

Programm- & Systemverifikation

Assignment 1

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Part 1 of Assignment 1 – Assertions

For each of the following examples:

- ▶ Provide (if possible) initial values for the unsigned 32-bit variables x and y such that the first assertion does not fail, but the second does.
 - ▶ If this is not possible, use the substitution trick from the lecture and mathematical/logical reasoning to explain why.
1. `assert(y==41); x=(y+1); assert(x==42);`
 2. `assert(x==y); y--; assert(x>y);`
 3. `assert(x!=y && x!=0); y=(y%x); assert(y>0 && x!=0);`
(In ANSI-C, % denotes the modulo operator)
 4. `assert(x==y); x=x^y; y=x^y; x=x^y; assert(x==y);`
(In ANSI-C, ^ denotes the XOR operator (\oplus))

- ▶ *Locks* can be used to prevent simultaneous or concurrent access to critical regions or resources
- ▶ Simplified API:
 - ▶ `lock(A)` succeeds if lock A is available
 - ▶ `lock(A)` blocks if lock is already held/acquired (by this or another thread)
 - ▶ `unlock(A)` releases a lock previously acquired
 - ▶ `unlock(A)` never blocks

Assertions and Concurrency

- ▶ Deadlocks happen if locks are acquired in wrong order

```
lock (A);  
    lock (B);  
    unlock (B);  
unlock (A);
```

```
lock (B);  
    lock (A);  
    unlock (A);  
unlock (B);
```

Assertions and Concurrency

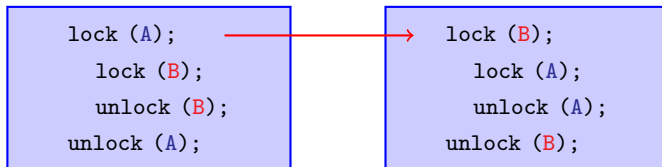
- ▶ Deadlocks happen if locks are acquired in wrong order
 - ▶ Thread one acquires lock A

```
lock (A);  
    lock (B);  
    unlock (B);  
unlock (A);
```

```
lock (B);  
    lock (A);  
    unlock (A);  
unlock (B);
```

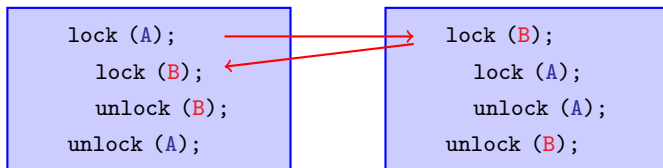
Assertions and Concurrency

- ▶ Deadlocks happen if locks are acquired in wrong order
 - ▶ Thread one acquires lock A
 - ▶ Thread two acquires lock B



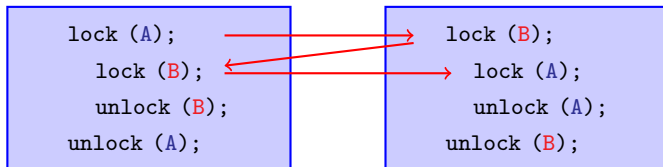
Assertions and Concurrency

- ▶ Deadlocks happen if locks are acquired in wrong order
 - ▶ Thread one acquires lock **A**
 - ▶ Thread two acquires lock **B**
 - ▶ Thread one waits for lock **B** (thread two still running)



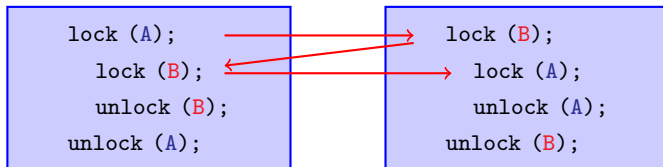
Assertions and Concurrency

- ▶ Deadlocks happen if locks are acquired in wrong order
 - ▶ Thread one acquires lock **A**
 - ▶ Thread two acquires lock **B**
 - ▶ Thread one waits for lock **B**
 - ▶ Thread two waits for lock **A**



Assertions and Concurrency

- ▶ Deadlocks happen if locks are acquired in wrong order
 - ▶ Thread one acquires lock **A**
 - ▶ Thread two acquires lock **B**
 - ▶ Thread one waits for lock **B**
 - ▶ Thread two waits for lock **A**
 - ▶ Now both threads are stuck...



- ▶ Add assertions that fail if a deadlock is about to occur!
- ▶ Assertions must *not* fail if no deadlock occurs!
- ▶ **Hints:**
 - ▶ You need to augment the code with auxiliary code and variables indicating when a process is waiting for a lock
 - ▶ The assertions must be executed *before* the deadlock occurs

For the specialists among you: assume sequential consistency

Assertions and Concurrency: Solution

```
flagA = 0;  
lock (A);  
    flagA = 1;  
    assert (!flagB);  
    lock (B);  
    flagA = 0;  
    unlock (B);  
unlock (A);
```

```
flagB = 0;  
lock (B);  
    flagB = 1;  
    assert (!flagA);  
    lock (A);  
    flagB = 0;  
    unlock (A);  
unlock (B);
```

Note:

- ▶ If only one thread contains an assertion, then there's a potential deadlock without an assertion failure
- ▶ If `flagA` and `flagB` are reset after the inner locks are released, then there's a potential assertion failure even if the deadlock doesn't happen

Part 3 of Assignment 1

- ▶ Add an *inductive invariant* to the code
- ▶ Use it to show that the assertion after the loop holds
- ▶ Add comments to the code explaining
 - ▶ why your assertion is an inductive invariant
 - ▶ why it shows that the assertion after the loop holds

```
unsigned x = i;  
unsigned y = j;  
while (x != 0)  
{  
    x--;  
    y++;  
    assert (?); // add invariant here  
}  
assert ((i != j) || (y == 2 * i));
```

Inductive invariant: Solution

```
assert (true);
assert (j == j + (i - i));
unsigned x = i;
assert (j == j + (i - x));
unsigned y = j;
assert (y == j + (i - x));
while (x != 0)
{
    assert (y == j + (i - x));
    assert ((y + 1) == j + (i - (x - 1)));
    x--;
    assert ((y + 1) == j + (i - x));
    y++;
    assert (y == j + (i - x));
    // Number of iterations  $n := i - x$ 
}
assert ((x == 0) && y == j + (i - x));
assert ((i != j) || (y == 2 * i));
```

Submitting your solution

- ▶ Your solution must be submitted via TUWEL by April 15, 4pm
 - ▶ Late submissions will not be accepted
- ▶ Answer all questions and submit your solution as a *single PDF*
- ▶ Make sure the file contains your student ID and your name
- ▶ You can get up to 5 points for each part of this assignment