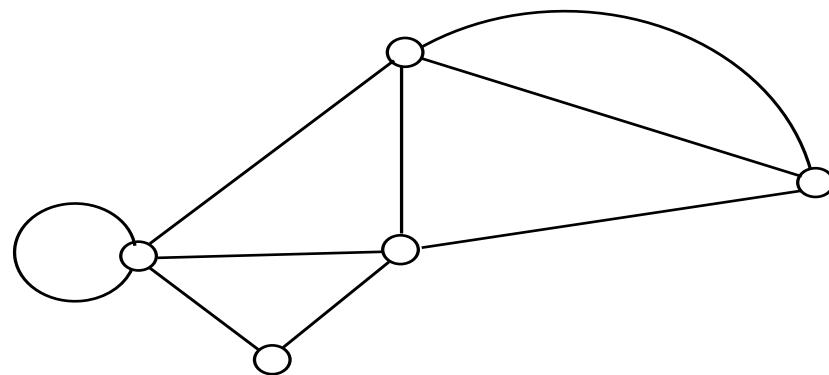
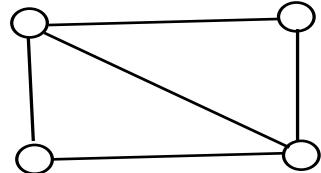
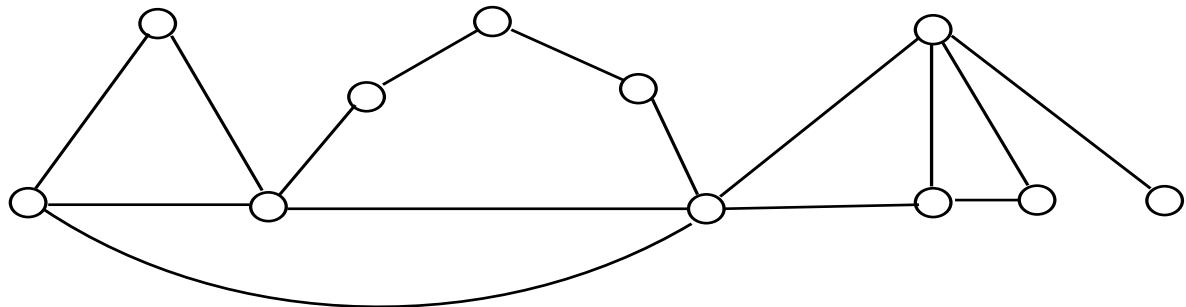


# **BASIC CONCEPTS OF GRAPH THEORY**

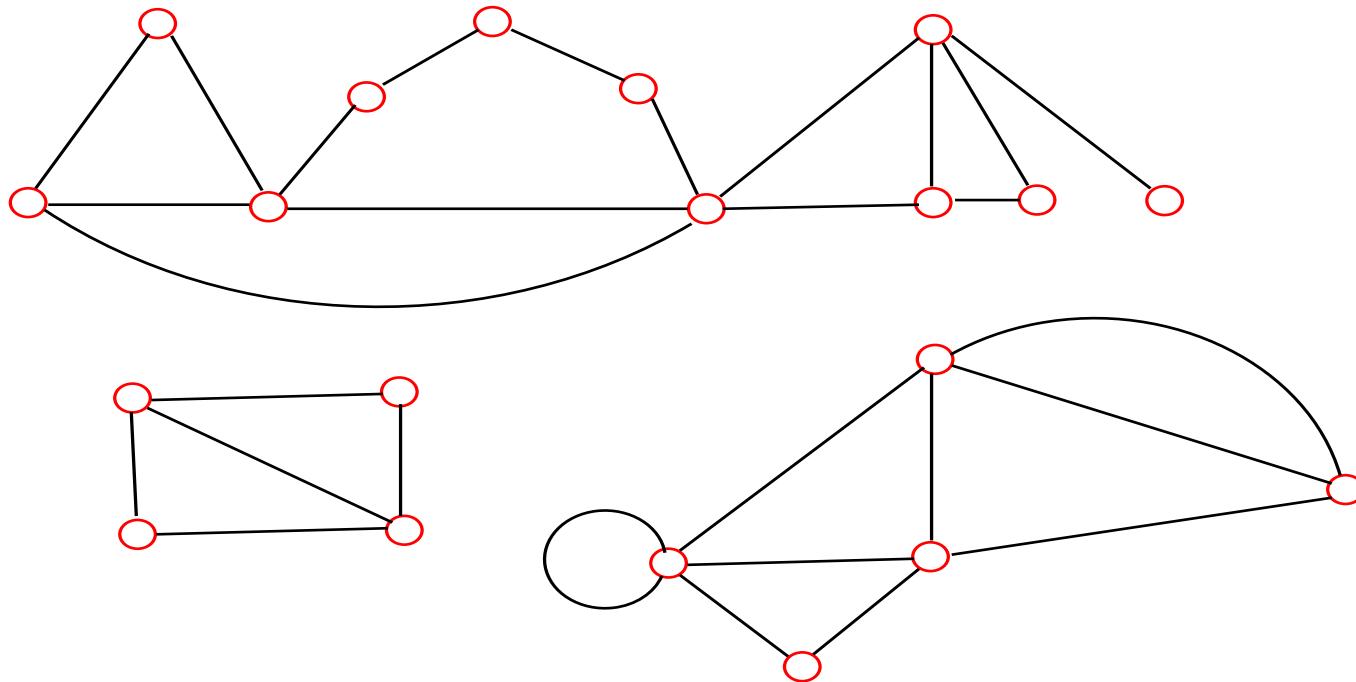
# Basic Concepts of Graph Theory

Undirected graph



# Basic Concepts of Graph Theory

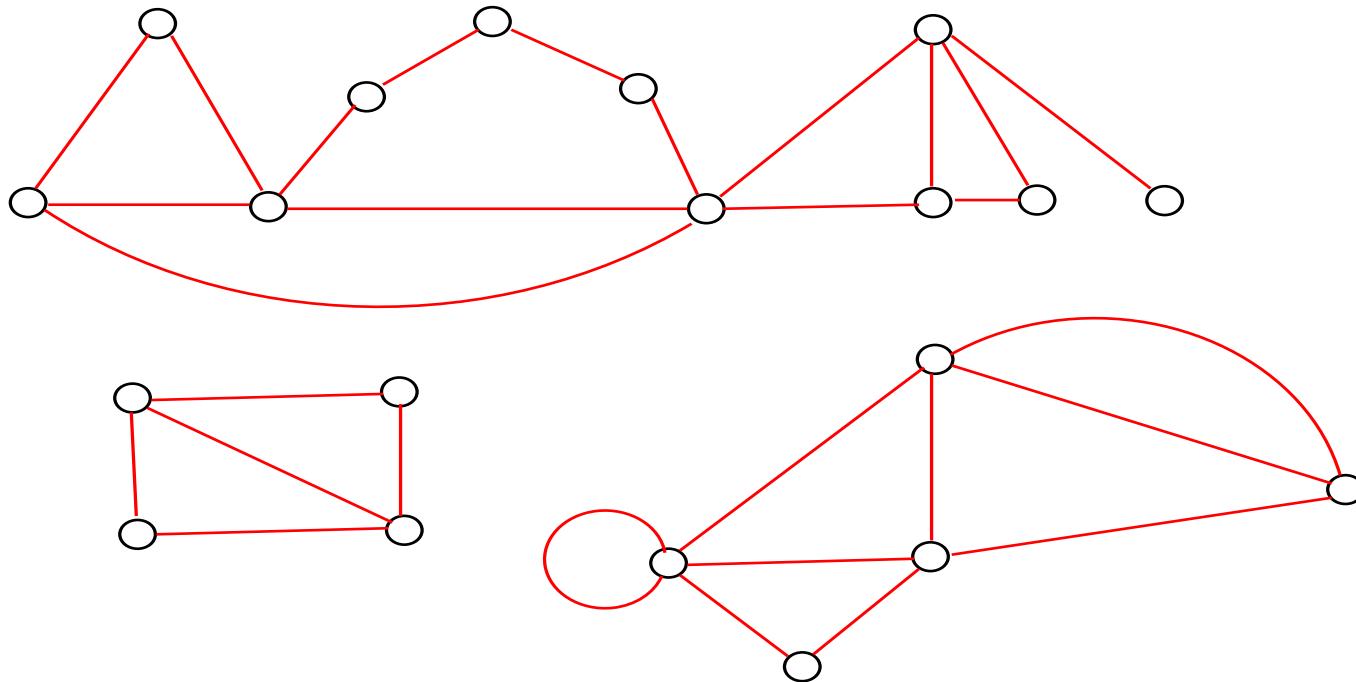
The vertices of a graph



vertex set  $V$ ,  $\alpha_0 := |V|$

# Basic Concepts of Graph Theory

The edges of a graph

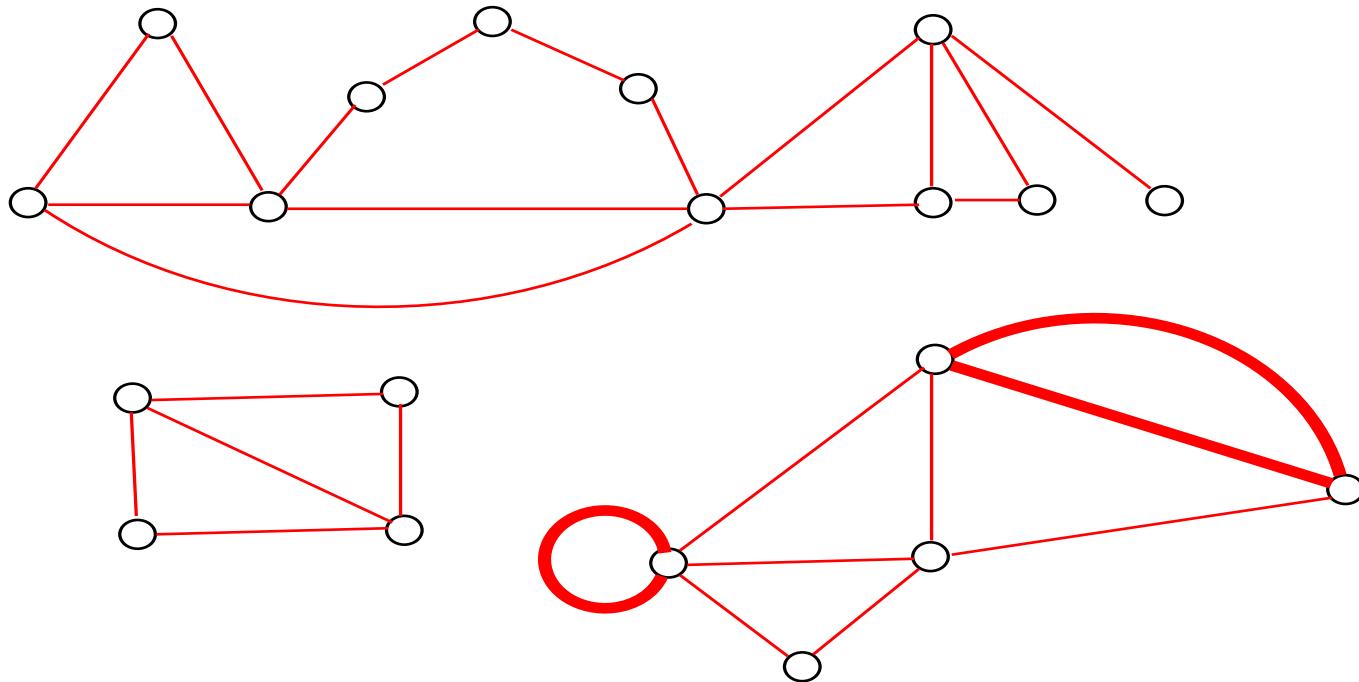


edge set  $E$ ,  $\alpha_1 := |E|$ ,  $\rightarrow$  Graph  $G = (V, E)$

$$\text{density } \varepsilon(G) = \frac{|E|}{|V|}$$

# Basic Concepts of Graph Theory

Special edges: loops and multiple edges



Graphs without loops and multiple edges: **simple** graphs

# Basic Concepts of Graph Theory

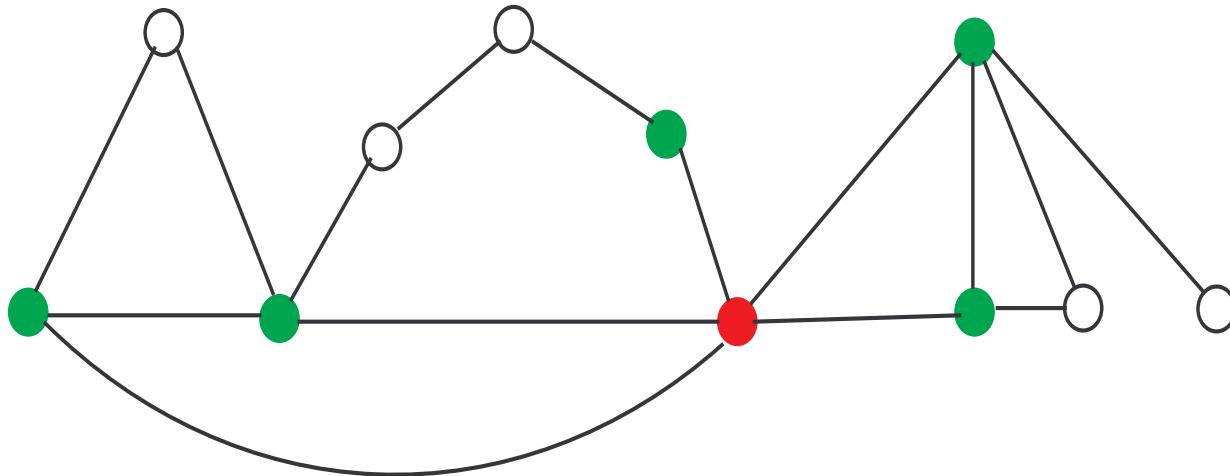
Adjacency and incidence



# Basic Concepts of Graph Theory

A vertex  $v$  and the set of its neighbours  $\Gamma(v)$

$d(v) = d_G(v) = |\Gamma(v)|$  = the degree of  $v$

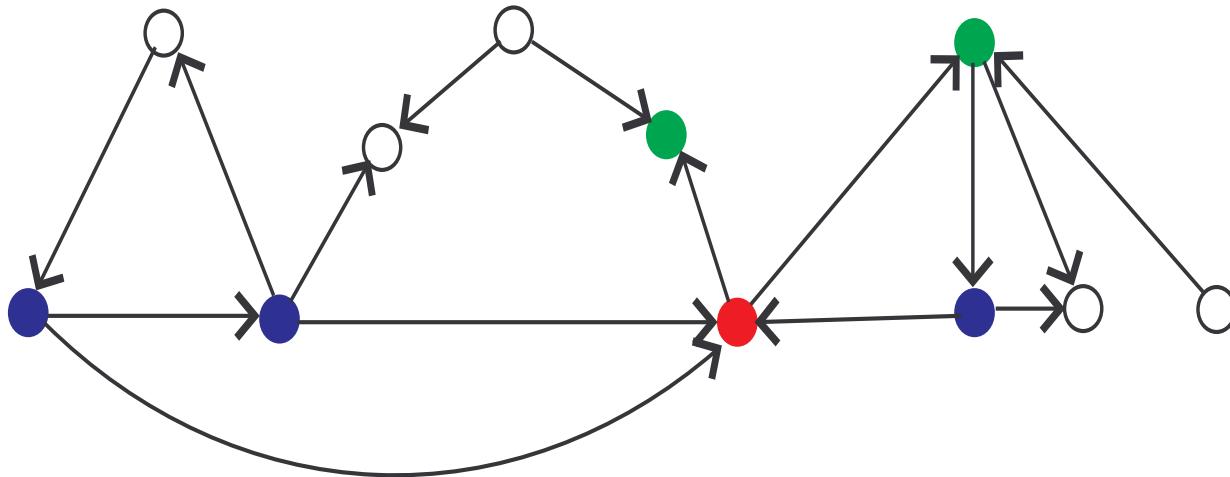


$$\delta(G) = \min_{v \in V} d(v), \quad \Delta(G) = \max_{v \in V} d(v)$$

# Basic Concepts of Graph Theory

Directed case: successors  $\Gamma^+(v)$  and predecessors  $\Gamma^-(v)$

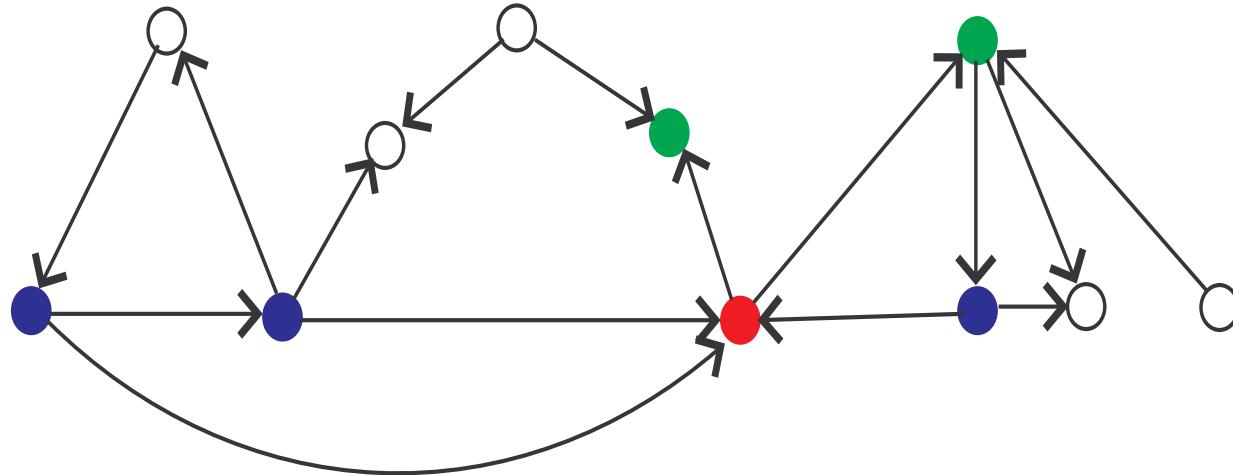
$d^+(v) = |\Gamma^+(v)|$  and  $d^-(v) = |\Gamma^-(v)|$ : out-degree and indegree of  $v$ , respectively.



# Basic Concepts of Graph Theory

Directed case: successors  $\Gamma^+(v)$  and predecessors  $\Gamma^-(v)$

$d^+(v) = |\Gamma^+(v)|$  and  $d^-(v) = |\Gamma^-(v)|$ : out-degree and indegree of  $v$ , respectively.



## Theorem (Handshaking lemma)

$$\sum_{x \in V(G)} d(x) = 2|E(G)|, \text{ directed case: } \sum_{x \in V(G)} d^+(x) = \sum_{x \in V(G)} d^-(x) = |E(G)|$$

# Basic Concepts of Graph Theory

Example: Hypercube

$$G = (\{0, 1\}^n, E)$$

For  $v = v_1v_2 \cdots v_n$  and  $w = w_1w_2 \cdots w_n$  we stipulate

$$vw \in E : \iff \sum_{i=1}^n |v_i - w_i| = 1.$$

# Basic Concepts of Graph Theory

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Then, for all  $x \in V$  we have  $d(x) = n$  and  $|V| = 2^n$ .

# Basic Concepts of Graph Theory

Example: Hypercube

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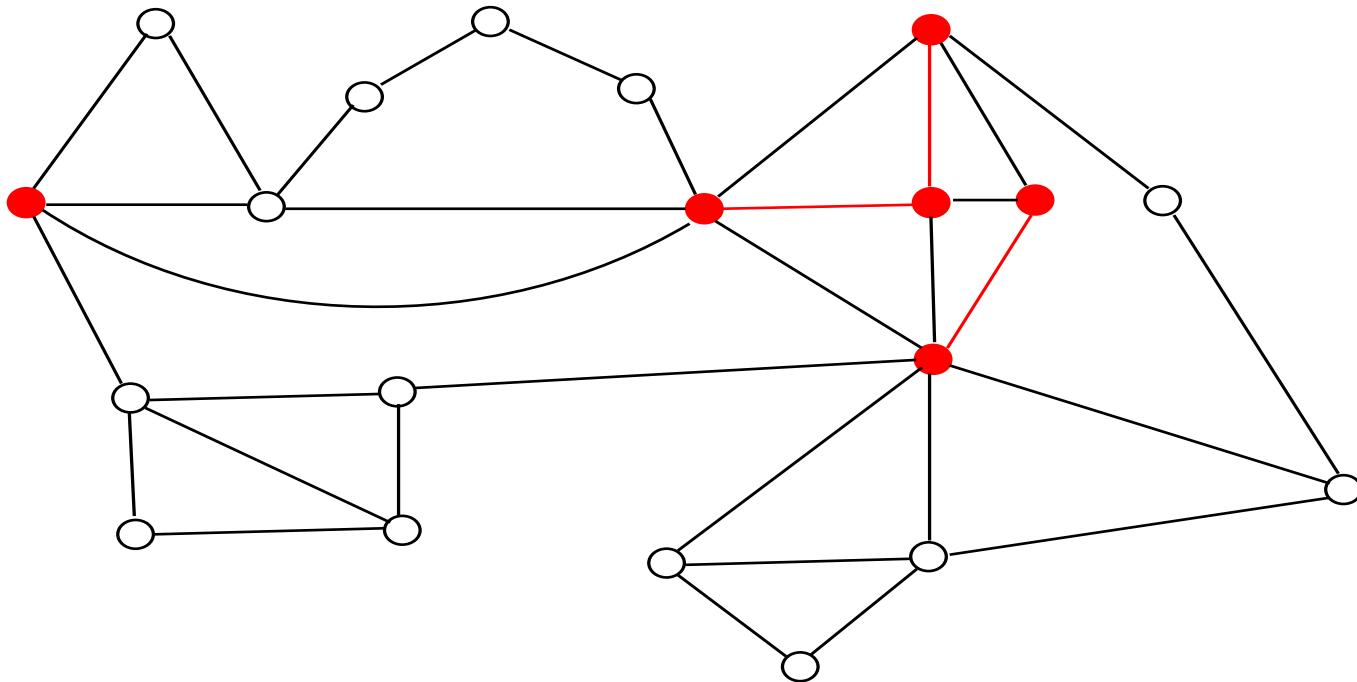
Then, for all  $x \in V$  we have  $d(x) = n$  and  $|V| = 2^n$ .

So,

$$|E| = \frac{1}{2} \sum_{x \in V} d(x) = \frac{1}{2} \sum_{x \in V} n = \frac{1}{2} \cdot n2^n = n2^{n-1}$$

# Basic Concepts of Graph Theory

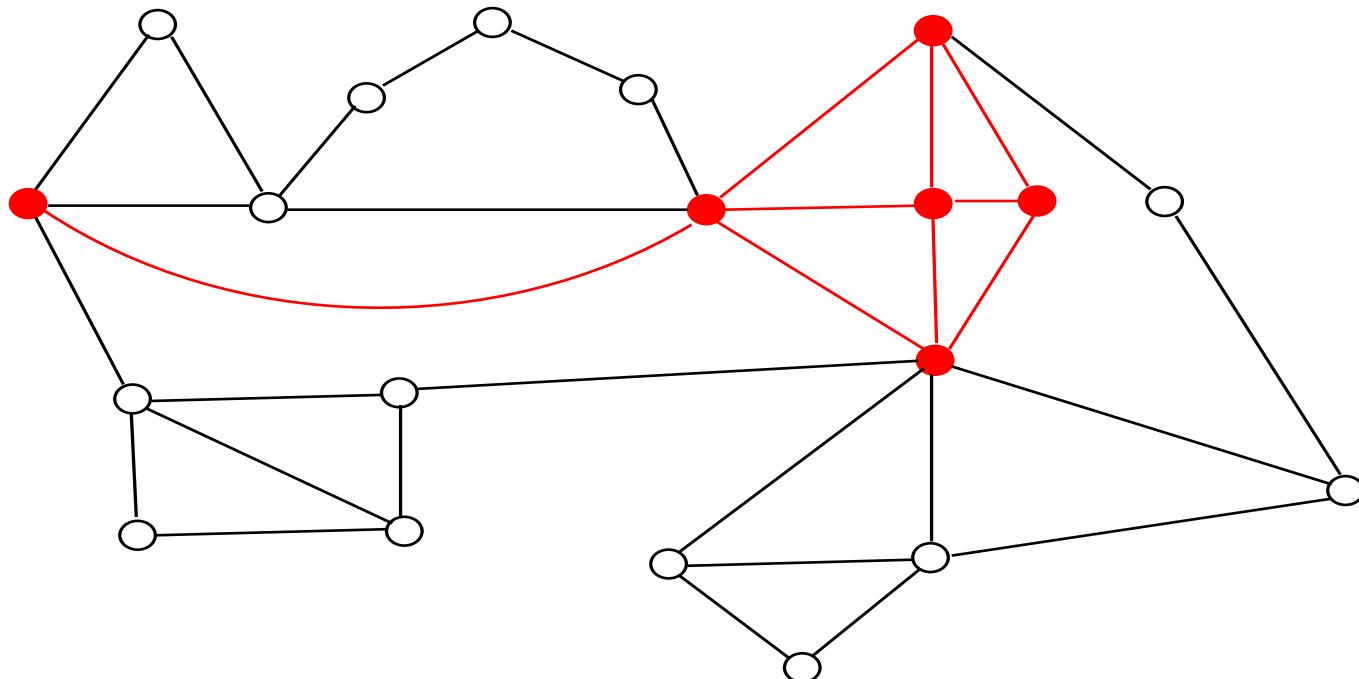
A graph  $G$  and one of its **subgraphs**,  $G'$



$$G' = (V', E'), \quad V' \subseteq V, \quad E' \subseteq E$$

# Basic Concepts of Graph Theory

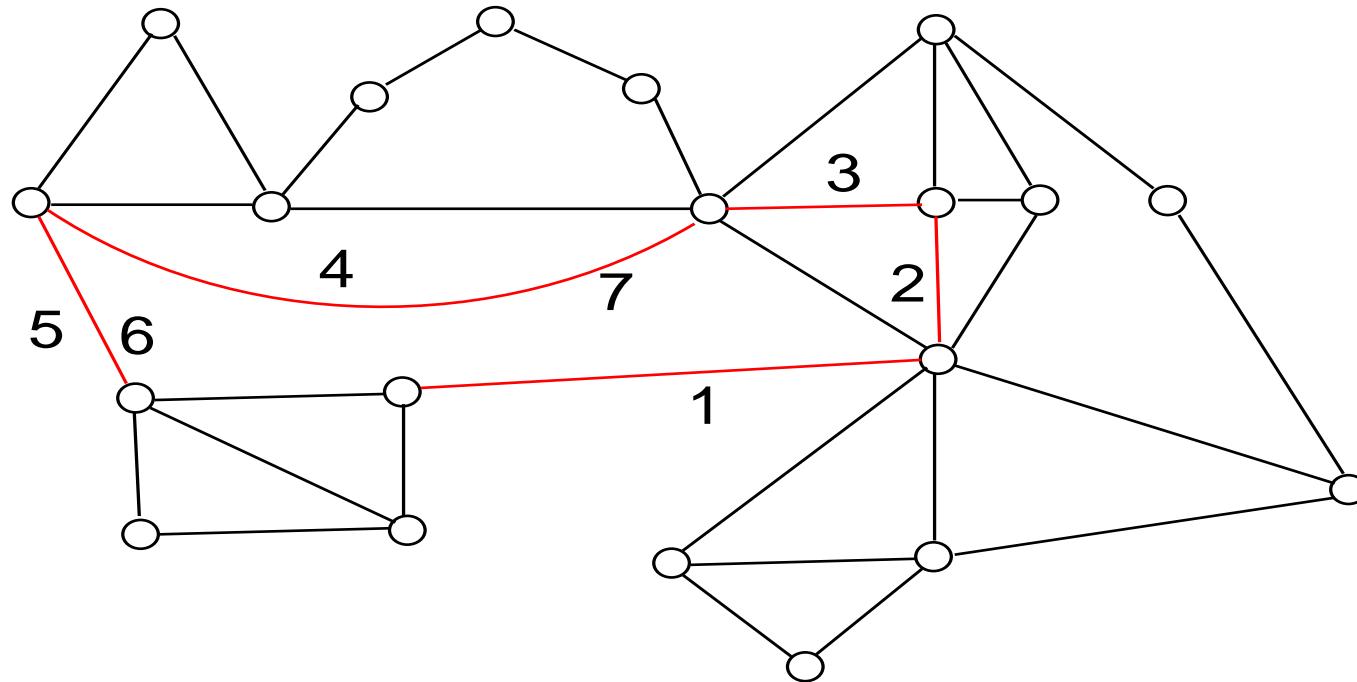
Induced subgraphs of  $G = (V, E)$ :  $G[V_0]$  determined by its vertex set  $V_0 \subseteq V$



edge set of  $G[V_0]$  maximal w.r.t. inclusion

# Basic Concepts of Graph Theory

sequences of edges with “no jumps” = walks

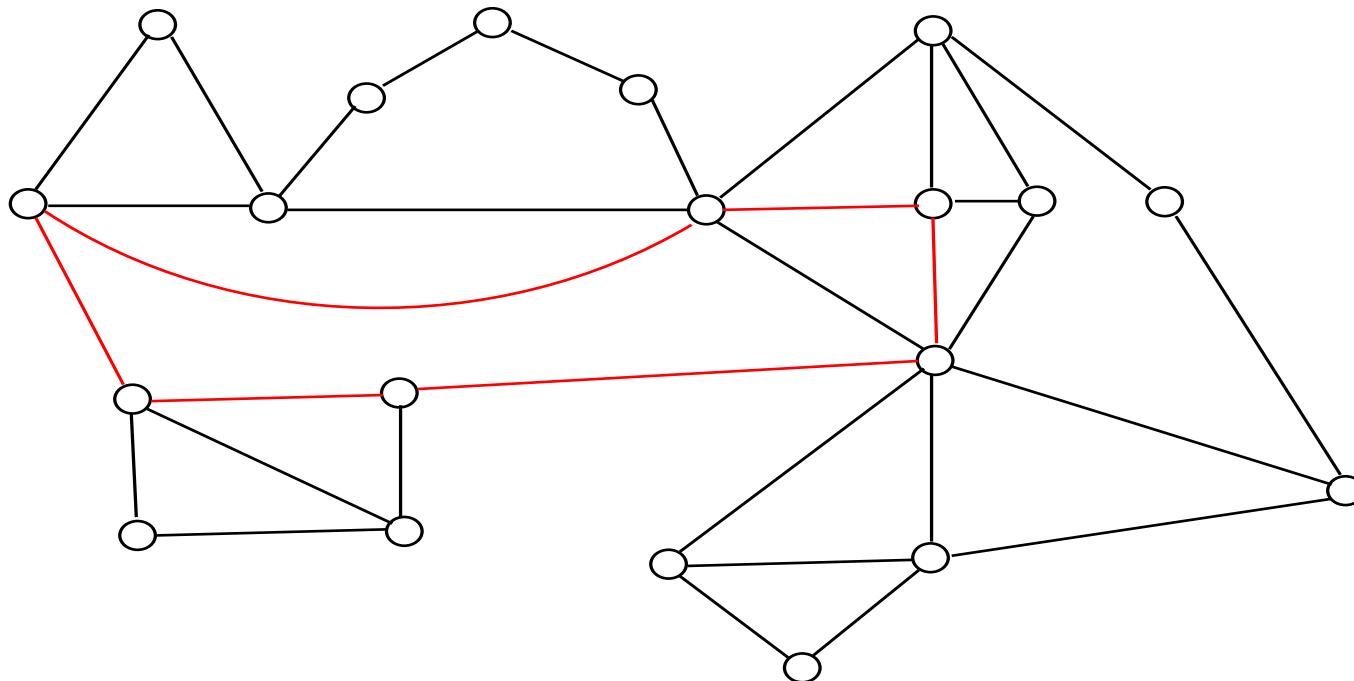


trails: no edge repetition allowed

paths: no edge and no vertex repetition allowed  
(actually, paths are particular subgraphs)

# Basic Concepts of Graph Theory

Particular walks: cycles (no edge and no vertex repetition allowed)  
(actually, cycles are particular subgraphs)



circuits (tours): no edge repetition, but vertex repetition allowed,  
(circuits are therefore closed trails, but can also be seen as subgraphs)

# Basic Concepts of Graph Theory

**Theorem** *If there is a walk from  $v$  to  $w$ , then there is a path from  $v$  to  $w$  as well.*

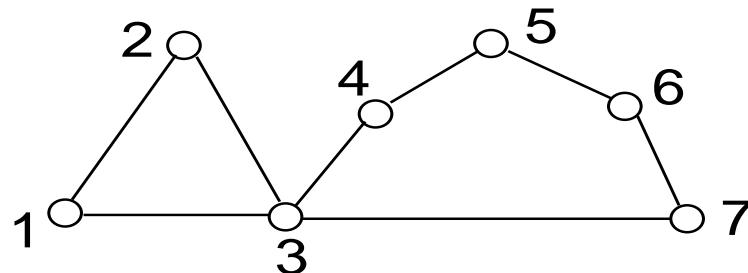
**Theorem** *If in an undirected graph there exist two different paths from  $v$  to  $w$ , then there is a cycle (of positive length).*

*If in a directed graph there exists a closed walk, then there is a cycle (of positive length).*

# Basic Concepts of Graph Theory

The adjacency matrix of  $G = (V, E)$ :

$V = \{v_1 \dots, v_n\}$ ,  $A = (a_{ij})_{i,j=1,\dots,n}$  with  $a_{ij} = \begin{cases} 1, & \text{if } (v_i, v_j) \in E, \\ 0 & \text{else.} \end{cases}$



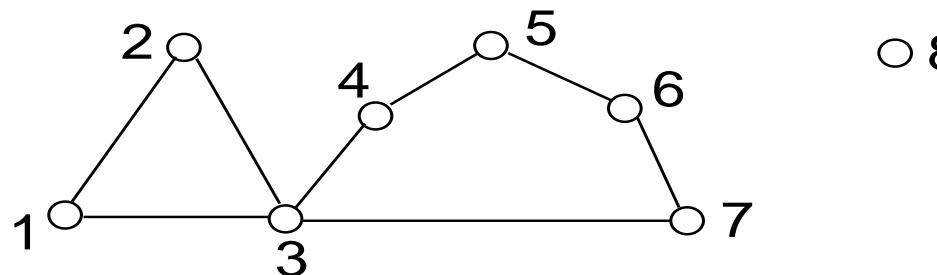
○ 8

$$A = \begin{pmatrix} 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 1 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

# Basic Concepts of Graph Theory

The adjacency matrix of  $G = (V, E)$ :

$V = \{v_1 \dots, v_n\}$ ,  $A = (a_{ij})_{i,j=1,\dots,n}$  with  $a_{ij} = \begin{cases} 1, & \text{if } (v_i, v_j) \in E, \\ 0 & \text{else.} \end{cases}$



$$A = \begin{pmatrix} 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 1 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

We have:  $d(v_i) = \sum_{j=1}^n a_{ij} = \sum_{j=1}^n a_{ji}$

# Basic Concepts of Graph Theory

**Theorem** Let  $G = (V, E)$  be an undirected graph and  $v \sim w$  by definition if and only if there exists a (possibly empty) walk from  $v$  to  $w$ . Then  $\sim$  is an equivalence relation.

**Theorem** Let  $G = (V, E)$  be a directed graph and  $v \sim w$  by definition if and only if there exists a (possibly empty) walk from  $v$  to  $w$  and likewise a (possibly empty) walk from  $w$  to  $v$ . Then  $\sim$  is an equivalence relation.

Matrix of the relation  $\sim$  (undirected case): Let  $G = (V, E)$  be undirected with  $|V| = n$  and  $|E| = m$ .

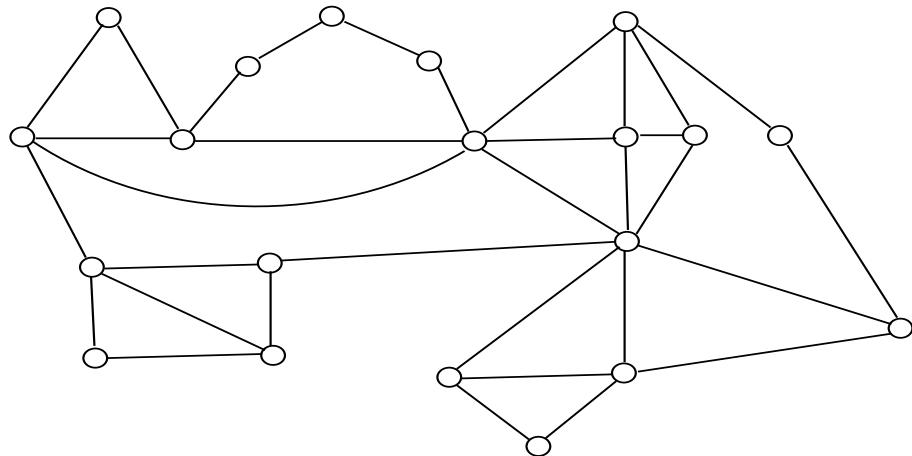
$$M = (m_{i,j})_{i,j=1,\dots,n}, \text{ where } m_{i,j} = \text{sgn}(c_{i,j})$$

and

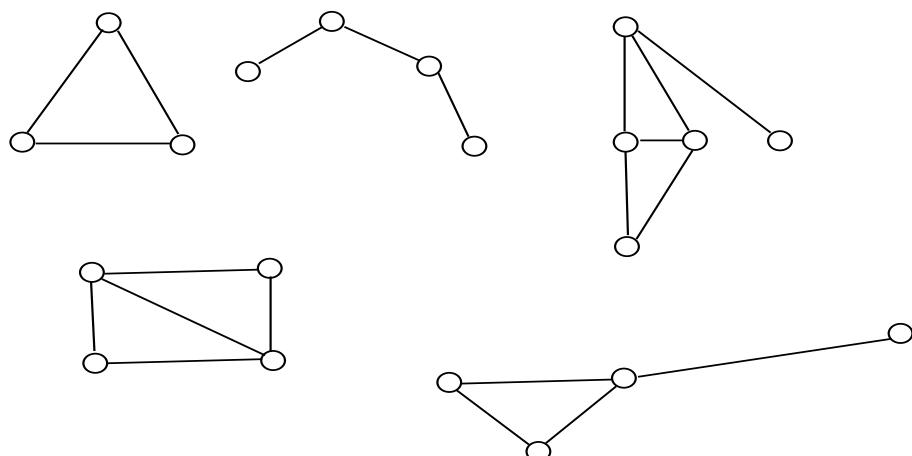
$$C = \sum_{k=0}^{\min(m,n-1)} A^k.$$

# Basic Concepts of Graph Theory

connected graph

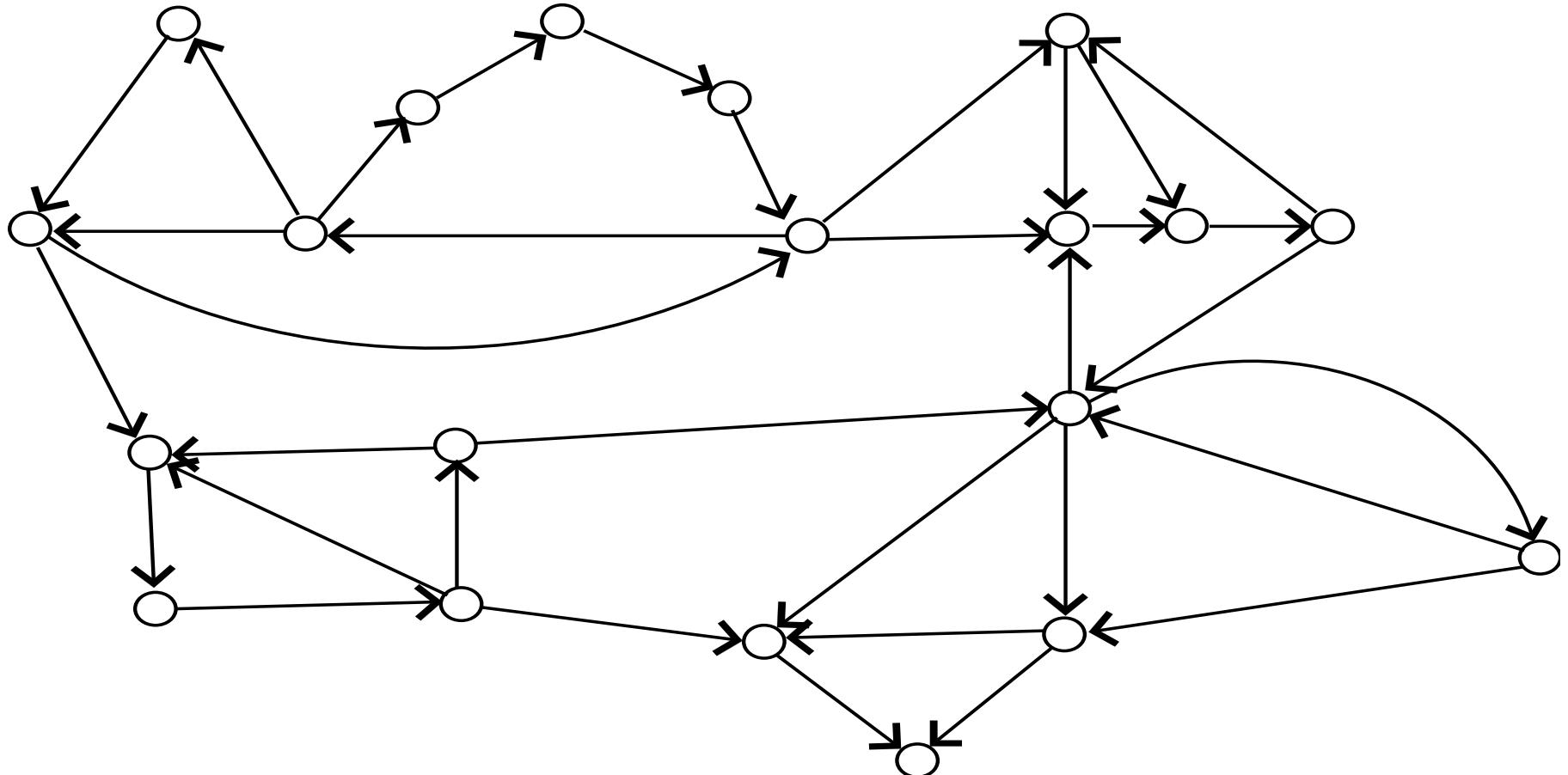


not connected graph



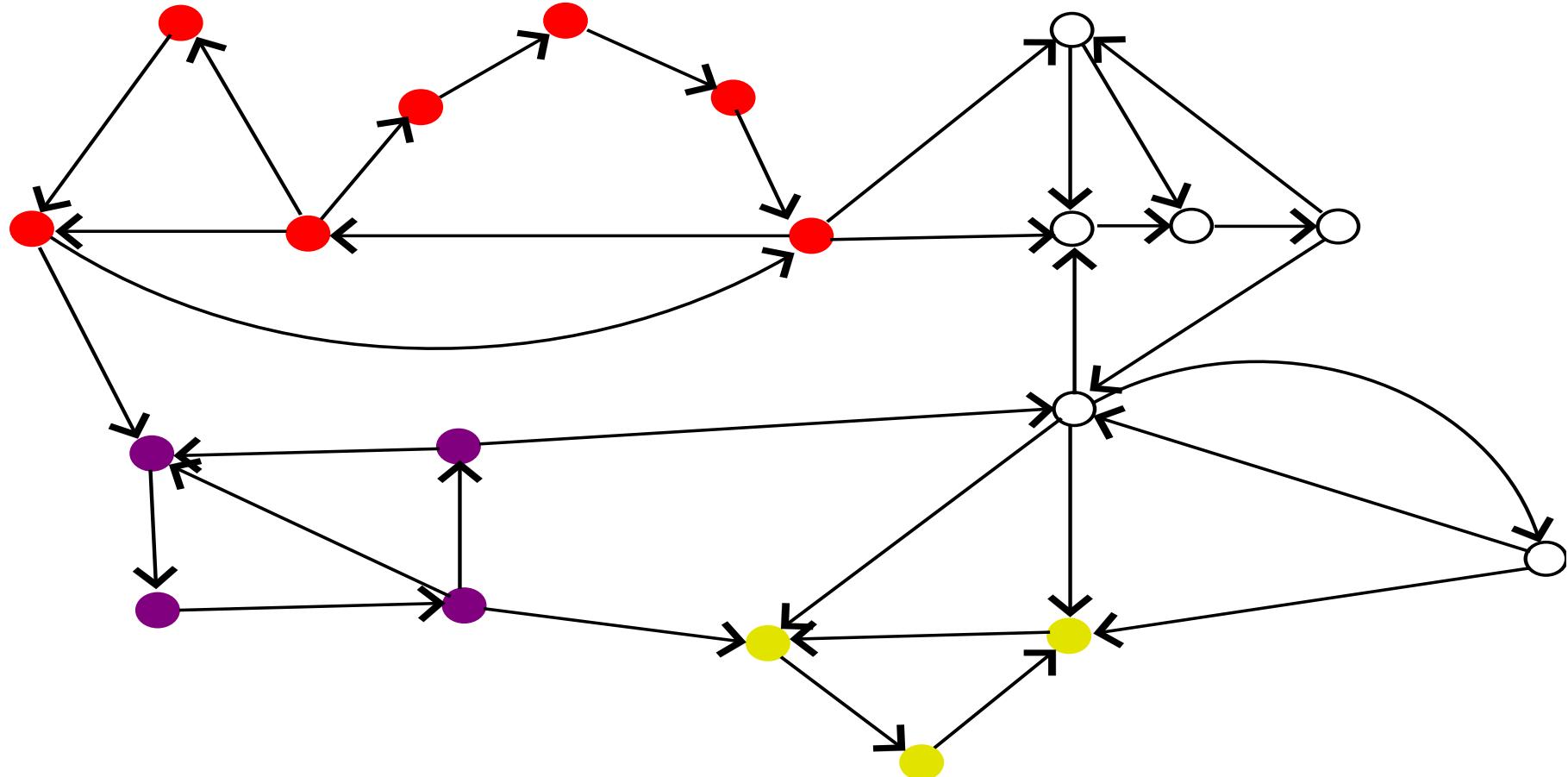
# Basic Concepts of Graph Theory

A weakly, but not strongly connected graph



# Basic Concepts of Graph Theory

The strongly connected components

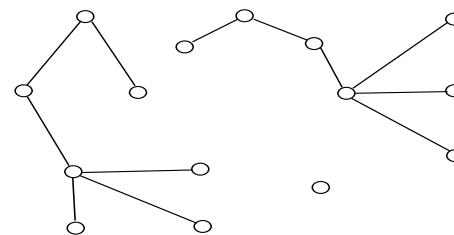


# Basic Concepts of Graph Theory

## Trees and Forests

A simple undirected graph without cycles of positive length is called *forest*.

A connected forest is called *tree*.

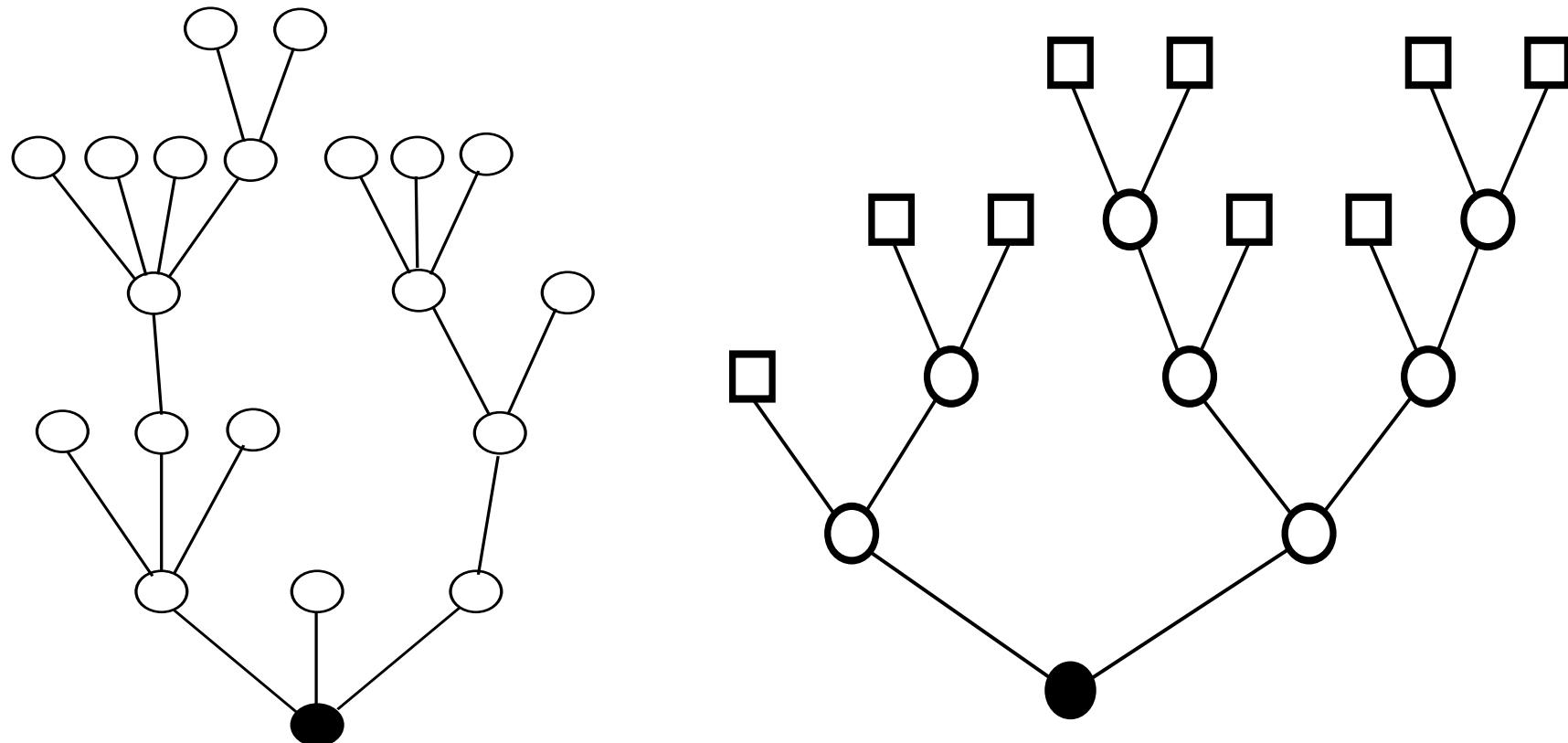


**Theorem** *In a tree  $T = (V, E)$  any two vertices  $v, w \in V$  are connected by a unique path  $W(v, w)$ .*

The length of  $W(v, w)$  is denoted by  $d_T(v, w)$  and called the *distance* between  $v$  and  $w$

# Basic Concepts of Graph Theory

Particular classes of trees: rooted trees, plane rooted trees, binary trees, . . .



# Basic Concepts of Graph Theory

A vertex  $v$  with  $d(v) = 1$  is called a *leaf*.

**Theorem** *A tree with at least two vertices has at least two leaves.*

Proof: Consider a path

$$v - v_1 - v_2 - v_3 - \dots - v_k - w$$

of maximal length. Then  $v$  and  $w$  must be leaves.

# Basic Concepts of Graph Theory

**Theorem** *Let  $T = (V, E)$ . Then the following statements are equivalent:*

- (1)  *$T$  is a tree.*
- (2) *For all  $v, w \in V(T)$  there is a unique path from  $v$  to  $w$ .*
- (3)  *$T$  is connected and  $|V| = |E| + 1$ .*
- (4)  *$T$  is a minimal connected graph (every edge is a bridge)*
- (5)  *$T$  is a maximal acyclic graph.*

# Basic Concepts of Graph Theory

Proof: (1) $\implies$ (3), that is

“If  $T = (V, E)$  is a tree, then it is connected and satisfies  $|V| = |E| + 1$ .”

We prove the state by induction on  $n = \alpha_0(T) = |V(T)|$ .

Induction start:  $\alpha_0(T) = 1$ ,  $\alpha_1(T) = |E(T)| = 0$ .

Now consider a tree  $T = (V, E)$  with  $n + 1$  vertices. Then there is a leaf  $v$  and let  $e$  be the edge incident to  $v$ . Let  $T' = (V \setminus \{v\}, E \setminus \{e\})$ .

As  $\alpha_0(T') = n$ , we can apply the induction hypothesis to  $T'$ .

# Basic Concepts of Graph Theory

(3) $\Rightarrow$ (1), that is

" $T = (V, E)$  connected and  $|V| = |E| + 1 \Rightarrow T$  is a tree."

Set  $n = |V|$ .

If  $T$  has no cycle, we are done.

If  $T$  has a cycle, then remove an edge from the cycle.  $\rightarrow$  graph  $T'$ .

$T'$  is connected and cycle-free and has  $n - 2$  edges.

But every connected graph on  $n$  vertices has at least  $n - 1$  edges  
(proof by induction)

# Basic Concepts of Graph Theory

A *spanning tree* of a connected graph  $G = (V, E)$  is a subgraph  $T$  of  $G$  such that  $T$  is a tree,  $V(T) = V(G)$ ,  $E(T) \subseteq E(G)$ .

A *spanning forest* of a graph  $G = (V, E)$  is a subgraph  $F$  of  $G$  such that  $F$  is a forest,  $V(F) = V(G)$ ,  $E(F) \subseteq E(G)$ , each connected component of  $F$  is a spanning tree of a connected component of  $G$ .

Remark: If a subgraph  $H$  of  $G$  satisfies  $V(H) = V(G)$ , then it is called a spanning subgraph.

**Theorem** *Every connected graph contains a spanning tree.*