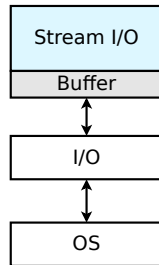
Redirection of stdin/stdout

Pitfalls

- ▶ Standard I/O library (portability)

```
#include <stdio.h>
```

- ▶ Buffered layer on top of the Unix I/O
- ▶ Stream data type: **FILE**, includes i.a. file descriptor, pointer to buffer, current position, EOF and error flags
- ▶ Predefined streams `stdin`, `stdout`, `stderr`



# Stream I/O in C

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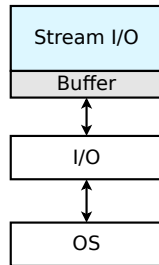
Redirection of stdin/stdout

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- ▶ Standard I/O library (portability)

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#include <stdio.h>
```

- ▶ Buffered layer on top of the Unix I/O
- ▶ Stream data type: **FILE**, includes i.a. file descriptor, pointer to buffer, current position, EOF and error flags
- ▶ Predefined streams `stdin`, `stdout`, `stderr`
- ▶ Convention: functions start with “f”: `fopen(3)`, `fdopen(3)`, `fwrite(3)`, `fprintf(3)`, ...



# fopen(3)

FILE \***fopen**(const char \*path, const char \*mode)

## Files

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Pitfalls

# fopen(3)

FILE \***fopen**(const char \*path, const char \*mode)

- ▶ The file at `path` is opened, and associated with the returned stream

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# fopen(3)

FILE \***fopen**(const char \*path, const char \*mode)

- ▶ The file at `path` is opened, and associated with the returned stream
- ▶ Different I/O modes:
  - ▶ "r" : read-only

## Files

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  - ▶ "w" : write-only (truncate to zero length first)

## Files

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  - ▶ "a" : append-only
  - ▶ "r+" / "w+" / "a+" : read and write (update mode)  
Read from beginning / truncate to zero length / writing at EOF

## Files

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Read from beginning / truncate to zero length / writing at EOF
- ▶ Returns NULL on failure (errno)

## Files

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  - ▶ "r+" / "w+" / "a+" : read and write (update mode)  
Read from beginning / truncate to zero length / writing at EOF
- ▶ Returns NULL on failure (errno)

```
FILE *input = fopen("data.txt", "r");  
  
if (input == NULL) {  
    fprintf(stderr, "fopen failed: %s\n", strerror(errno));  
    exit(EXIT_FAILURE);  
}
```

## Files

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# fdopen(3)

FILE \***fdopen**(int fd, const char \*mode)

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# fdopen(3)

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FILE \***fdopen**(int fd, const char \*mode)

- ▶ Associates a stream with a file descriptor

# fdopen(3)

## Files

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FILE \***fdopen**(int fd, const char \*mode)

- ▶ Associates a stream with a file descriptor
  - ▶ fd: file descriptor

# fdopen(3)

## Files

Unix File I/O

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Pitfalls

FILE \***fdopen**(int fd, const char \*mode)

- ▶ Associates a stream with a file descriptor
  - ▶ fd: file descriptor
  - ▶ mode: I/O mode



# fdopen(3)

## Files

Unix File I/O

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FILE \***fdopen**(int fd, const char \*mode)

- ▶ Associates a stream with a **file descriptor**
  - ▶ fd: file descriptor
  - ▶ mode: I/O mode
- ▶ Returns NULL on failure (errno)

# fdopen(3)

Files

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FILE \***fdopen**(int fd, const char \*mode)

- ▶ Associates a stream with a **file descriptor**
  - ▶ fd: file descriptor
  - ▶ mode: I/O mode
- ▶ Returns NULL on failure (errno)

```
int fd = open(...);  
if (fd < 0) {  
    // error  
}  
  
FILE *f = fdopen(fd, "r");  
if (f == NULL) {  
    // error  
}
```

# Reading and Writing

## Files

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Stream I/O

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---

Function	Description
<code>fread</code>	Reads $n$ elements, each $s$ bytes long
<code>fgetc</code>	Reads a line (up to <code>'\n'</code> )
<code>fgetc</code>	Reads a character
<code>fwrite</code>	Writes $n$ elements, each $s$ bytes long
<code>fputs</code>	Writes a C-string
<code>fputc</code>	Writes a character
<code>fprintf</code>	Formatted printing
<code>fseek</code>	Set the file position indicator

---

Since POSIX.1-2008:

`getline` Reads a line into a dynamically allocated buffer

---

# Stream Status

```
int ferror(FILE *stream)
```

- ▶ **ferror** tests the error indicator of the stream (0 = **error flag** not set).

## Files

Unix File I/O

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# Stream Status

int **ferror**(FILE \*stream)

- ▶ **ferror** tests the error indicator of the stream (0 = error flag not set).

int **feof**(FILE \*stream)

- ▶ **feof** tests the end-of-file indicator of the stream (e.g. functions **fgets** and **fgetc** set this flag upon reaching the end of file)

## Files

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# Stream Status

int **ferror**(FILE \*stream)

- ▶ **ferror** tests the error indicator of the stream (0 = error flag not set).

int **feof**(FILE \*stream)

- ▶ **feof** tests the end-of-file indicator of the stream (e.g. functions **fgets** and **fgetc** set this flag upon reaching the end of file)

int **clearerr**(FILE \*stream)

- ▶ **clearerr** resets error and end-of-file indicators

## Files

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# Stream Status

int **ferror**(FILE \*stream)

- ▶ **ferror** tests the error indicator of the stream (0 = error flag not set).

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- ▶ **feof** tests the end-of-file indicator of the stream (e.g. functions **fgets** and **fgetc** set this flag upon reaching the end of file)

int **clearerr**(FILE \*stream)

- ▶ **clearerr** resets error and end-of-file indicators

int **fileno**(FILE \*stream)

- ▶ **fileno** returns the file descriptor of a stream

## Files

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# Stream Status

int **ferror**(FILE \*stream)

- ▶ **ferror** tests the error indicator of the stream (0 = error flag not set).

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int **clearerr**(FILE \*stream)

- ▶ **clearerr** resets error and end-of-file indicators

int **fileno**(FILE \*stream)

- ▶ **fileno** returns the file descriptor of a stream

e.g. `fileno(stdout) -> 1`



# fflush(3), fclose(3)

## Files

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int **fflush**(FILE \*stream)

- ▶ fflush enforces writing of buffered data

# fflush(3), fclose(3)

## Files

Unix File I/O

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Pitfalls

int **fflush**(FILE \*stream)

- ▶ fflush enforces writing of buffered data

int **fclose**(FILE \*stream)

- ▶ fclose calls fflush and closes the stream and the associated file descriptor.

# fflush(3), fclose(3)

## Files

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Pitfalls

int **fflush**(FILE \*stream)

- ▶ fflush enforces writing of buffered data

int **fclose**(FILE \*stream)

- ▶ fclose calls fflush and closes the stream and the associated file descriptor.

Return 0 on success, EOF on failure (errno)

# Stream I/O Examples

Read and write files

Read the content of an input file line by line and write it to an output file

```
char buffer[1024];
FILE *in, *out;

if ((in = fopen("input.txt", "r")) == NULL)
    // fopen failed

if ((out = fopen("output.txt", "w")) == NULL)
    // fopen failed

while (fgets(buffer, sizeof(buffer), in) != NULL) {
    if (fputs(buffer, out) == EOF)
        // fputs failed
}

if (ferror(in))
    // fgets failed

fclose(in);
fclose(out);
```

## Files

Unix File I/O

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Pitfalls

## Why should we create processes?

- ▶ Divide up a task
  - ▶ Simpler application design
  - ▶ Greater concurrency

# Processes

## Files

Unix File I/O  
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## Why should we create processes?

- ▶ Divide up a task
  - ▶ Simpler application design
  - ▶ Greater concurrency

## Example

A server listens to client requests. The server process starts a new process to handle each request and continues to listen for further connections.

The server can handle several client requests simultaneously.

# Process vs. Thread

## Files

Unix File I/O  
Stream I/O

## Related Processes

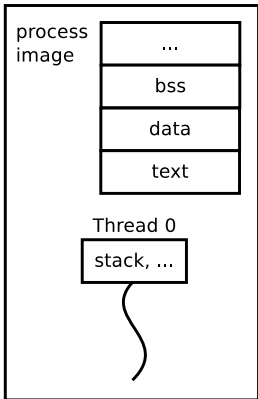
Process Properties  
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## IPC

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Redirection of stdin/stdout  
Pitfalls

## fork(2) vs. pthreads(7)

Process 0



# Process vs. Thread

## Files

Unix File I/O  
Stream I/O

## Related Processes

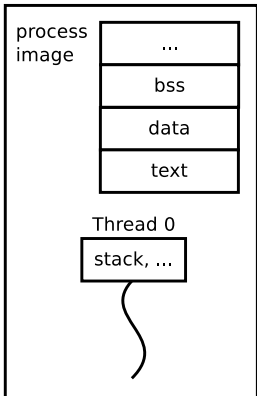
Process Properties  
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Debugging

## IPC

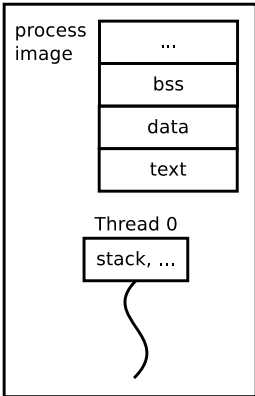
Pipes  
Redirection of stdin/stdout  
Pitfalls

## fork(2) vs. pthreads(7)

Process 0



Process 1





# Process vs. Thread

## Files

Unix File I/O  
Stream I/O

## Related Processes

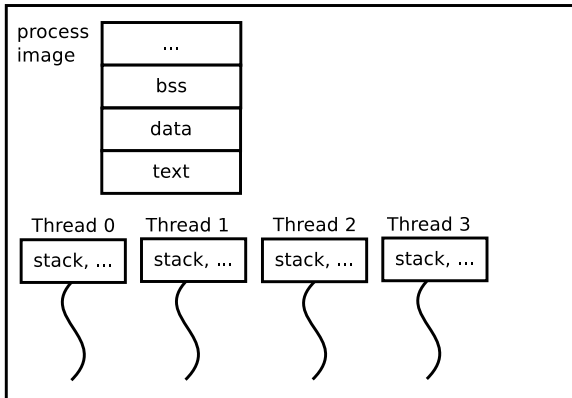
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## IPC

Pipes  
Redirection of stdin/stdout  
Pitfalls

## fork(2) vs. pthreads(7)

Process 0



# Process vs. Thread

## Files

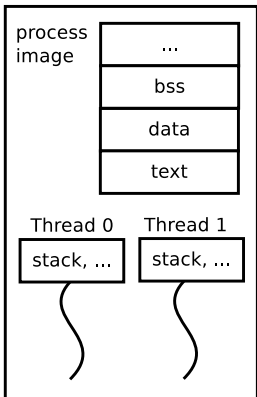
Unix File I/O  
Stream I/O

## Related Processes

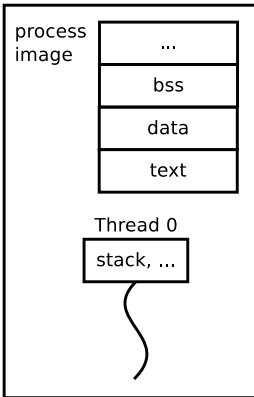
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Pitfalls

## fork(2) vs. pthreads(7)

Process 0



Process 1



# Process Hierarchy

## Files

Unix File I/O  
Stream I/O

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Pitfalls

- ▶ Every process has a parent process
- ▶ Exception: init process (init, systemd)
- ▶ Every process has a unique ID (pid\_t)
- ▶ Show process hierarchy: `ps tree(1)`

```
systemd+-ModemManager---2*[{ModemManager}]
|
|-NetworkManager+-dhclient
|   '-2*[{NetworkManager}]
|-abrt-dbus---{abrt-dbus}
|-2*[abrt-watch-log]
|-abrt
|-acpid
|-agetty
|-alsactl
|-atd
|-auditd+-audispd+-sedispatch
|           |           '-{audispd}
|           '-{auditd}
|-automount---7*[{automount}]
|-avahi-daemon---avahi-daemon
|-chronyd
|-colord---2*[{colord}]
|-cron
|-cupsd
|-dbus-daemon
|-dnsmasq---dnsmasq
|-firewalld---{firewalld}
.
.
```

Exercise 1:  
files,  
processes,  
pipes

# Memory Layout of a Process

## Files

Unix File I/O  
Stream I/O

## Related Processes

Process Properties

## Interface

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Pitfalls

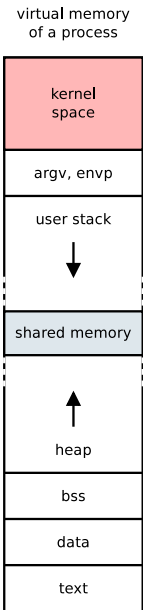
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# Properties of a Process in Linux

## Files

Unix File I/O

Stream I/O

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Pitfalls

**State** Running, waiting, ...

**Scheduling** Priority, CPU time, ...

**Identification** PID, owner, group, ...

**Memory Management** Pointer to MMU information

**Signals** Mask, pending

**Process Relations** Parents, siblings

▶ Show process info: `cat /proc/<pid>/status`

▶ See `struct task_struct` in `sched.h`

# Interface

fork / exec / exit / wait

## Files

Unix File I/O

Stream I/O

## Related Processes

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Debugging

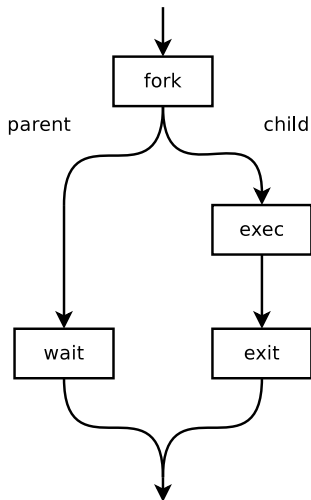
## IPC

Pipes

Redirection of stdin/stdout

Pitfalls

- ▶ **fork(2)** – creates a process (copies the process image)
- ▶ **exec(3)** – loads a program (replaces the process image of a process with a new one)
- ▶ **exit(3)** – exits a process
- ▶ **wait(2)** – awaits the exit of child processes



# Process Creation

fork

## Files

Unix File I/O

Stream I/O

## Related Processes

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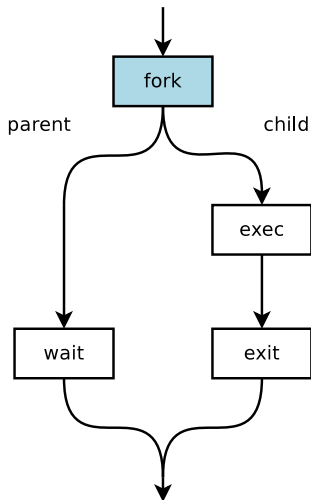
## IPC

Pipes

Redirection of stdin/stdout

Pitfalls

- ▶ Creates a new process
- ▶ New process is an identical copy of the calling process – except PID, pending signals, ...
- ▶ Calling process is the **parent** of the created process, the **child** – processes are **related**
- ▶ Both processes run parallel and execute the same program (from the **fork** call on)



# Process Creation

fork

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Pitfalls

- ▶ Create the process

```
#include <unistd.h>

pid_t fork(void);
```



# Process Creation

fork

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Pitfalls

- ▶ Create the process

```
#include <unistd.h>

pid_t fork(void);
```

- ▶ Distinguish between parent and child via return value of `fork`

# Process Creation

fork

## Files

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Pitfalls

- ▶ Create the process

```
#include <unistd.h>  
  
pid_t fork(void);
```

- ▶ Distinguish between parent and child via return value of `fork`
  - 1 On error

# Process Creation

fork

## Files

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Pitfalls

- ▶ Create the process

```
#include <unistd.h>

pid_t fork(void);
```

- ▶ Distinguish between parent and child via return value of `fork`
  - 1 On error
  - 0 In the child process

# Process Creation

fork

## Files

Unix File I/O

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Pitfalls

- ▶ Create the process

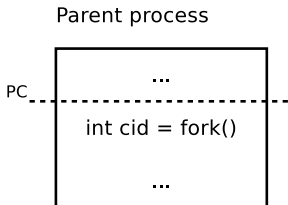
```
#include <unistd.h>

pid_t fork(void);
```

- ▶ Distinguish between parent and child via return value of `fork`
  - 1 On error
  - 0 In the child process
  - >0 In the parent process

# Process Creation

## Before `fork()`



### Files

Unix File I/O

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### IPC

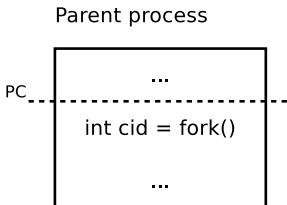
Pipes

Redirection of stdin/stdout

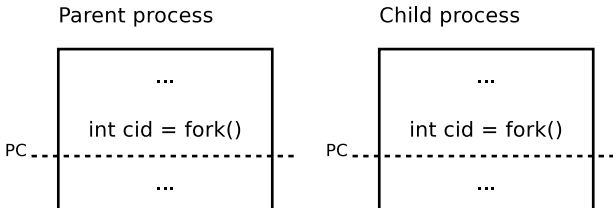
Pitfalls

# Process Creation

## Before `fork()`



## After `fork()`



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# Process Creation

## Example

```
pid_t pid = fork();

switch (pid) {
    case -1:
        fprintf(stderr, "Cannot fork!\n");
        exit(EXIT_FAILURE);

    case 0:
        // child tasks
        ...
        break;
    default:
        // parent tasks
        ...
        break;
}
```

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# Process Creation

## Child

### Child inherits from parent:

- ▶ Opened files (common access!)
- ▶ File buffers
- ▶ Signal handling
- ▶ **Current** values of variables

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# Process Creation

## Child

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## Child inherits from parent:

- ▶ Opened files (common access!)
- ▶ File buffers
- ▶ Signal handling
- ▶ **Current** values of variables

## But:

- ▶ Variables are local to process (no influence)
- ▶ Signal handling can be re-configured
- ▶ Communication (IPC) via pipes, sockets, shared memory, ...

# Program Execution

exec

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Debugging

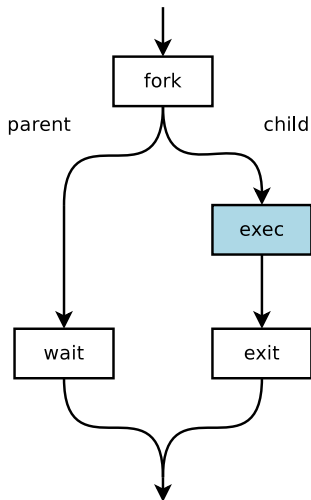
## IPC

Pipes

Redirection of stdin/stdout

Pitfalls

- ▶ Load a new program into a process's memory
- ▶ Executes **another** program
- ▶ In the **same** process (PID remains the same)



# Program Execution

exec Family<sup>1</sup>

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```
int execl(const char *path, const char *arg, ...);
int execlp(const char *file, const char *arg, ...);

int execl(const char *path, const char *arg, ...,
          char *const envp[]);

int execv(const char *path, char *const argv[]);
int execvp(const char *file, char *const argv[]);

int fexecve(int fd, char *const argv[],
            char *const envp[]);
```

---

<sup>1</sup>Frontend of `execve(2)`

# Program Execution

## exec Family

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- ▶ `execl` – variable number of arguments
- ▶ `execv` – arguments via array
- ▶ `execp` – searching the environment variable `$PATH` for the program specified
- ▶ `exece` – environment<sup>2</sup> can be changed
- ▶ `fexecve` – accepts file descriptor (instead of path)

---

<sup>2</sup>FYI: `environ(7)`

# Program Execution

## exec Family

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Pitfalls

- ▶ `execl` – variable number of arguments
- ▶ `execv` – arguments via array
- ▶ `execp` – searching the environment variable `$PATH` for the program specified
- ▶ `exece` – environment<sup>2</sup> can be changed
- ▶ `fexecve` – accepts file descriptor (instead of path)

## Note Argument Passing!

- ▶ 1st argument is the program's name (`argv[0]`)!
- ▶ Last argument must be a **NULL** pointer!

---

<sup>2</sup>FYI: `environ(7)`

# Program Execution

Example: `execv()`, `execvp()`

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## IPC

Pipes

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Pitfalls

```
#include <unistd.h>
```

```
char *cmd[] = { "ls", "-l", (char *) 0 };
```

```
execv("/bin/ls", cmd);
```

```
// or:
```

```
// execvp("ls", cmd);
```

```
fprintf(stderr, "Cannot exec!\n");
```

```
exit(EXIT_FAILURE);
```

# Program Execution

Example: `execl()`, `execlp()`

```
#include <unistd.h>
```

```
execl("/bin/ls", "ls", "-l", NULL);  
// or:  
// execlp("ls", "ls", "-l", NULL);
```

```
fprintf(stderr, "Cannot exec!\n");  
exit(EXIT_FAILURE);
```

## Files

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## IPC

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# Program Execution

Example: `execl()`, `execlp()`

```
#include <unistd.h>
```

```
execl("/bin/ls", "ls", "-l", NULL);  
// or:  
// execlp("ls", "ls", "-l", NULL);
```

```
fprintf(stderr, "Cannot exec!\n");  
exit(EXIT_FAILURE);
```

Attention - this is not working:

```
execl("/bin/ls", "ls -l", NULL);
```

```
int a = 1;  
execl("myprog", "myprog", "-a", a, NULL);  
// e.g., use a char-buffer and snprintf(3)
```

## Files

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# Process Termination

exit

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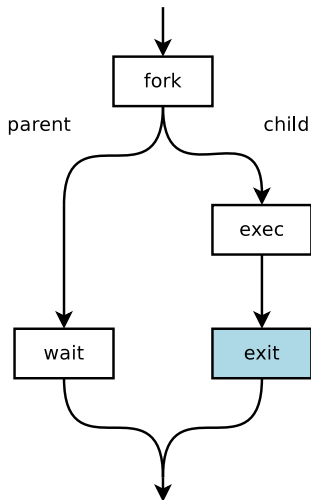
## IPC

Pipes

Redirection of stdin/stdout

Pitfalls

- ▶ Terminates a process (normally)
- ▶ Termination status can be read by parents
- ▶ Actions performed by `exit()`
  - ▶ Flush and close `stdio` stream buffers
  - ▶ Close all open files
  - ▶ Delete temporary files (created by `tmpfile(3)`)
  - ▶ Call exit handlers (`atexit(3)`)



# Process Termination

exit

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## IPC

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Pitfalls

- ▶ Terminate a process normally

```
#include <stdlib.h>
```

```
void exit(int status);
```

# Process Termination

exit

## Files

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Pitfalls

- ▶ Terminate a process normally

```
#include <stdlib.h>
```

```
void exit(int status);
```

- ▶ Status: 8 bit (0-255)
- ▶ By convention
  - ▶ `exit(EXIT_SUCCESS)` – process completed successfully
  - ▶ `exit(EXIT_FAILURE)` – error occurred

# Process Termination

exit

## Files

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## IPC

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Pitfalls

- ▶ Terminate a process normally

```
#include <stdlib.h>
```

```
void exit(int status);
```

- ▶ Status: 8 bit (0-255)
- ▶ By convention
  - ▶ `exit(EXIT_SUCCESS)` – process completed successfully
  - ▶ `exit(EXIT_FAILURE)` – error occurred
- ▶ More return values
  - ▶ BSD: `sysexits.h`
  - ▶ <http://tldp.org/LDP/abs/html/exitcodes.html>

# Waiting on a Child Process

wait

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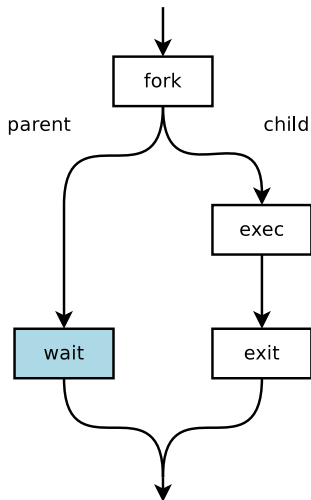
## IPC

Pipes

Redirection of stdin/stdout

Pitfalls

- ▶ Wait until a child process terminates
- ▶ Returns the PID and status of the terminated child



# Waiting on a Child Process

wait

## Files

Unix File I/O

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Pipes

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Pitfalls

- ▶ Wait for a child to terminate

```
#include <sys/wait.h>

pid_t wait(int *status);
```

# Waiting on a Child Process

wait

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Pitfalls

- ▶ Wait for a child to terminate

```
#include <sys/wait.h>

pid_t wait(int *status);
```

- ▶ `wait()` blocks<sup>3</sup> until a child terminates or on error

---

<sup>3</sup>≠ busy waiting

# Waiting on a Child Process

wait

## Files

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Pitfalls

- ▶ Wait for a child to terminate

```
#include <sys/wait.h>

pid_t wait(int *status);
```

- ▶ `wait()` blocks<sup>3</sup> until a child terminates or on error
- ▶ Return value
  - ▶ PID of the terminated child
  - ▶ -1 on error (→ `errno`, e.g., `ECHILD`)

---

<sup>3</sup>≠ busy waiting



# Waiting on a Child Process

wait

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Pitfalls

- ▶ Wait for a child to terminate

```
#include <sys/wait.h>

pid_t wait(int *status);
```

- ▶ `wait()` blocks<sup>3</sup> until a child terminates or on error
- ▶ Return value
  - ▶ PID of the terminated child
  - ▶ -1 on error (→ `errno`, e.g., `ECHILD`)
- ▶ Status includes exit value and signal information
  - ▶ `WIFEXITED(status)`, `WEXITSTATUS(status)`
  - ▶ `WIFSIGNALED(status)`, `WTERMSIG(status)`
  - ▶ See `wait(2)`

---

<sup>3</sup>≠ busy waiting

# Waiting on a Child Process

## Zombies and Orphans

- ▶ UNIX: Terminated processes remain in the process table
- ▶ No more space in process table → no new process can be started!
- ▶ After `wait()` the child process is removed from the process table

### Files

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# Waiting on a Child Process

## Zombies and Orphans

- ▶ UNIX: Terminated processes remain in the process table
- ▶ No more space in process table → no new process can be started!
- ▶ After `wait()` the child process is removed from the process table

**Zombie** Child terminates, but parent didn't call `wait` yet

- ▶ State of the child is set to "zombie"
- ▶ Child remains in process table until parent calls `wait`

### Files

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# Waiting on a Child Process

## Zombies and Orphans

- ▶ UNIX: Terminated processes remain in the process table
- ▶ No more space in process table → no new process can be started!
- ▶ After `wait()` the child process is removed from the process table

**Zombie** Child terminates, but parent didn't call `wait` yet

- ▶ State of the child is set to "zombie"
- ▶ Child remains in process table until parent calls `wait`

**Orphan** Parent terminates before child

- ▶ Child becomes an **orphan** and is inherited to the init process
- ▶ When an orphan terminates, the init process removes the entry in the process table

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# Waiting on a Child Process

## Example

```
#include <sys/wait.h>

int status;
pid_t child_pid, pid;
...
while ((pid = wait(&status)) != child_pid)
{
    if (errno == EINTR) continue;
    if (pid == -1) {
        fprintf(stderr, "Cannot wait!\n");
        exit(EXIT_FAILURE);
    }
}

if (WEXITSTATUS(status) == EXIT_SUCCESS) {
    ...
}
```

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# Waiting on a Child Process

waitpid

- ▶ Wait on a **specific** child process

```
#include <sys/wait.h>
```

```
pid_t waitpid(pid_t pid, int *status, int options);
```

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# Waiting on a Child Process

## waitpid

- ▶ Wait on a **specific** child process

```
#include <sys/wait.h>
```

```
pid_t waitpid(pid_t pid, int *status, int options);
```

- ▶ Examples

```
waitpid(cid, &status, 0);  
    // waits on a child process with PID 'cid'
```

```
waitpid(-1, &status, 0);  
    // equivalent to wait
```

```
waitpid(-1, &status, WNOHANG);  
    // does not block
```

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# Notification

on Termination of a Child

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If parent should not block

- ▶ Synchronous
  - ▶ `waitpid(-1, &status, WNOHANG)`
  - ▶ Returns exit status when a child terminates
  - ▶ Repeating calls → polling



# Notification

on Termination of a Child

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Pitfalls

## If parent should not block

### ▶ Synchronous

- ▶ `waitpid(-1, &status, WNOHANG)`
- ▶ Returns exit status when a child terminates
- ▶ Repeating calls → polling

### ▶ Asynchronous

- ▶ Signal `SIGCHLD` is sent to the parent process whenever one of its child processes terminates
- ▶ Catch by installing a signal handler (`sigaction`)
- ▶ Call `wait` in the signal handler

# Pitfalls

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## Pitfalls

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```
int main(int argc, char **argv)
{
    fprintf(stdout, "Hello");

    (void) fork();
    return 0;
}
```

Output: "HelloHello"

Why?

# Pitfalls

## Files

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Pitfalls

```
int main(int argc, char **argv)
{
    fprintf(stdout, "Hello");
    fflush(stdout);
    (void) fork();
    return 0;
}
```

Output: "Hello"

→ for all opened streams

# Debugging

`gdb`

- ▶ Before `fork` is executed:  
`set follow-fork-mode [child|parent]`

## Example

```
$ gdb -tui ./forktest
(gdb) break main
(gdb) set follow-fork-mode child
(gdb) run
(gdb) next
(gdb) :
(gdb) continue
(gdb) quit
```

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# Inter-Process Communication

## Recall

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So far:

- ▶ Signals (e.g., to synchronise between parent and child)  
→ see [Development in C I](#)

# Inter-Process Communication

## Recall

So far:

- ▶ Signals (e.g., to synchronise between parent and child)  
→ see [Development in C I](#)

New:

- ▶ Pipes

### Files

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# Inter-Process Communication

## Recall

### Files

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Redirection of stdin/stdout

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So far:

- ▶ Signals (e.g., to synchronise between parent and child)  
→ see [Development in C I](#)

New:

- ▶ Pipes

Next lectures:

- ▶ Shared Memory
- ▶ Sockets

# Pipes

## Overview

### (Unnamed) Pipe

- = unidirectional data channel
- = enables communication between [related](#) processes

#### Files

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# Pipes

## Overview

### (Unnamed) Pipe

= unidirectional data channel

= enables communication between **related** processes

#### Files

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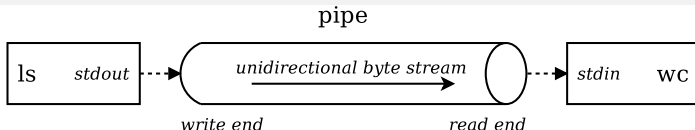
Pipes

Redirection of stdin/stdout

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### ▶ Example

```
$ ls | wc -l
```



# Pipes

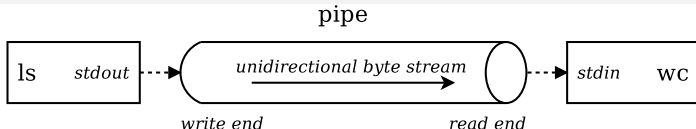
## Overview

### (Unnamed) Pipe

- = unidirectional data channel
- = enables communication between **related** processes

#### ▶ Example

```
$ ls | wc -l
```



- ▶ Access to read and write end of the pipe via file descriptors
- ▶ Pipe is an unidirectional byte stream
- ▶ Buffered
- ▶ Implicit synchronisation

## Files

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# Pipes

## Create

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## ▶ Create a pipe

```
#include <unistd.h>

int pipe(int pipefd[2]);
```

# Pipes

Create

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## IPC

Pipes

Redirection of stdin/stdout

Pitfalls

- ▶ Create a pipe

```
#include <unistd.h>

int pipe(int pipefd[2]);
```

- ▶ File descriptors of read and write end are returned in specified integer array `pipefd`
  - ▶ `pipefd[0]` – read end
  - ▶ `pipefd[1]` – write end

# Pipes

Create

## Files

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## IPC

Pipes

Redirection of stdin/stdout

Pitfalls

- ▶ Create a pipe

```
#include <unistd.h>

int pipe(int pipefd[2]);
```

- ▶ File descriptors of read and write end are returned in specified integer array `pipefd`
  - ▶ `pipefd[0]` – read end
  - ▶ `pipefd[1]` – write end
- ▶ Close unused ends
- ▶ Use read/write end via stream-IO (`fdopen`, etc.)

# Pipes

Create

## Files

Unix File I/O

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- ▶ Create a pipe

```
#include <unistd.h>

int pipe(int pipefd[2]);
```

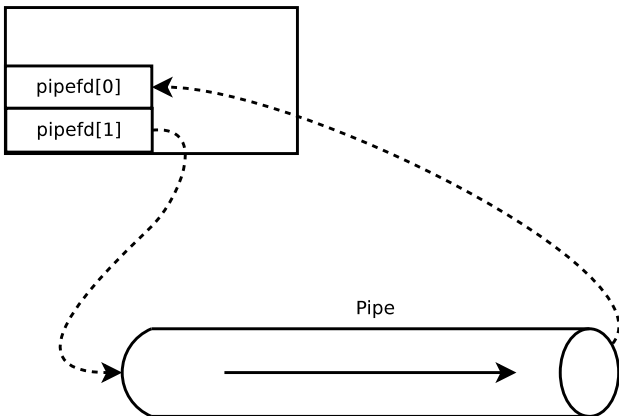
- ▶ File descriptors of read and write end are returned in specified integer array `pipefd`
  - ▶ `pipefd[0]` – read end
  - ▶ `pipefd[1]` – write end
- ▶ Close unused ends
- ▶ Use read/write end via stream-IO (`fdopen`, etc.)
- ▶ A child process inherits the pipe → common access

# Unnamed Pipes

## Illustration

```
pipe;
```

Parent process



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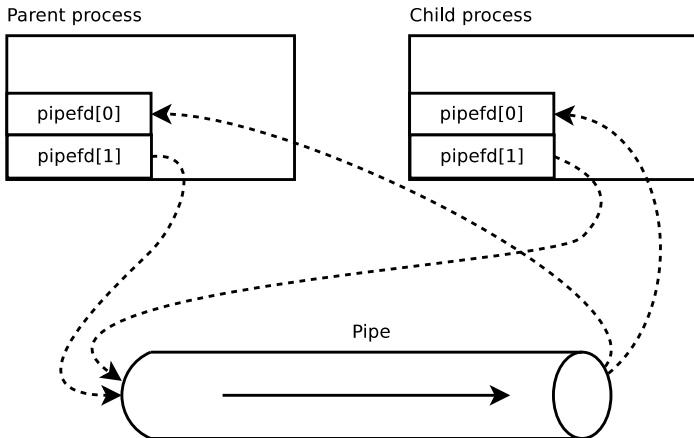
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# Unnamed Pipes

## Illustration

```
pipe; fork;
```



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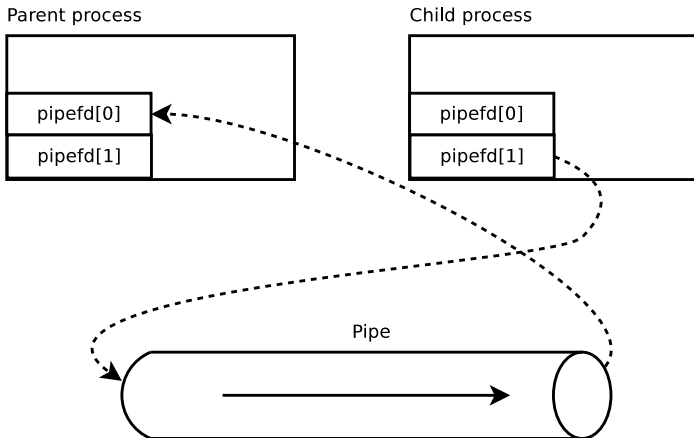
Pitfalls



# Unnamed Pipes

## Illustration

`pipe; fork; close unused ends;`



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# Unnamed Pipes

## Implicit Synchronisation

- ▶ read blocks on empty pipe
- ▶ write blocks on full pipe

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# Unnamed Pipes

## Implicit Synchronisation

- ▶ `read` blocks on empty pipe
- ▶ `write` blocks on full pipe
  
- ▶ `read` indicates **end-of-file** if all write ends are closed (return value 0)
- ▶ `write` creates signal **SIGPIPE** if all read ends are closed (if signal ignored/handled: `write` fails with `errno EPIPE`)

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# Unnamed Pipes

## Implicit Synchronisation

- ▶ read blocks on empty pipe
- ▶ write blocks on full pipe
  
- ▶ read indicates **end-of-file** if all write ends are closed (return value 0)
- ▶ write creates signal **SIGPIPE** if all read ends are closed (if signal ignored/handled: write fails with errno **EPIPE**)

### Therefore...

... close unused ends, to get this behaviour (end-of-file and SIGPIPE/EPIPE).

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# Unnamed Pipes

## Implicit Synchronisation

- ▶ read blocks on empty pipe
- ▶ write blocks on full pipe
  
- ▶ read indicates **end-of-file** if all write ends are closed (return value 0)
- ▶ write creates signal **SIGPIPE** if all read ends are closed (if signal ignored/handled: write fails with errno **EPIPE**)

### Therefore...

... close unused ends, to get this behaviour (end-of-file and SIGPIPE/EPIPE).

Besides, the kernel removes pipes with all ends closed.

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# Unnamed Pipes

What about named pipes?

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▶ Unnamed pipes

▶ |

▶ pipe(2)

# Unnamed Pipes

What about named pipes?

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- ▶ Unnamed pipes

  - ▶ |

  - ▶ `pipe(2)`

- ▶ Named pipes

  - ▶ `mkfifo(1)`, `mknod(2)`

  - ▶ Usage similar to files.

  - ▶ (Will not be dealt with any further throughout this course.)

# Redirection of stdin/stdout

Why?

- ▶ Main application: pipes
- ▶ Example: shell redirection of `stdin` and `stdout`

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# Redirection of stdin/stdout

Why?

- ▶ Main application: pipes
- ▶ Example: shell redirection of `stdin` and `stdout`

Scenario:

- ▶ A process may be forked or not  
→ uses standard IO

## Files

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# Redirection of stdin/stdout

Why?

- ▶ Main application: pipes
- ▶ Example: shell redirection of `stdin` and `stdout`

Scenario:

- ▶ A process may be forked or not  
→ uses standard IO
- ▶ A parent process forks and executes another program

## Files

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# Redirection of stdin/stdout

Why?

- ▶ Main application: pipes
- ▶ Example: shell redirection of `stdin` and `stdout`

Scenario:

- ▶ A process may be forked or not  
→ uses standard IO
- ▶ A parent process forks and executes another program
- ▶ Parent usually wants to use the child's output  
→ redirect `stdin` (file descriptor 0, `STDIN_FILENO`)  
and/or `stdout` (file descriptor 1, `STDOUT_FILENO`) in  
new process

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# Redirection of stdin/stdout

## Approach

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Pipes

Redirection of stdin/stdout

Pitfalls

- ▶ Close file descriptors for standard I/O (`stdin`, `stdout`)
- ▶ Duplicate opened file descriptor (e.g., a pipe's end) to the closed one

```
#include <unistd.h>

int dup(int oldfd);
int dup2(int oldfd, int newfd);
```

- ▶ Close duplicated file descriptor

# Redirection of stdin/stdout

dup / dup2

## Files

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Pipes

Redirection of  
stdin/stdout

Pitfalls

- ▶ `dup(oldfd)` duplicates file descriptor `oldfd`
  - ▶ New file descriptor uses smallest unused ID  
= entry in [file descriptor table](#)
  - ▶ Duplicated file descriptor points to the [same](#) open file description (equal file offset, status flags) → see `open(2)`

# Redirection of stdin/stdout

dup / dup2

## Files

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Pitfalls

- ▶ `dup(oldfd)` duplicates file descriptor `oldfd`
  - ▶ New file descriptor uses smallest unused ID  
= entry in [file descriptor table](#)
  - ▶ Duplicated file descriptor points to the [same](#) open file description (equal file offset, status flags) → see `open(2)`
- ▶ `dup2(oldfd, newfd)` duplicates `oldfd`
  - ▶ New file descriptor uses ID `newfd`
  - ▶ (Implicitly) closes the file descriptor `newfd` (if necessary)
  - ▶ `newfd` points to the [same](#) open file description like `oldfd`

# Redirection of stdin/stdout

Example: redirect stdout to opened file

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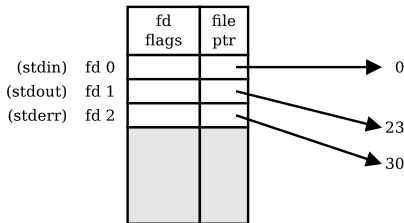
## IPC

Pipes

Redirection of stdin/stdout

Pitfalls

**Process A**  
**File descriptor table**



**Open file table**  
**(system-wide)**

file offset	status flags	inode ptr
0		
23		
30		

# Redirection of stdin/stdout

Example: redirect stdout to opened file

## Files

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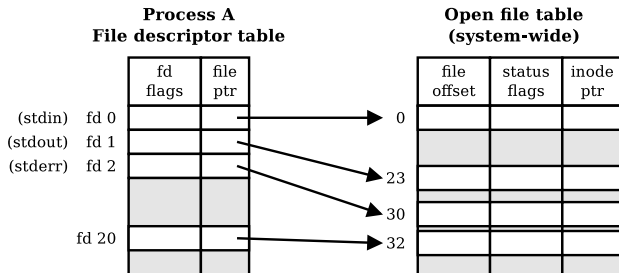
## IPC

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open file;





# Redirection of stdin/stdout

Example: redirect stdout to opened file

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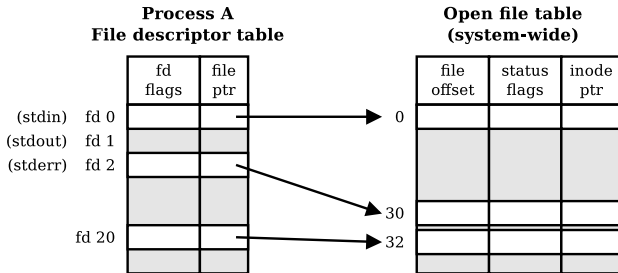
## IPC

Pipes

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open file; close stdout;



# Redirection of stdin/stdout

Example: redirect stdout to opened file

## Files

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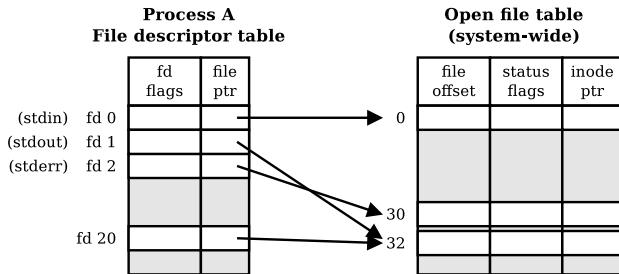
## IPC

Pipes

Redirection of stdin/stdout

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open file; close stdout; dup;



# Redirection of stdin/stdout

Example: redirect stdout to opened file

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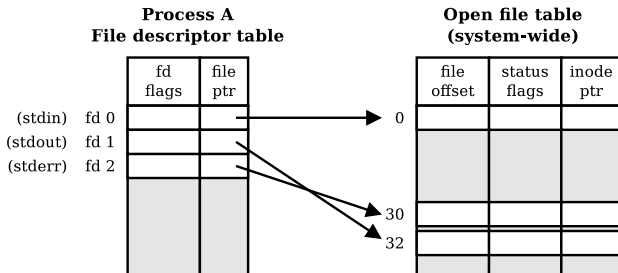
## IPC

Pipes

Redirection of stdin/stdout

Pitfalls

open file; close stdout; dup; close file;



# Redirection of stdin/stdout

Example: redirect stdout to log.txt

```
#include <fcntl.h>
#include <sys/types.h>
#include <unistd.h>

int fd;

// TODO error handling!

fd = open("log.txt", O_WRONLY | O_CREAT);

dup2(fd,          // old descriptor
      STDOUT_FILENO); // new descriptor

close(fd);

execlp("ls", "ls", NULL);
```

## Files

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# Redirection of stdin/stdout

Example: redirect stdin to pipe

```
// TODO error handling!

int pipefd[2];
pipe(pipefd);           // create pipe

pid_t pid = fork();
switch(pid) {
    :
    case 0: // child counting lines from parent
        close(pipefd[1]); // close unused write end

        dup2(pipefd[0],    // old descriptor - read end
              STDIN_FILENO); // new descriptor

        close(pipefd[0]);

        execlp("wc", "wc", "-l", NULL);
        // should not reach this line
    :
}
```

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# Pitfalls

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Pitfalls

- ▶ Pipes are **unidirectional**
- ▶ Bidirectional: two pipes, but ...
  - ▶ Erroneous synchronisation (deadlock, e.g., both processes read from empty pipe)
- ▶ Synchronisation & Buffer
  - ▶ Use `fflush()`
  - ▶ Configure buffer (`setbuf(3)`, `setvbuf(3)`)

# Tips for the Exercise

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Pitfalls

- ▶ Try to parallel the functionality of your program (as much as possible)

## Example

**DO NOT:** The parent first reads all input from a file to an array. It then sends the data within one burst to the child. The child processes the data and outputs the result.

**INSTEAD DO:** The parent reads line-by-line from a file. Each line is sent to the client immediately. Reading and processing of the lines happens in parallel.

# Tips for the Exercise

- ▶ Communicate over pipes (do not exploit inherited memory areas)

## Example

**DO NOT:** The parent reads a file and saves its content into an array and forks a child. The child processes the data from the array.

**INSTEAD DO:** The parent communicates the data from the file over a pipe.

- ▶ However, you may pass options/flags/settings to the child (process). For example, use inherited variable `argv` to set arguments when using `exec`.

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# Exercise 1

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Pitfalls

- ▶ 1A: Implement a simple Unix tool
  - ▶ Become acquainted with the C language
  - ▶ Argument handling
  - ▶ Learn to use Makefiles

# Exercise 1

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Pitfalls

- ▶ 1A: Implement a simple Unix tool
  - ▶ Become acquainted with the C language
  - ▶ Argument handling
  - ▶ Learn to use Makefiles
- ▶ 1B: Multiple communicating processes
  - ▶ fork/exec/wait
    - ▶ Start further programs
  - ▶ Unnamed Pipes
    - ▶ Communication between related processes
    - ▶ Redirection of stdin/stdout

# Material

Exercise 1:  
files,  
processes,  
pipes

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- ▶ Michael Kerrisk: A Linux and UNIX System Programming Handbook, No Starch Press, 2010.
- ▶ man pages: fork(2), exec(3), execve(2), exit(3), wait(3), pipe(2), dup(2)
- ▶ gdb - Debugging Forks:  
<https://sourceware.org/gdb/onlinedocs/gdb/Forks.html>